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Marine microorganisms producing carbapenemases and  $\beta$ -lactamases as environmental reservoirs of antibiotic resistance genes constituting a risk for human health

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Tesi di Dottorato

Verona, 21 Maggio 2020

*Rare sono le persone che usano la mente,  
poche coloro che usano il cuore e  
uniche coloro che usano entrambi.*

- RITA LEVI MONTALCINI -

## RIASSUNTO

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Le infezioni dovute a batteri multi-resistenti rappresentano ormai una vera e propria preoccupazione a livello globale, non solo in ambito nosocomiale ma anche e soprattutto nel settore veterinario, agroalimentare e ambientale. Ciò è dovuto alla capacità dei microrganismi esposti agli antibiotici di mettere in atto nuovi meccanismi di resistenza in tempi molto brevi e di diffonderli rapidamente alle altre specie batteriche che coesistono nella stessa nicchia ecologica attraverso sistemi di trasferimento per via orizzontale (HGT) o verticale. In particolar modo, l'utilizzo da parte delle cellule batteriche di elementi mobili genetici (MGEs) quali integroni, sequenze di inserzione, trasposoni, plasmidi ed elementi coniugativi ed integrativi (ICEs) facilitano questa operazione di diffusione. Le discipline omiche possono essere d'aiuto non solo nell'individuazione dei geni specifici coinvolti nell'antibiotico-resistenza, ma anche nel rilevare i possibili elementi mobili genetici coinvolti nella loro diffusione nel nostro territorio.

In questo contesto, nasce il mio progetto di dottorato che grazie all'utilizzo della tecnologia Next Generation Sequencing ha permesso di sequenziare l'intero genoma (Whole Genome Sequencing) di 12 ceppi appartenenti alla famiglia delle *Shewanellaceae* (n=10; *Shewanella algae*) e *Vibrionaceae* (n=2; *Vibrio anguillarum*, *Vibrio parahaemolyticus*) isolati in centri di acquacoltura italiani dislocati in differenti siti lungo la costa del Mar Adriatico. Lo scopo della tesi è stato quello di valutare il ruolo di questi microrganismi come possibili serbatoi di geni di antibiotico-resistenza nell'ambiente acquatico e la loro capacità di trasferire questi geni ad altre specie batteriche potenzialmente patogene per l'uomo che possono condividere temporaneamente e/o accidentalmente la stessa nicchia ecologica.

Il resistoma ha evidenziato la presenza di geni che conferiscono resistenza a diverse classi di antibiotici inclusi  $\beta$ -lattamici, chinoloni, tetracicline, macrolidi, polimixine, cloramfenicolo e anche ai metalli pesanti. Le resistenze coinvolte non sono solo di tipo enzimatico ma comprendono anche un sistema complesso di pompe di efflusso. In particolare, la resistenza ai  $\beta$ -lattamici è mediata dalla presenza dei geni codificanti per *bla*<sub>OXA-55-like</sub>, *bla*<sub>AmpC</sub> e *mexB-OprM* nei ceppi di *S. algae*, mentre per i vibrio sono stati identificati geni che codificano per *bla*<sub>AmpC</sub> in *V. anguillarum* oltre che *mexA-OprM* e *bla*<sub>CARB-19</sub> in *V. parahaemolyticus*. La resistenza ai chinoloni è dovuta alla presenza di *qnrA3*, *qnrA7* e *qnrVC6*, di cui quest'ultimo trovato nel genoma di *V. anguillarum* e di

pompe di efflusso appartenenti alle famiglie MATE (Multidrug And Toxic compound Extrusion) e MFS (Major Facilitator System). Inoltre, la presenza di *macAB-TolC*, una pompa di efflusso del tipo ABC (ATP Binding Cassette) sembra essere responsabile della resistenza ai macrolidi. Tutti i ceppi analizzati risultano possedere l'*eptA*, ovvero la fosfoetanolamina transferasi che contribuisce alla resistenza alle polimixine, mentre la resistenza alle tetracicline è evidenziata dalla presenza di pompe di efflusso quali *TetR*, *Tet34* e *Tet35* in vibrio. Infine, la resistenza al cloramfenicolo è dovuta soprattutto alla presenza dei geni *cat* e *MdtL*. Per quanto riguarda i metalli pesanti sono stati individuati geni che conferiscono resistenza all'arsenico, rame, zinco, cobalto, cadmio, cromo, molibdeno, magnesio e nickel nella maggior parte dei ceppi analizzati.

L'analisi del mobiloma ha evidenziato la presenza di elementi mobili associabili all'integrone di classe I in tutti i ceppi di *S. algae* analizzati e nel ceppo di *V. anguillarum*. Inoltre, molte integrase sono state identificate essere correlate filogeneticamente alle integrase dell'integrone di classe II nelle shewanelle e all'integrone di classe IV nei vibrio. Per quanto riguarda le sequenze di inserzione (ISs) sono state individuate diverse famiglie, alcune presenti nelle shewanelle ed altri nei vibri. Di particolare interesse è il ceppo 353M di *S. algae* isolato in mare aperto e contenente degli elementi coniugativi ed integrativi coinvolti nella partizione (ParAB), replicazione (repAB, traI), traslocazione (traD), assemblaggio del sistema di secrezione di tipo IV (traC, traN) ed integrazione (XerD). Inoltre, in alcuni ceppi di *S. algae* (144bCP, 146bCP, 178CP, 353M, 38LV, 57CP, 83CP) sono presenti degli elementi di circa 200 kbp che contengono dei moduli genetici ben strutturati con il t-RNA-Phe, l'IHF $\alpha$ , una serie di geni coinvolti nel metabolismo, nella resistenza agli antibiotici e ai metalli pesanti, geni di virulenza (emolisina) ed infine l'IHF $\beta$  che fanno presupporre la presenza di altre ICEs non ben identificate durante l'assemblaggio e l'annotazione dei genomi. Infine, sono stati individuati alcuni elementi fagici completi ed incompleti. Tra i fagi completi sono stati identificati quelli appartenenti a *Aeromonas* phage phiO18P (NC\_009542), *Escherichia* phage D108 (NC\_013594), *Shewanella* sp. phage 1/44 (NC\_025463), *Enterobacteria* phage phi92 (NC\_023693), *Enterobacterial* phage mEp213 (NC\_019720) e *Vibrio* phage VP882 (NC\_009016).

L'analisi del viruloma ha evidenziato la presenza di batteriocine e di emolisine (hlyD, hemolysin III) presenti in tutti i ceppi di *S. algae*. Per quanto riguarda i ceppi di vibrio sono state individuate altre emolisine (tlh, hemolysin III), la rtxA e l'emoagglutinina nel *V. anguillarum*, mentre l'emolisina D e l'emolisina termostabile delta-VPH sono state

rinvenute nel *V. parahaemolyticus*. Infine, sono stati identificati i sistemi di secrezione di tipo I, II, III, IV and VI che possono essere utilizzati nella secrezione di fattori di virulenza.

Nella seconda parte del progetto si è proceduto con l'analisi filogenetica delle sequenze amminoacidiche predette di *bla*<sub>OXA-55-like</sub>, *bla*<sub>AmpC</sub> e *bla*<sub>CARB-19</sub> al fine di valutare la similarità con le sequenze depositate in Genbank appartenenti ad altre specie di *Shewanella* spp., *Vibrio* spp. e di interesse clinico (*Enterobacteriaceae* e *Acinetobacter* spp.). I risultati ottenuti hanno evidenziato come OXA-55-like rappresenti un cluster filogeneticamente correlato alle altre varianti di OXA presenti nel genere *Shewanella*, ma di interesse è la sua stretta correlazione con OXA-20 ed OXA-21 individuate in ceppi clinici rispetto ad altre varianti isolate in *Acinetobacter* spp. Inoltre, la presenza di specifiche mutazioni in determinate posizioni all'interno delle sequenze di AmpC nei ceppi di *S. algae* ha permesso di suddividere i ceppi secondo i siti e le date di campionamento, indicando la presenza lungo la costa adriatica italiana di cloni contenenti mutazioni diverse della stessa beta-lattamasi, indice della capacità di questi microrganismi di rispondere alla pressione selettiva ambientale. Al contrario, l'analisi della sequenza di CARB-19 ha riportato alcune mutazioni puntiformi che non hanno mutato la sua sequenza amminoacidica. Infine, il clonaggio di *bla*<sub>AmpC</sub> e *bla*<sub>CARB-19</sub> e la trasformazione in *Escherichia coli* TOP10 ha permesso di mobilizzare queste due beta-lattamasi e individuare come varia il livello di espressione in membri appartenenti alla famiglia delle *Enterobacteriaceae*. I risultati ottenuti hanno permesso di confermare alcune resistenze (amoxicillina e ticarcillina) e un aumento alla resistenza alla piperacillina per pCARB-19. Per quanto riguarda pAmpC si sono registrate una diminuzione delle MIC per gli antibiotici appartenenti alle ammino e carbossipenicilline e ureidopenicilline rispetto a *V. anguillarum* 28AD WT, mentre si è confermata la resistenza alle cefalosporine di prima generazione.

## ABSTRACT

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Nowadays, infections due to multi drug resistant bacteria represent a real global concern, not only in the nosocomial environment but also and above all in the veterinary, agri-food and environmental sectors. This is due to the ability of microorganisms, exposed to antibiotics, to implement new resistance mechanisms in a very short time and to spread them rapidly to other bacterial species that coexist in the same ecological niche through horizontal (HGT) or vertical transfer systems. In particular, the use of mobile genetic elements (MGEs) such as integrons, insertion sequences, transposons, plasmids and conjugative and integrative elements (ICEs) facilitate this operation in the four areas previously mentioned. For this purpose, the omic disciplines can help us not only in identifying the specific genes involved in antibiotic resistance, but also in detecting the possible mobile genetic elements involved in their diffusion in our territory.

It is in this context that my doctoral project was placed. The use of Next Generation Sequencing technology allowed to sequence the whole genome (Whole Genome Sequencing) of 12 strains belonging to the *Shewanellaceae* (n=10; *Shewanella algae*) and *Vibrionaceae* (n=2; *Vibrio anguillarum*, *Vibrio parahaemolyticus*) families isolated in Italian aquaculture centers located at different sites along the coast of the Adriatic Sea. The aim of the thesis was to evaluate the role of these microorganisms as possible reservoirs of antibiotic resistance genes in the aquatic environment. Moreover, the ability to transfer these genes to other potentially pathogenic bacterial species which can temporarily and/or accidentally share the same environment was investigated as well.

The resistome showed the presence of genes conferring resistance to different classes of antibiotics including  $\beta$ -lactams, quinolones, tetracyclines, macrolides, polymyxins, chloramphenicol and heavy metals. The mechanisms of resistance involved were not only enzymatic but a complex system of efflux pumps was also found. In particular,  $\beta$ -lactam resistance was mediated by the presence of genes coding for *bla*<sub>OXA-55-like</sub>, *bla*<sub>AmpC</sub> and *mexB-OprM* in *S. algae* strains, while for vibrios the genes coding for *bla*<sub>AmpC</sub> in *V. anguillarum*, *mexA-OprM* and *bla*<sub>CARB-19</sub> in *V. parahaemolyticus* were identified. The resistance to quinolones was due to the presence of *qnrA3*, *qnrA7* and *qnrVC6*, of which this one was found in the genome of *V. anguillarum*. In addition, efflux pumps belonging to the families MATE (Multidrug And Toxic compound Extrusion) and MFS (Major Facilitator System) contributed as well to the phenotype. Furthermore, the presence of

*macAB-TolC*, an ABC-type efflux pump (ATP Binding Cassette) appeared to be responsible for the resistance to macrolides. All the analyzed strains showed to possess the *eptA*, the phosphoethanolamine transferase that contributed to the resistance to polymyxins, while the resistance to tetracyclines was mainly due to the presence of efflux pumps such as *TetR*, *Tet34* and *Tet35* in vibrios. Finally, the presence of genes such as *cat* and *MdtL* conferred resistance to chloramphenicol. Regarding heavy metals, genes conferring resistance to arsenic, copper, zinc, cobalt, cadmium, chromium, molybdenum, magnesium and nickel were detected in most of the analyzed strains.

The mobilome analysis showed the presence of mobile genetic elements that could be associated to the class I integron in all the analyzed *S. algae* and in the *V. anguillarum* strains. Regarding the insertion sequences (ISs), different families were detected in shewanellae and vibrios. Of particular interest was the 353M *S. algae* strain isolated in the open sea and containing the conjugative and integrative elements involved in the partition (ParAB), replication (repAB, traI), translocation (traD), the type IV secretion system assembly (traC, traN) and integration (XerD). Moreover, in some strains of *S. algae* (144bCP, 146bCP, 178CP, 353M, 38LV, 57CP, 83CP) some elements of about 200 kbp were detected. They contained well-structured genetic modules included t-RNA-Phe, IHF $\alpha$ , a series of genes involved in metabolism, resistance to antibiotics and heavy metals, virulence genes (hemolysin) and finally IHF $\beta$ , suggesting the presence of other ICEs not well identified during the genome assembly and annotation. In addition, some complete and incomplete phage elements were identified. Among the complete phages, those belonging to *Aeromonas* phage phiO18P (NC\_009542), *Escherichia* phage D108 (NC\_013594), *Shewanella* sp. phage 1/44 (NC\_025463), *Enterobacteria* phage phi92 (NC\_023693), *Enterobacterial* phage mEp213 (NC\_019720) and *Vibrio* phage VP882 (NC\_009016) were detected.

The virulome analysis showed the presence of bacteriocins and hemolysins (hlyD, hemolysin III) in all the *S. algae* strains. Regarding the vibrio strains, other hemolysins (tlh, hemolysin III), rtxA and hemagglutinin were detected in *V. anguillarum*, while hemolysin D and thermostable hemolysin delta-VPH were found in *V. parahaemolyticus*. Finally, type I, II, III, IV and VI secretion systems were identified.

In the second part of the project, I proceeded with the phylogenetic analysis of the predicted OXA-55-like, AmpC and CARB-19 amino acid sequences in order to evaluate their similarity with the sequences stored in Genbank belonging to other species of *Shewanella* spp., *Vibrio* spp. and of clinical interest (*Enterobacteriaceae* and

*Acinetobacter* spp.). The results showed that OXA-55-like represented a cluster phylogenetically related to the other OXA variants present in the genus *Shewanella*, but of interest was its close correlation with OXA-20 and OXA-21 identified in clinical strains if compared to other variants isolated in *Acinetobacter* spp. Furthermore, the presence of specific mutations in certain positions within the AmpC sequences in the *S. algae* strains allowed to group the strains according to the sampling sites and dates, indicating the presence along the Italian Adriatic coast of clones containing several mutations of the same beta-lactamase. This highlights the ability of these microorganisms to respond to environmental selective pressure. Conversely, CARB-19 sequence analysis reported some point mutations that did not change its amino acid sequence. Finally, *bla*<sub>AmpC</sub> and *bla*<sub>CARB-19</sub> cloning in *Escherichia coli* TOP10 was performed. This has allowed to mobilize these two beta-lactamases to study how their expression level vary on *Enterobacteriaceae* family members. The results confirmed the resistance to amoxicillin and ticarcillin with an increase MIC values for piperacillin in pCARB-19. Conversely, regarding pAmpC, a decreasing MIC values for amino- and carboxypenicillins as well as for ureidopenicillins respect to *V. anguillarum* 28AD WT were obtained. Resistance to first generation cephalosporins was confirmed.

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## 1. ABBREVIATIONS

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AMR/AR, Antimicrobial Resistance	PBP, Penicillin Binding Protein
ARG, Antimicrobial Resistance Gene	CPE, Carbapenemase Producing <i>Enterobacteriaceae</i>
AST, Antimicrobial Susceptibility Testing	TSA, Tryptic Soy Agar
HGT, Horizontal Gene Transfer	TSB, Tryptic Soy Broth
MDR, Multi Drug Resistant	TCBS, ThiolSulphate-Citrate-Bile-Sucrose
ESBL, Extended Spectrum beta-lactamase	MHA, Mueller Hinton Agar
OXA, Oxacillinase	PMQR, Plasmid-Mediated Quinolone Resistance
CARB, Carbenicillinase	QRDR, Quinolone Resistance-Determining Region
CHDL, Carbapenem hydrolyzing class D beta-lactamase	ICEs, Integrative and Conjugative Elements
MGEs, Mobile Genetic Elements	WT, Wild Type
NGS, Next Generation Sequencing	SOB, Super Optimal Broth
WGS, Whole Genome Sequencing	
ISs, Insertion Sequences	
TEs, Transposable Elements	
TE, Tris EDTA	
SDS, Sodium Dodecyl Sulfate	
SEVAG, chloroform:isoamyl alcohol	
BCT, Blue Carba Test	

## 2. RATIONAL & OBJECTIVE OF THE THESIS

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In the nosocomial setting, the increasing resistance to last resort drugs such as carbapenems, tigecycline and colistin is currently an important concern. The environment is a determining factor in the spreading of antibiotic resistance and it is known that particularly the aquatic environment can play as antimicrobial resistance gene reservoir. This reservoir facilitates the exchange of mobile genetic elements carrying antimicrobial resistance genes (ARGs) not only among species belonging to the natural microbiota but also among the occasional ones including species potentially pathogens for humans. Moreover, the abuse and misuse of drugs in veterinary medicine and, in particular, in aquaculture, can stimulate the appearance of new resistant microbial populations that might spread along the coastal areas contributing to this genetic reservoir.

In order to evaluate the contribution of aquaculture centers to the emergence of antibiotic resistant bacterial strains, the aim of my thesis has been to investigate, using the Next Generation Sequencing technology, the resistome, mobilome and virulome of a Multi Drug Resistant marine bacteria collection isolated in several aquaculture centers located along the coast of the Adriatic Sea in Italy.

The data obtained allowed to decipher a number of genes and mobile genetic elements involved in the resistance to several classes of antibiotics found in the analyzed marine species belonging to *S. algae*, *V. anguillarum* and *V. parahaemolyticus*. In particular, it is worthwhile to highlight the plasticity of their genomes and the presence, within them, of genetic elements that can derive from other aquatic or pathogenic bacteria confirming the interchange of ARGs among the microbial population.

The collaboration with the Medical and Molecular Microbiology Unit led by Prof. Nordmann at the University of Fribourg in Switzerland has allowed to *in vitro* mobilize *bla*<sub>AmpC</sub> and *bla*<sub>CARB-19</sub> beta-lactamase encoding genes present in *V. anguillarum* and in *V. parahaemolyticus* chromosomes respectively, by cloning. This process has occurred easily between *Vibrio* spp. and *Escherichia coli*.

Finally, this work has also contributed into the analysis of the point mutations present in the amino acid sequences of OXA-55-like, AmpC and CARB-19 found in *S. algae*, *V. anguillarum* and *V. parahaemolyticus*, in order to understand the mutation rate within these beta-lactamases and how it varies among the two genera analyzed.

## **PART I**

**WHOLE GENOME SEQUENCING ANALYSIS TO  
INVESTIGATE THE RESISTOME, MOBILOME AND  
VIRULOME OF *SHEWANELLA ALGAE* AND *VIBRIO*  
SPP. STRAINS ISOLATED IN AQUACULTURE  
CENTERS IN ITALY**

### 3. INTRODUCTION

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#### *3.1 Antimicrobial resistance in the environment: an ancient concern for public health*

Antimicrobial resistance is increasing worldwide and is becoming a threat to human health. This is a natural evolutionary response to antimicrobial exposure documented by an ECDC (European Center for Disease Control) study reporting about 33.000 deaths occurred in 2015 in Europe as a direct consequence of an infection due to bacteria resistant to antibiotics (Cassini *et al.*, 2019). Considering this dramatic situation, due mainly to the misuse and abuse of antibiotics, a predominant role is played by livestock and agriculture. In these sectors, antibiotics are used to support animal and plant health contributing for between one third and two thirds of total antibiotic sales and including use of critically important antibiotics (CIAs) which comprise antibiotics deemed vital to maintain human health included aminoglycosides, macrolides, penicillins, quinolones, sulfonamides and tetracyclines (Ledingham, WHO, 2019; Santos and Ramos, 2018). It is generally acknowledged and heavily debated that the irresponsible and excessive use of antibiotics for the control of infections in animals, combined with the administration at sub-therapeutic doses (for prophylaxis or as growth promoters) in healthy animals through feed and water, has, through the years, contributed to the increased resistance of some pathogens that can propagate to humans (Ferri *et al.*, 2017). In this scenario, a great contribution to maintain antibiotic reservoir in the environment is given by aquaculture sector where veterinary medicines are widely used (FAO, 2018). A consequence of the excessive use of antibiotics in food producing animals is the excretion of these unmetabolized drugs directly into the environment where they can persist creating an opportunity for the selection of resistant strains within exposed bacterial population (Rosenblatt-Farrell, 2009). ARGs are found in many environments such as 30.000-year-old permafrost, isolated caves, Alaskan soil and glaciers as Pal and coworkers stated (2016), suggesting the ancientness of the issue. This is not a surprise, considering that most antimicrobial drugs are naturally produced by microorganisms, including environmental fungi and saprophytic bacteria, or are synthetic modifications of them, with only a few drugs (eg, sulfonamides and fluoroquinolones) being wholly synthetic (Holmes *et al.*, 2016). In particular, aquatic environment is increasingly being recognized as an important reservoir of ARGs able to retain and transfer resistance (Lloyd *et al.*, 2018). Exposure to contaminants, drug residues and metals originating from anthropogenic activities, included aquaculture, can trigger a process called co-selection contributing in the

maintenance of the resistant microorganisms in the environment. This, facilitate the spread of ARGs from human pathogens to the natural microbiota and viceversa when they coexist in the same ecological niche. In addition to that, the natural microflora itself is a reservoir of ARGs which more often are located in the bacterial chromosome constituting an intrinsic resistance to several classes of antibiotics.

Although the role of the environment as an important source and dissemination route of resistance has been increasingly recognized (Bondarczuk *et al.*, 2015; Ashbolt *et al.* 2013; Finley *et al.*2013; Pruden *et al.*2013; Wright, 2010; Martinez, 2008), the knowledge about its contribution is still limited. This is due to the fact that we cannot consider the environment alone but as a collection of the contributions in AMR coming from human, animal and agricultural sectors in a One-Health approach as described in Figure 1.

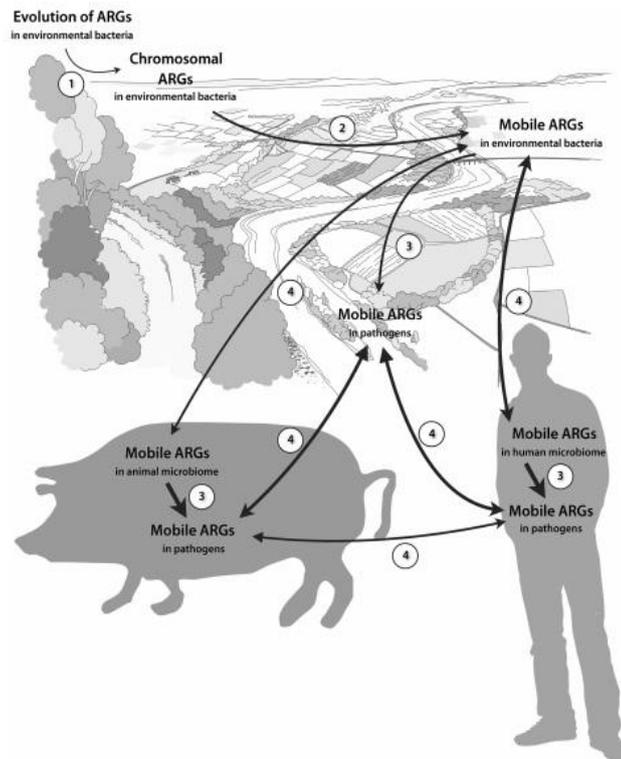


Figure 1. Overview of how external environment, livestock and humans can act in disseminating and developing AMR (Bengtsson-Palmer *et al.*, 2018). The antibiotic exposure in the environment can select for AMR bacteria with novel ARGs (1), that can survive in the environment and spread in it through MGEs (2). Human pathogens can interact with the environment resistome constituted by the microflora of a specific ecological niche and acquire new ARGs through their mobilization (3). Then these ARGs are disseminated in the human microbiome (4).

### 3.1.1 AMR in aquaculture and its consequences in the aquatic environment

The growth of aquaculture as a commercial activity has been rapid since 1970s (Diana *et al.*, 2013). Fish and shellfish, reared under crowded and stressful conditions, are prone to bacterial infections. Increases in industrialisation of aquaculture has been paralleled by increased prophylactic and therapeutic use of antimicrobials and chemical disinfectants to prevent and treat these infections (Cabello *et al.*, 2013). This is why this sector is particularly touched by the problem of AMR due to the extensive, unregulated, and indiscriminate use of antibiotics in much of the world. Despite there is much less data available on the public health impact of antimicrobial usage in aquaculture compared to other sources, it seems that the use of antimicrobials might contribute to the emergence and spread of AMR through the water, pond sediments, fish, shellfish and finally present a risk to public health (Heuer *et al.*, 2009; Miranda and Zemelman, 2001). In Europe and in Italy, the antibiotics authorized for use in aquaculture are amoxicillin, ampicillin, tetracycline, oxytetracycline, chlortetracycline, florfenicol, thiamfenicol, oxolinic acid, enrofloxacin, flumequin, erythromycin, and sulfonamides (potentiated with trimethoprim) (OIE, 2018; EEC Regulation No 2377/90). Furthermore, it is easily understood that aquacultural antibiotic doses can be proportionately higher than those used in terrestrial animal farming (Santos and Ramos, 2018). Also the integrated fish farming with the use of animal manure as fertilizers seems to favor antimicrobial-resistant bacteria in the pond environment (Petersen *et al.*, 2002). Although the total quantities of antibiotics employed in aquaculture are estimated to be smaller than those used in land animal husbandry, there is much greater use of antibiotic families that are also used in human medicine (e.g., quinolones, beta-lactams, tetracyclines) (Marshall and Levy, 2011). Moreover, the presence of ineffective vaccines against the most common fish pathogens and the crowded conditions of fish farming, see the use of antimicrobials as a need in this field. This can select for aquatic antimicrobial-resistant bacteria that can contaminate aquaculture products marketed for human consumption (Santos and Ramos, 2018; Cabello *et al.*, 2016). These bacteria and antimicrobial residues can reach human consumers and alter their microbiome (Blaser, 2014; Chen *et al.*, 2013; Love *et al.*, 2011). Furthermore, heavy metals and disinfectants used in aquaculture can also increase AMR in the aquacultural environment (Pal *et al.*, 2015; Seiler *et al.*, 2012). Antimicrobials used in aquaculture are largely administered in feed, occasionally by bath. Up to 80% of the antimicrobials are deposited in water and sediments close to sites of application in the absence of collectors under pens to catch uneaten medicated feed (Figure 2). Ingested but not absorbed antimicrobials, together with their metabolites in

stools, urine, and other secretions sediment to these same areas with their antimicrobial activity largely intact (Figure 2). Generally, antimicrobials in aquatic environments are rapidly transported from sites of application and diluted, but sometimes antimicrobials (e.g. tetracyclines) and their metabolites can remain active in aquatic sediments for months at sufficiently high concentrations to exert selective effects on aquatic bacterial diversity in these environments (Hektoen *et al.*, 1995; Samuelsen *et al.*, 1992; Björklund *et al.*, 1991).

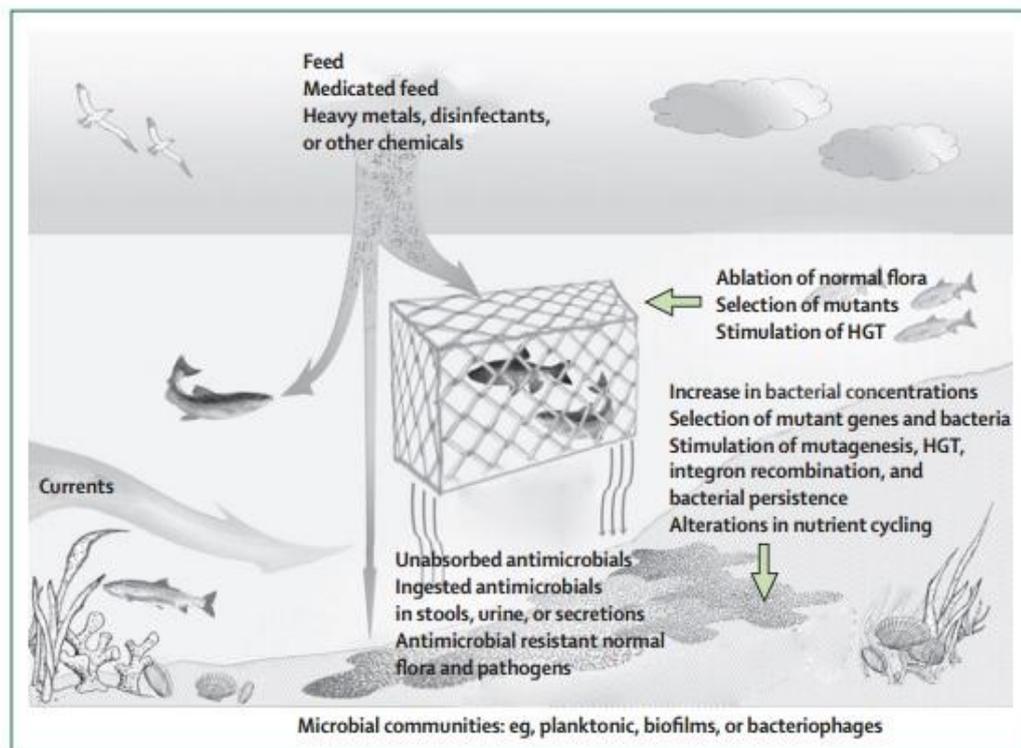


Figure 2. AMR in the aquacultural environment (Cabello *et al.*, 2016). The antimicrobials reach the farmed and wild fish through feed with deep consequences in their microbiomes. In addition, the unmetabolized antibiotics and their residues are released in the aquatic environment and sediment exerting a selection pressure on the autochthonous microflora. In this way, ARGs can be spread by HGT in the microbial community.

The composition of microbiota in the aquatic environment can be altered by the microbiota of stools and secretions of fish and shellfish treated with antimicrobials through the addition of high levels of commensals and potential pathogens containing ARGs (Romero *et al.*, 2014; Cantas *et al.*, 2012; Navarrete *et al.*, 2008). The aquatic environment also contains high concentrations of bacteriophages and other gene transfer

agents able to mediate and stimulate HGT by transduction and generate naked DNA for transformation by bacterial lysis (Cabello *et al.*, 2013; Srinivasiah *et al.*, 2008). Such naked DNA can be taken up by many bacterial species such as by animal pathogens like vibrios (Domingues *et al.*, 2012). Biofilms as well, being common in the aquatic environment, can mediate HGT and persistence (Balcázar *et al.*, 2015).

### 3.1.2 Mechanisms of antibiotic resistance in Gram-negative bacteria

Bacteria have a remarkable genetic plasticity that allows them to respond to a wide array of environmental threats, including the presence of antibiotic molecules that may jeopardize their existence (Munita and Arias, 2016). They can be intrinsically resistant to one or more antimicrobials due to the selective pressure during antibiotic exposure. But, in most cases the AMR can be acquired in a bacterial population previously susceptible to a specific antimicrobial compound. However, the two major genetic strategies that bacteria can use to defend from antibiotics are mutations in gene(s) often associated with the mechanism of action of the compound and acquisition of foreign DNA coding for resistance determinants through HGT. Generally, mutations alter the antibiotic action through modifications of the antimicrobial target decreasing the affinity for the drug (Figure 3). Moreover, the ability to create biofilms is another strategy to escape to the drug action.

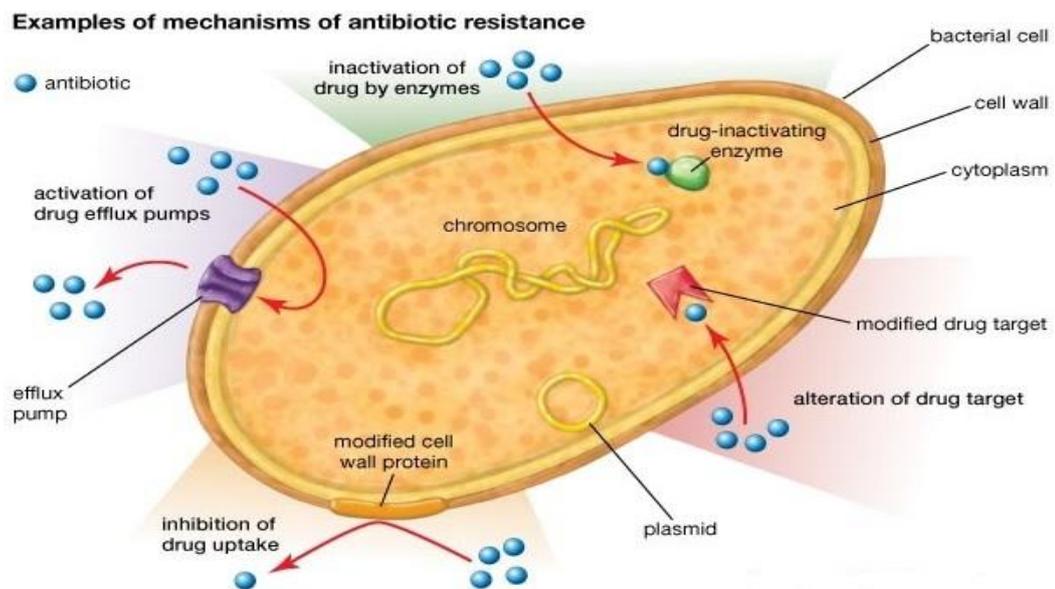


Figure 3. Schematic illustration of the antibiotic resistance mechanisms occurring in bacteria (Khameneh *et al.*, 2016).

Concerning the modification of the antimicrobial compound, bacteria are able to produce specific enzymes capable of introducing chemical changes to the antimicrobial molecule. This is a well known acquired mechanism of antibiotic resistance. Many types of modifying enzymes have been described. The main example of the drug molecule destruction is described in a specific class of antibiotics such as beta-lactams. Here, the production of beta-lactamases able to destroy the amide bond of the  $\beta$ -lactam ring can render these molecules ineffective. The spread of these enzymes are a severe global health concern because of the emerging of new ones that are specific to last-resort beta-lactams such as carbapenems. This cause the rapid inefficacy of these drugs with dramatic consequences in the therapeutic treatment, in particular in MDR Gram-negative infections occurring in the nosocomial setting.

Another mechanism of AMR, very common in Gram-negative bacteria, is represented by the ability to decrease the uptake of the drug through porin alterations. Importantly, changes in permeability through any of these mechanisms frequently result in low-level resistance and are often associated with other mechanisms of resistance, such as increased expression of efflux pumps (Nikaido, 2003). These systems may be substrate-specific or with broad substrate specificity, which are usually found in MDR bacteria (Poole, 2005). Efflux pumps represent the first line of defense against antibiotics as they decrease the intracellular level of drugs while the bacterial cell activates the various other levels of protection such as the production of enzymes (Rahman *et al.*, 2017). This mechanism of resistance affects a wide range of antimicrobial classes including protein synthesis inhibitors, fluoroquinolones,  $\beta$ -lactams, carbapenems and polymyxins. The genes encoding efflux pumps can be located in MGEs or in the chromosome. Efflux pumps are classified into five families (Figure 4): the Small Multidrug Resistance (SMR) family, the Multidrug And Toxic compound Extrusion (MATE) family, the Major Facilitator Superfamily (MFS), the ATP-Binding Cassette (ABC) family and the Resistance-Nodulation-cell Division (RND) family (Poole, 2007; Anes *et al.*, 2015). These mechanisms of resistance are very common in Gram-negative bacteria both in human pathogens and in the marine bacteria belonging to *Shewanellaceae* and *Vibrionaceae* families.

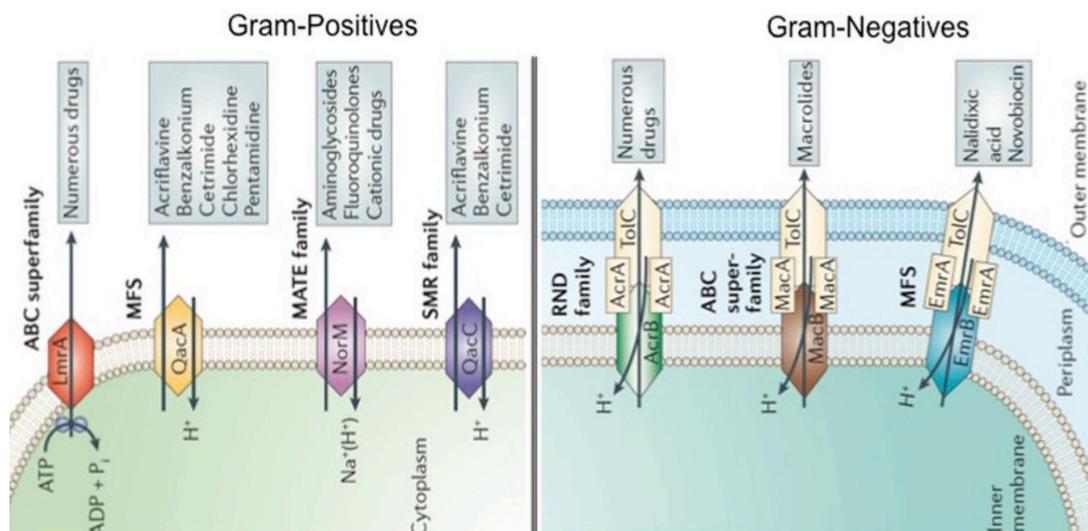


Figure 4. Comparison of all the efflux pumps families present both in Gram-positive and in Gram-negative bacteria according to their source of energy and their drugs or substrates extruded (Munita and Arias, 2016).

Another common strategy for bacteria to develop antimicrobial resistance is to avoid the action of the antibiotic by interfering with their target site. Although some of the genetic determinants coding for proteins that mediate target protection have been found in the bacterial chromosome, most of the clinically relevant genes involved in this mechanism of resistance are carried by MGEs. Examples of drugs affected by this mechanism include tetracycline (TetM and TetO) and fluoroquinolones (Qnr) (Aldred *et al.*, 2014; Li *et al.*, 2013; Dönhöfer *et al.*, 2012; Rodríguez-Martínez *et al.*, 2011; Martínez-Martínez *et al.*, 1998).

### 3.1.3 MGEs involved in ARGs spread in the environment

The term MGEs is used to refer to elements that promote intracellular and intercellular DNA mobility (e.g., from the chromosome to a plasmid or between plasmids) (Partridge *et al.*, 2018). To date, several MGEs are known to have an important role in ARGs dissemination in the microbial population present in a definite environment. Among them, ISs, transposons, plasmids, ICEs and integrons are involved in cell-cell contact mobilization whereas bacteriophages do not require cell contact (Figure 5).

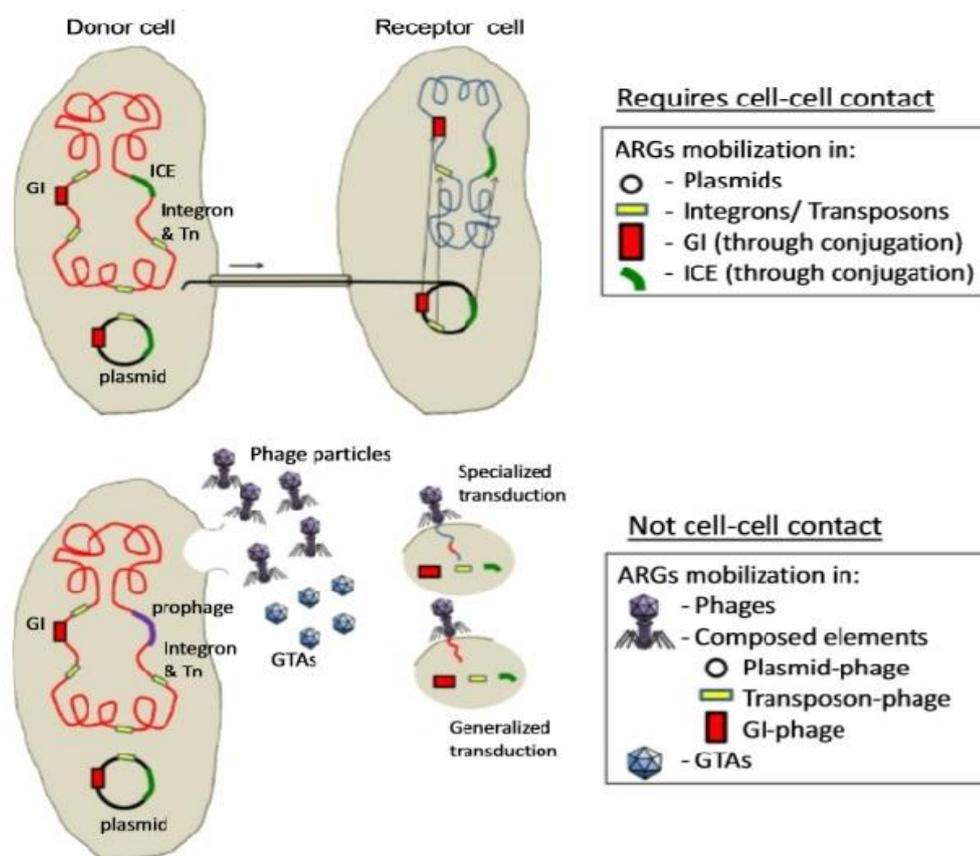


Figure 5. Schematic representation of how MGEs can act in disseminating ARGs in the environment through mechanisms that require cell-cell contact (such as plasmids, integrons, transposons, genomic islands (GIs) and ICEs) and other that do not (phages and phage-related elements, Gene Transfer Agents (GTAs)).

ISs or simple transposons are the smallest and most numerous autonomous TEs. ISs differ from transposons as they lack cargo genes but they only contain genes that are involved in the catalysis and regulation of the TE movement. Anyway, they move resistance genes as part of a composite (also called composed) transposon, a region bounded by two copies of the same or related IS that can move as a single unit (Partridge *et al.*, 2018). Traditionally, ISs contain one or sometimes two transposase genes and can be divided into groups based partly on active site motifs in Tnp, designated by key amino acids DDE (Asp, Asp, and Glu) but also DEDD and HUH (two His residues separated by a large hydrophobic amino acid) (Hickman and Dyda, 2015). The most common ISs found in prokaryote genomes including marine strains such as shewanellae and vibrios belong to several families such as IS3, IS4, IS5, IS30, IS110, IS200/IS605, IS630, ISL3 and ISAs1, the latter one restricted only to Gram-negative bacteria (Mahillon and Chandler, 1998).

Chromosomal resistance can also often be captured in a transposon (Chancey *et al.*, 2012; Quintiliani and Courvalin, 1996). The way in which transposons are integrated into and excised from the chromosome depends on whether they are “autonomous” or “non-autonomous”. Autonomous transposons move by themselves; while non-autonomous transposons require the presence of other transposons to move. Integration is dependent on the presence of a transposase that recognizes direct repeats, but the main point is that transposons per se are not transferred horizontally.

Integrans are similar to transposons to some extent; they may also harbor antibiotic resistance and the main difference is that the enzyme that allows their integration is an integrase. This enzyme catalyzes the capture of discrete genetic elements known as gene cassettes.(Cambray *et al.*, 2010). Integrans are usually part of larger transposons, which in turn are often part of conjugative plasmids or ICEs. Integrans are classified on the basis of their integrase sequence (*IntI*) with class I being the first reported and most common in antibiotic-resistant clinical isolates. Class II integrans, associated with Tn7 and variants, often have a nonfunctional *IntI2* gene due to an internal stop codon and, probably as a consequence, house a limited variety of cassettes (Ramirez *et al.*, 2010a). Class III integrans are more similar to class I integrans and also appear to be associated with Tn402-like transposons (Collis *et al.*, 2002). Only a few examples have been identified, mostly carrying cassettes that encode beta-lactamases. Class IV was previously used to refer to an integran found in the *Vibrio cholerae* chromosome. “Mobile” integran types, now designated class IV and class V integrans (Escudero *et al.*, 2015), appear to be rare and have not been identified in the species of clinical interest.

ICEs constitute a diverse group of mobile elements found in both Gram-negative and Gram-positive bacteria and have been reviewed recently (Delavat *et al.*, 2017). Like plasmids, ICEs are self-transmissible by conjugation, but they integrate into the host chromosome and are replicated as part of it, although replication of excised ICEs has now been demonstrated (Carraro *et al.*, 2015). ICE typically consist of a backbone (containing phage-like integration/excision functions, plasmid-like conjugation/maintenance components, and a regulation module) into which accessory genes are inserted (Figure 6). Excision of the ICE as a circular form and integration of this circle (at low frequency), usually into a unique attB site in the host chromosome, are catalyzed by the ICE-encoded site-specific integrase (Int). Plasmid R391, originally called IncJ, is now classified as one of the archetypes of the SXT/R391 family of ICE. These elements integrate into an attB site in the 5' end of the chromosomal *prfC* gene by site-specific recombination with their

attP site, catalyzed by the IntSXT tyrosine recombinase. They have an IncA/C-related conjugation region, encoding a MOB<sub>HI</sub> family relaxase, and the regulation region includes a *mobI* gene and allows activation by the SOS response (Delavat *et al.*, 2017). The excised form of these elements is able to replicate, and they carry a partitioning system (Carraro *et al.*, 2015; Carraro and Burrus, 2014). Different insertions are found at certain positions in a shared 47-kb backbone, and hybrid elements have also been found (Burrus *et al.*, 2006). SXT/R391 family elements are also able to mobilize adjacent sequences, including some genomic islands that have an oriT (Delavat *et al.*, 2017). SXT carries resistance genes and can be found in *E. coli* but is mainly associated with *Vibrio* spp.

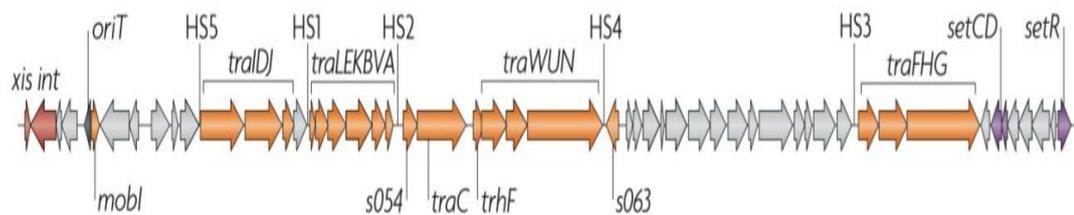


Figure 6. Genetic scheme of SXT-R391 family of ICE (Wozniak and Waldor, 2010). It contains four modules: *int-xis* is the integration and excision module; *mob* is the DNA mobilization and processing module; *mpf* is the mating pair formation module with *tra* genes and *reg* is the regulation module including *setCDR* genes. In orange are reported genes involved in the conjugation and gene transfer, purple genes are involved in regulation whereas red genes in integration/excision. Grey genes are accessory or unknown functions. The presence of five hotspots (HS1-HS5) allows DNA insertion. Xis, excisionase; int, integrase; oriT, origin of transfer; tra, conjugal transfer.

Plasmids are important vehicles for the carriage of other MGEs and acquired antimicrobial resistance genes associated with these elements in both Gram-negative and Gram-positive genera. They vary in size from less than a kilobase to several megabases (Shintani *et al.*, 2015). Plasmids are extrachromosomal DNA molecules able to replicate in cells autonomously and they contain partition gene systems to their stable inheritance in the same host cell line. Conjugation or mobilization functions may also be encoded by plasmids allowing them to spread horizontally. Together the genes encoding these functions form a “backbone” (Thomas, 2000) to which can be added “accessory” nicheadaptive activities that might benefit the host cell (and hence the plasmid itself) in a particular environment. In resistance plasmids, these accessory regions are typically made up of one or more resistance genes and associated mobile elements of the types described

above (IS, Tn, and/or In). ARGs can be conjugally transferred to cells from a broad range of organisms; in Gram-negative bacteria the process is mediated by the type IV secretion system (T4SS) (Cabezón *et al.*, 2015). Some plasmids can also be inserted into the bacterial chromosome and mobilized vertically (Boccard *et al.*, 1989).

The most suitable vehicle for transfer between noncontiguous cells are bacteriophages. Recent reports indicate that phage implication in the transfer of ARGs could be more important than previously thought, particularly in the environment. Recent studies show the presence of ARGs in phage particles in the viral fraction of contaminated water bodies (Balcázar, 2014), human gut (Quirós *et al.*, 2014; Modi *et al.*, 2013; Minot *et al.*, 2011), human lung (Fancello *et al.*, 2011) or waste water treatment plant sludge (Calero-Cáceres *et al.*, 2014; Parsley *et al.*, 2010). During the maturation process of the phage particle, specific viral enzymes can cleave bacterial DNA allowing its mobilization and package in the phage capsid (Brown-Jaque *et al.*, 2015). In this way, the mature phage can infect other bacterial cells. In addition, phages can disseminate ARGs during the lytic step of their infective cycle. The lysis of the bacterial cell has as consequence the release in the environment of the bacterial DNA, included ARGs, that can be acquired as naked DNA through transformation mechanism by the microbial population.

### 3.2 The *Shewanella* genus

*Shewanella* is a unique genus of the *Shewanellaceae* family, which includes non-fermentative Gram-negative, motile rods with positive oxidase and catalase reaction. It is composed of more than 70 species that are widely distributed in nature, especially in aquatic environments such as sea- and freshwater, as well as in marine organisms (Lemaire *et al.*, 2020; Satomi, 2014). Moreover, several members of this genus have been identified that could potentially play key roles in environmental processes such as bioremediation of toxic elements and heavy metals and serving as microbial fuel cells. In contrast to this beneficial role, shewanellae are increasingly being implicated as human pathogens in persons exposed through occupational or recreational activities to marine niches (Janda and Abbott, 2014). In such venues *Shewanella* can either serve as a secondary or opportunistic pathogen. The two species mostly involved in causing human infections are *S. algae* and *S. putrefaciens*. These infections are now being reported at increased frequency apparently due to better diagnostic capabilities in the clinical microbiology laboratory (Huang *et al.*, 2018; Potter *et al.*, 2017; Baruah and Grover,

2014; Jacob-Kokura *et al.*, 2014). They are described in immunocompromised patients with renal failure, neutropenia, hepatobiliary disease, diabetes or those involved in trauma accidents (Yousfi *et al.*, 2017; Jousset *et al.*, 2017; Muñoz-Gallego *et al.*, 2016; Jacob-Kokura *et al.*, 2014; Janda and Abbott, 2014). However, the most common clinical manifestations due to *Shewanella* infection are skin and soft-tissue infections (SSTIs) (Mohr *et al.*, 2016). Usually, the antibiotic therapy adopted includes beta-lactams, aminoglycosides and quinolones. They are generally susceptible to third and fourth generation cephalosporins, carbapenems, beta-lactamase inhibitor combinations, aminoglycosides, chloramphenicol, erythromycin, aztreonam and quinolones (Yousfi *et al.*, 2017; Satomi, 2014; Janda and Abbott, 2014). By the way, resistance to these drugs is increasing due to the presence in their chromosome of class D beta-lactamase encoding genes (*bla<sub>OXA</sub>*) conferring resistance to carbapenems, class C beta-lactamase (*bla<sub>AmpC</sub>*) genes which decrease the susceptibility to cephalosporins and *qnr* genes responsible for resistance to quinolones (Huang *et al.*, 2018; Jousset *et al.*, 2017; Ceccarelli *et al.*, 2017; Cimmino *et al.*, 2016; Potron *et al.*, 2011; Lascols *et al.*, 2008; Kim *et al.*, 2006; Poirel *et al.*, 2005a; Poirel *et al.*, 2004). The variants of *qnrA* detected in *S. algae* include *qnrA2*, *qnrA3*, *qnrA4*, and *qnrA5* (Poirel *et al.*, 2005a). A study of seven *S. algae* and three *S. putrefaciens* clinical isolates revealed that *dfrA1* and *aadA1* gene cassettes conferring resistance to trimethoprim and aminoglycosides, respectively, were present in the variable regions of class I integrons in both species. Class II integrons were also associated with these genes and were detected only in *S. algae*. The *sul1* and *sul2* genes conferring resistance to sulfonamides were detected in *S. algae* and *S. putrefaciens*, and the *bla<sub>OXA-48</sub>*-like gene was detected only in *S. putrefaciens* isolates (Ramirez *et al.*, 2010b). Furthermore, resistance to colistin, a last-resort antibiotic in human medicine, has been reported as well due to the presence of *eptA* gene (Cimmino *et al.*, 2016; Telke and Rolain, 2015; Holt *et al.*, 1997). This genus is regarded as a reservoir and vehicle of different antibiotic resistance genes owing to the several antibiotic resistance determinants identified in this genus. However, the genetic characterization of antimicrobial resistance in *Shewanella* remains limited. Reported studies mostly characterize phenotypic resistance for prescription purposes in clinical diagnostics. Regarding virulence-associated factors, hemolytic activity is reported (Cimmino *et al.*, 2016; Kashe and Janda, 1998). Furthermore, the presence of MGEs associated with resistance genes in this genus supports the hypothesis that *Shewanella* may have a role in the transmission and dissemination of antimicrobial resistance.

### 3.3 The *Vibrio* genus: a focus on the human pathogen *V. parahaemolyticus* and the fish pathogen *V. anguillarum*

*Vibrio* is a genus of ubiquitous bacteria found in a wide variety of aquatic and marine habitats; of the >100 described *Vibrio* spp., about 12 cause infections in humans. Vibrios are motile, curve-shaped bacilli able to cause severe or mild gastroenteritis (Ahmed *et al.*, 2018; Jacobs-Slifka *et al.*, 2017). In particular, *V. cholerae* can cause cholera, a severe diarrhoeal disease that can be quickly fatal if untreated and is typically transmitted via contaminated water and person- to-person contact. Non-cholera *Vibrio* spp. (for example, *V. parahaemolyticus*, *V. alginolyticus* and *V. vulnificus*) cause vibriosis, infections normally acquired through exposure to sea water or through consumption of raw or undercooked contaminated seafood. *V. parahaemolyticus* is a ubiquitous inhabitant of temperate and tropical coastal areas around the world (Baker-Austin *et al.*, 2018). The pathogenicity of *V. parahaemolyticus* is usually associated with the presence of two principal virulence genes; the thermostable direct hemolysin (*tdh*) and/or TDH-related hemolysin (*trh*) (Elbashir *et al.*, 2018). Among fish pathogenic vibrios, *V. anguillarum* is the most important, considering its implications in causing a deadly haemorrhagic septicaemic disease affecting various marine and fresh/brackish water fish, bivalves and crustaceans (Frans *et al.*, 2011). Cases of vibriosis are typically self-limiting (for example, *V. parahaemolyticus*- associated gastroenteritis), with few notable long- term quality- of-life issues associated with these infections. Very rarely, medical intervention is required, although antibiotic therapy is sometimes used if infections do not resolve or progress to systemic infections. Tetracyclines, aminoglycosides, third generation cephalosporins, fluoroquinolones and folate pathway inhibitors are generally used as antibiotic therapy in human vibriosis outbreaks (Ceccarelli *et al.*, 2016; Bier *et al.*, 2015). Regarding *V. anguillarum*, antibiotic treatment is necessary and it relies on oxolinic acid and florfenicol (Frans *et al.*, 2011). But, unfortunately, several cases of multidrug resistant vibrios are reported in literature whose resistance is described even to the last-resort antibiotics used in human medicine such as carbapenems, tetracycline, ceftazidime, cefotaxime, ciprofloxacin and kanamycin (Di *et al.*, 2019; Ahmed *et al.*, 2018; Lee *et al.*, 2018; Chowdhury *et al.* 2016; Mangat *et al.*, 2016; Aberkane *et al.*, 2015; Bier *et al.*, 2015). Moreover, resistance to quinolones is due to the presence of plasmid-mediated quinolone resistance (PMQR) mechanisms in *V. parahaemolyticus* whereas resistance to ampicillin and chloramphenicol is reported as well (Matamp and Bhat, 2019; Zhang *et al.*, 2019; Elbashir *et al.*, 2018). SXT/R391 ICE elements, class I integrons and plasmids are the main mobile elements found in these aquatic bacteria able to contribute to the

spread of resistance genes in the environment (Fang *et al.*, 2018; Carraro and Burrus, 2014). Phage treatment has been proposed in the form of a novel alternative biocontrol agent for antibiotic resistant pathogens due to their bactericidal activity, host specificity, and safety for human applications (Brüssow, 2005).

### 3.4 When Next Generation Sequencing meets the microbiology laboratory

Despite technological advances in laboratory diagnostics, the clinical microbiology laboratory continues to rely heavily on traditional methods, including culture, phenotypical, and biochemical tests, to identify microorganisms present in clinical specimens. This is due, in part, to the complexity and variability of specimens received by the clinical laboratory (Buchan and Ledebor, 2014). However, molecular methods, including PCR, microarray, and nucleic acid sequencing, have taken a prominent place in the clinical laboratory. This is due to the sensitivity and specificity of these methods in the microorganisms or genetic polymorphisms identification through amplification and detection of specific nucleic acid targets. In addition to that, the investment- and running-cost of NGS have decreased dramatically during the last decade (Dark, 2013; Sboner *et al.*, 2011), allowing this technology to be more and more used in the clinical microbiology laboratory.

#### 3.4.1 NGS platforms

Currently, a number of NGS platforms are available and the most important ones are represented in Figure 7, below. These platforms rely on different technologies such as Illumina sequencers, for example, that perform sequencing by synthesis of fluorescent, reversible terminators, whereas ThermoFisher sequencers use semiconductor sequencing that measure a change in pH during the incorporation of nucleotides. Pacific Biosciences use fluorescent nucleotides in their single molecule real-time (SMRT) technology whereas Oxford Nanopore platforms use ionic current sensing, in which DNA is guided through nano-pores thereby changing the current in a way that is specific for the type of nucleotide (Deurenberg *et al.*, 2017).

Company	Equipment	Output/run (Gb)	Maximum read length (bp)	Reads (x10 <sup>6</sup> )	Running time
Illumina	MiniSeq	0.6-7.5	2 × 150	25	4-24 h
Illumina	MiSeq	0.3-15	2 × 300	25	5-55 h
Illumina	NextSeq	20-120	2 × 150	130/400	12-30 h
Illumina	HiSeq 3000	125-700	2 × 150	2500	<1-3.5 days
ThermoFisher	Ion PGM™	0.03-2	200-400	0.4-5.5	2-7 h
ThermoFisher	Ion 5S™	0.6-15	200-400	3-80	2.5-4 h
ThermoFisher	Ion 5S™ XL	0.6-15	200-400	3-80	<24 h
Oxford Nanopore	MinION	21-42	230,000-300,000	2.2-4.4	1 min-48 h
Pacific Biosciences <sup>a</sup>	Sequel	0.75-1.25	>20,000	370,000	30 min-6 h
Pacific Biosciences <sup>a</sup>	RSII	0.5-1	>20,000	55,000	30 min-4 h

<sup>a</sup> The Pacific Biosciences data are per smart cell; both the Sequel and the RSII can run 1-16 smart cells in one run.

Figure 7. List of NGS platforms and their main properties (Deurenberg *et al.*, 2017).

### 3.5 The Whole Genome Sequencing to investigate the bacterial resistome, mobilome and virulome

NGS allows sequencing of the whole genome of numerous pathogens in one sequence run, either from bacterial isolates of (different) patients, or from multiple species present in patient material from one individual (metagenomics) (Deurenberg *et al.*, 2017). It is becoming a powerful tool in microbiology. In fact, it can help in revealing the presence of ARGs or genes that are involved in virulence or pathogenicity included their genetic mechanisms (Rossen *et al.*, 2018). In particular, Whole Genome Sequencing has already found numerous applications in AMR ranging from the development of novel antibiotics, surveillance systems both in human and veterinary medicine, the study of the evolution of resistance in real-time under a variety of conditions, to the development of diagnostic tests (Köser *et al.*, 2014). In addition, this technology can help in outbreak management, molecular case finding, characterization and surveillance of pathogens, rapid identification of bacteria using the 16S-23S rRNA region, taxonomy, metagenomics approaches on clinical samples, and the determination of the transmission of zoonotic microorganisms from animals to humans (Deurenberg *et al.*, 2017).

### 3.5.1 General workflow for the Whole Genome Sequencing of bacterial cells

The most common workflow (for example, using Illumina technology) to be performed for WGS of bacterial strains rely on several steps: sample collection and preparation, nucleic acid extraction and fragmentation, NGS library preparation, sequencing, data analysis and data storage (Motro and Moran-Gilad, 2017). In particular, the nucleic acid fragmentation step produce fragments ranging from 100 to 1000 bp with the exception for the third generation sequencers that can generate larger fragments. This is usually performed by library preparation kits which have the advantage to combine the nucleic acid fragmentation and the fusion of specific adaptors to the generated fragments. After sequencing, the sequenced fragments, called reads, must be assembled (genome assembly). The genome assembly can use a reference organism to improve this step or not (*de novo* assembly). In the latter case, the reads are aligned against each other. To help in this process, several softwares are available (Figure 8). Genome assembly relies on softwares such as SPAdes and Velvet (Bankevich *et al.*, 2012; Zerbino and Birney, 2008). Then, genome annotation allows to predict genes and proteins of the microorganism analyzed. For this purpose, PROKKA which contains Prodigal tool in its suite and RAST are the most common used (Overbeek *et al.*, 2014; Seemann, 2014). Gene identification is performed by NCBI BLAST. Regarding the detection of resistance genes and virulence factors, specific softwares like ResFinder, the Comprehensive Antimicrobial Resistance Database (CARD), Antimicrobial Resistance Database (ARDB), ABRicate and the Antibiotic Resistance Gene ANNOTation (ARG-ANNOT) can be used (Jia *et al.*, 2017; Gupta *et al.*, 2014; Kleinheinz *et al.*, 2014). While VirulenceFinder and Virulence Factor Database (VFDB) are useful databases for detecting virulence factors. Concerning MGEs, INTEGRALL (Moura *et al.*, 2009) and ISFinder databases (Siguier *et al.*, 2006) are used to reveal integrons and ISs, whereas VR profile and ICEBerg for ICEs detection (Liu *et al.*, 2019). PHASTER web server is usually used for phage detection (Arndt *et al.*, 2016) whereas Recycler tool for circular elements such as plasmids identification (Rozov *et al.*, 2017).

Application	Software	Link	Note
Annotation	Prokka	<a href="http://vicbioinformatics.com">www.vicbioinformatics.com</a>	
	RAST	<a href="http://rast.nmpdr.org">http://rast.nmpdr.org</a>	
Assembly	BioNumerics	<a href="http://www.applied-maths.com">www.applied-maths.com</a>	Commercial software
	CLC Genomic Workbench	<a href="http://www.clcbio.com">www.clcbio.com</a>	Commercial software
	SeqSphere	<a href="http://www.ridom.de">www.ridom.de</a>	Commercial software
	SPAdes	<a href="http://bioinf.spbau.ru/spades">http://bioinf.spbau.ru/spades</a>	Unix-based
	Velvet	<a href="http://www.ebi.ac.uk/~zerbino/velvet">www.ebi.ac.uk/~zerbino/velvet</a>	Unix-based
Data quality check	BaseSpace	<a href="https://basespace.illumina.com">https://basespace.illumina.com</a>	Commercial software
	BioNumerics	<a href="http://www.applied-maths.com">www.applied-maths.com</a>	Commercial software
	CLC Genomic Workbench	<a href="http://www.clcbio.com">www.clcbio.com</a>	Commercial software
	FastQC	<a href="http://www.bioinformatics.babraham.ac.uk">www.bioinformatics.babraham.ac.uk</a>	
Identification	K-merFinder	<a href="http://www.genomicepidemiology.org">www.genomicepidemiology.org</a>	
	NCBI BLAST	<a href="http://www.ncbi.nlm.nih.gov/blast">www.ncbi.nlm.nih.gov/blast</a>	
Metagenomics	MEGAN	<a href="http://ab.inf.uni-tuebingen.de/software/malt">http://ab.inf.uni-tuebingen.de/software/malt</a>	
Phylogeny	FastTree	<a href="http://www.microbesonline.org/fasttree">www.microbesonline.org/fasttree</a>	
	RAxML	<a href="http://sco.h-its.org/exelixis/software.html">http://sco.h-its.org/exelixis/software.html</a>	
	SeqSphere	<a href="http://www.ridom.de">www.ridom.de</a>	Commercial software
	SNPTree	<a href="http://www.genomicepidemiology.org">www.genomicepidemiology.org</a>	
Resistance	ARDB	<a href="https://ardb.cbcb.umd.edu">https://ardb.cbcb.umd.edu</a>	
	CARD	<a href="https://card.mcmaster.ca">https://card.mcmaster.ca</a>	
	ResFinder	<a href="http://www.genomicepidemiology.org">www.genomicepidemiology.org</a>	
SNP calling	BioNumerics	<a href="http://www.applied-maths.com">www.applied-maths.com</a>	Commercial software
	CLC Genomic Workbench	<a href="http://www.clcbio.com">www.clcbio.com</a>	Commercial software
	Samtools	<a href="http://www.htslib.org">www.htslib.org</a>	
	SeqSphere	<a href="http://www.ridom.de">www.ridom.de</a>	Commercial software
Typing (wgMLST)	BIGSdb	<a href="http://bigsgdb.readthedocs.io">http://bigsgdb.readthedocs.io</a>	
	BioNumerics	<a href="http://www.applied-maths.com">www.applied-maths.com</a>	Commercial software
	CLC Genomic Workbench	<a href="http://www.clcbio.com">www.clcbio.com</a>	Commercial software
	Enterobase	<a href="https://enterobase.warwick.ac.uk">https://enterobase.warwick.ac.uk</a>	
Virulence	SeqSpere	<a href="http://www.ridom.de">www.ridom.de</a>	Commercial software
	VFDB	<a href="http://www.mgc.ac.cn/VFs">www.mgc.ac.cn/VFs</a>	
Visualisation & comparative study	VirulenceFinder	<a href="http://www.genomicepidemiology.org">www.genomicepidemiology.org</a>	
	ACT	<a href="http://www.sanger.ac.uk/science/tools">www.sanger.ac.uk/science/tools</a>	
	Artemis	<a href="http://www.sanger.ac.uk/science/tools">www.sanger.ac.uk/science/tools</a>	
	BRIG	<a href="https://sourceforge.net/projects/brig/">https://sourceforge.net/projects/brig/</a>	
	ClustalW	<a href="http://www.genome.jp/tools/clustalw">www.genome.jp/tools/clustalw</a>	
Visualisation & comparative study	DNA plotter	<a href="http://www.sanger.ac.uk/science/tools">www.sanger.ac.uk/science/tools</a>	
	WebACT	<a href="http://www.webact.org">www.webact.org</a>	

Figure 8. List of the most used softwares for the genome assembly, annotation, resistance and virulence determinant detection (Deurenberg *et al.*, 2017).

### 3.5.2 *Pros and cons of WGS analysis of MDR bacteria*

Nowadays, high-throughput sequencing is not routinely performed in most hospital-based clinical microbiology laboratories (Rhoads, 2018). In fact, although WGS can be used to predict antimicrobial susceptibility from a single assay, it is difficult to assess genotypic-phenotypic concordance and to accreditate such kind of analysis (Rossen *et al.*, 2018; Ellington *et al.*, 2017). In addition to this, the workflow for WGS should be improved.

Undoubtedly, starting from the costs and the turnaround time from the library preparation and the runs on the WGS platforms (between 4 and 56 h to complete a single run for short read sequencers) (Schürch and van Schaik, 2017). Then, pipelines should be improved and developed in order to allow an easier use of softwares that are needed to obtain the final results. Another issue is the difficulty to have updated databases to find new ARGs, TEs and other genes involved in the pathogenicity of a specific bacterium. Moreover, these functional predictions are explicitly based only on sequence data and are vulnerable to false-positive predictions, particularly when functional assignments are based on annotations that have not themselves been directly validated biochemically or phenotypically (Crofts *et al.*, 2017). In addition, the high error rate of long read sequencers should be decreased since their rapidity in sequencing. In particular, the benefit of the MinION sequencer is that sequencing data can be analyzed while they are being generated. This may lead to strain identification within 30 min and a prediction of the antibiotic-resistance profile of the strain 10 h after the start of a run. However, owing to the relatively high error rate, the technology does not currently allow the reliable identification of strains on the basis of their multi locus sequence type, which may limit the MinION's usefulness in mapping outbreaks of drug-resistant pathogens (Schürch and van Schaik, 2017). Then, data storage is another big issue. Anyway, although several difficulties are encountered in using WGS technology routinely, its use in culture-independent bacteria could speed up the clinical laboratory workflow, particularly to decrease the time to genotypic AST (Tagini and Greub, 2017).

The increasing outbreaks in the last years of human infections caused by shewanellae and vibrios due to global warming suggest the need of surveillance programs for these emerging and opportunistic bacteria. For this purpose, we used the NGS technology to investigate the resistome, mobilome and virulome of 12 Multi Drug Resistant *Shewanella algae* and *Vibrio* spp. strains isolated in several aquaculture centers in Italy in order to study the resistance determinants involved and how they spread in our territory with possible outcomes in public health.

## 4. MATERIALS & METHODS

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### *4.1 Strain identification, phenotypical and biochemical analysis*

A collection of bacterial strains (*Shewanellaceae* and *Vibrionaceae*) isolated in fish farms located along the coast of the Adriatic Sea in Italy (Labella *et al.*, 2013) was screened for the presence of beta-lactamase and/or carbapenemase producing bacteria. Twelve MDR isolates were selected on the basis of their antimicrobial resistance profiles obtained by disk diffusion susceptibility test on MHA, their ability to hydrolyze imipenem in BCT (Pires *et al.*, 2013) and their sampling sites (Table 1). They were submitted to WGS analysis to study and compare their resistomes, mobilomes and virulomes. Bacterial growth was carried out overnight on TSA supplemented with NaCl 1% at 37°C for shewanellae whereas for vibrios the growth was sustained on TCBS at 37°C in the case of *V. parahaemolyticus* VPE116 strain and at room temperature for *V. anguillarum* 28AD. Species identification was confirmed using BLAST analysis of 16S rRNA gene predicted from genome sequencing. The culture media used are listed in Annex I.

### *4.2 Biochemical analysis of the selected marine strains*

#### *The Blue Carba Test*

The BCT is a modified biochemical test used for the rapid detection of carbapenemase-producing strains directly from bacterial cultures (Pires *et al.*, 2013). The Carba NP test (Nordmann *et al.*, 2012) relies on the use of a lysis buffer (TrisHCl) for bacterial protein extraction and detection of hydrolysis of the carbapenem  $\beta$ -lactam ring through the acidification of a phenol red solution used as color indicator. In the Blue-Carba test variant, bromothymol blue has been selected as the indicator, since it includes the optimal pH range (6.0 to 7.6) for most  $\beta$ -lactamases (pH = 6.8), which is a key factor for a direct colony approach. A commercially and widely available imipenem (Imipenem – cilastatin; Hikma Farmaceutica, Portugal) was used as the substrate for carbapenemases. The test solution consisted of an aqueous solution of bromothymol blue at 0.04% (Carlo Erba, Milano, Italy) adjusted to pH 6.0, 0.1 mmol/liter ZnSO<sub>4</sub>, and 3 mg/ml of imipenem, with a final pH of 7.0. A negative-control solution (0.04% bromothymol blue solution, pH 7.0) was prepared. A loop (approximately 5  $\mu$ l) of a pure bacterial culture recovered from

Mueller-Hinton agar (MicroBiol Diagnostici, Cagliari, Italy) was directly suspended in 100 µl of both test and negative-control solutions in a 1.5 ml eppendorf and incubated at 37°C with agitation (150 rpm) for 2 h for *S. algae* and *Vibrio* spp. strains. Carbapenemase activity was revealed when the test and negative-control solutions, respectively, were (i) yellow versus blue, (ii) yellow versus green, or (iii) green versus blue (Figure 9). Noncarbapenemase producers remained blue or green on both solutions. The test was performed in triplicate for all the isolates.

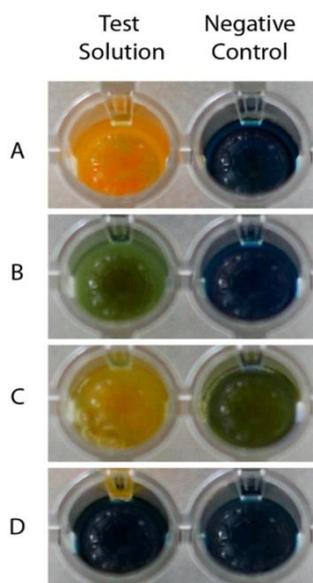


Figure 9. Representative results of the Blue-Carba test obtained from carbapenemase producers (A, B, and C) and non-carbapenemase producers (D) with test solution (left) and negative control solutions (right). (A) NDM-1-producing *E. coli*. (B) OXA-23-producing *Acinetobacter baumannii*. (C) OXA-48-producing *Klebsiella pneumoniae*. (D) *E. coli* ATCC 25922 (Pires *et al.*, 2013).

#### 4.3 Antibiotic susceptibility testing

Antibiotic susceptibility testing was conducted using the disk diffusion method in MHA plates modifying the EUCAST guidelines (Non Species Related and *Pseudomonas* spp. tables) since specific breakpoints for these emerging pathogens are not available. Resistance profiles were obtained when no zones of inhibition or alternatively zones of inhibition with small diameter sizes were detected. The antibiotics used in this study were amoxicillin (25 µg/ml), amoxicillin+clavulanic acid (30 µg/ml), ticarcillin (75 µg/ml), ticarcillin+clavulanic acid (85 µg/ml), piperacillin (75 µg/ml), piperacillin+tazobactam (85 µg/ml), ceftazidime (10 µg/ml), cefepime (30 µg/ml), temocillin (30 µg/ml),

cefoxitin (30 µg/ml), cefotaxime (30 µg/ml), cefepime (30 µg/ml), cephalothin (30 µg/ml), aztreonam (30 µg/ml), imipenem (10 µg/ml), ertapenem (10 µg/ml), meropenem (10 µg/ml), trimethoprim+sulfamethoxazole (25 µg/ml), colistin (50 µg/ml), chloramphenicol (30 µg/ml), sulfonamides (200 µg/ml), fosfomicin (200 µg/ml), tobramycin (10 µg/ml), kanamycin (30 µg/ml), gentamicin (15 µg/ml), amikacin (30 µg/ml), nalidixic acid (30 µg/ml), ciprofloxacin (5 µg/ml), tetracycline (30 µg/ml), tigecycline (15 µg/ml). MHA plates were incubated at 37°C overnight for *S. algae* strains and *V. parahaemolyticus* VPE116 whereas at room temperature for *V. anguillarum* 28AD.

#### 4.4 Genomic DNA extraction and genome sequencing

Genomic DNA was extracted using CTAB method (described in Annex II) and 1 µg of gDNA was used for library preparation with Illumina TruSeq DNA PCR-free kit (Illumina, Milan, Italy). WGS was performed by Illumina NextSeq® 500 platform (Illumina, San Diego, CA, USA) using the paired-end strategy with 400X as coverage and producing 2 x 150 bp reads (average read length). The quality of generated reads was checked using FastQC software whereas adapters trimming was performed using Scythe v0.991 and Sickle v1.33. Then, genomes were assembled by SPAdes v3.10.1 assembler (Bankevich *et al.*, 2012).

#### 4.5 Genome annotation

The annotation was carried out using Prodigal v2.6, a tool provided by PROKKA v1.12 software (Seemann, 2014). Antimicrobial resistance genes were detected using several databases such as ResFinder, the Comprehensive Antibiotic Resistance Database (CARD), ABRicate and the Antibiotic Resistance Gene ANNOTation (ARG-ANNOT; Jia *et al.*, 2017; Gupta *et al.*, 2014; Kleinheinz *et al.*, 2014). Plasmids and other mobile genetic elements such as integrons and transposons were investigated using INTEGRALL (Moura *et al.*, 2009) and ISFinder databases (Siguiier *et al.*, 2006), VR profile v2.0 and ICEBerg v2.0 for ICEs detection (Liu *et al.*, 2019) and PHASTER web server for phages (Arndt *et al.*, 2016) as well as Recycler tool (Rozov *et al.*, 2017). Virulence factors were detected using gene annotation and prediction performed by Prodigal.

## 5. RESULTS

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### 5.1 *Bacterial strain characterization*

The strain identification was confirmed at species level blasting the 16S rRNA gene predicted by WGS and resulting in 10 *S. algae*, 1 *V. anguillarum* and 1 *V. parahaemolyticus*. The growth of the 12 strains was inhibited on ChromID ESBL medium. Regarding the BCT, 10 *S. algae* isolates were positive to the test with a pH decrease of the solution due to the hydrolysis of the imipenem and its change from blue to green. For *V. anguillarum* 28AD, a BCT doubtful result was obtained. *V. parahaemolyticus* VPE116 being ESBL and BCT negative was used as negative control strain (Figure 10).



Figure 10. BCT results for the *S. algae* isolates.

Antimicrobial resistance profiles were obtained by standard antibiotic susceptibility testing performed following the modified EUCAST guidelines as described in Materials and Methods. Resistance towards  $\beta$ -lactams (including imipenem), colistin, sulfonamides and fosfomycin was reported in all the 10 *S. algae* isolates (Table 1). Only the 57CP and 38LV strains were resistant to tetracycline and tigecycline. Resistance to the same antibiotics detected in all the shewanellae was revealed also in *V. anguillarum* which was resistant to ticarcillin alone and combined with clavulanic acid, temocillin and amikacin as well. *V. parahaemolyticus* VPE116 showed resistance to aminoglycosides (tobramycin, kanamycin, amikacin and gentamicin), colistin and sulfonamides but it was susceptible to imipenem, cephalothin and cefotaxime.

## 5.2 Genome features

Genome sizes, total number of reads, contigs and scaffolds generated, %GC contents, number of predicted genes, proteins, hypothetical proteins and RNA encoding genes are reported in Table 2 for each isolates. A k-mer value of 97 was selected to obtain the best genome assembly for all the strains.

Table 1. List of the MDR marine isolates under study. Sampling dates, sources, species identification and antimicrobial resistance profiles are reported for each strain.

<b>STRAIN</b>	<b>SAMPLING DATE</b>	<b>SOURCE</b>	<b>16S rRNA GENE IDENTIFICATION</b>	<b>ANTIMICROBIAL RESISTANCE PROFILE</b>
<b>353M</b>	10/08/2010	Water Open sea	<i>Shewanella algae</i>	CEF, FOX, IPM, AMX, CS, SUL, FOS
<b>178CP</b>	13/06/2011	Water Veneto II station	<i>Shewanella algae</i>	CEF, FOX, IPM, AMX, CS, SUL, FOS
<b>146bCP</b>	13/06/2011	Water Veneto II station	<i>Shewanella algae</i>	CEF, FOX, IPM, AMX, CS, SUL, FOS
<b>144bCP</b>	13/06/2011	Water Veneto II station	<i>Shewanella algae</i>	CEF, FOX, IPM, AMX, CS, SUL, FOS
<b>219VB</b>	30/09/2010	Water Veneto I station	<i>Shewanella algae</i>	CEF, FOX, IPM, AMX, CS, SUL, FOS
<b>82CP</b>	15/04/2011	Water Veneto II station	<i>Shewanella algae</i>	CEF, FOX, IPM, CS, SUL, FOS
<b>38LV</b>	22/07/2011	Water Varano lake	<i>Shewanella algae</i>	CEF, FOX, IPM, AMX, CS, SUL, FOS, TET, TGC
<b>57CP</b>	15/04/2011	Water Veneto II station	<i>Shewanella algae</i>	CEF, FOX, IPM, CS, SUL, FOS, TET, TGC
<b>60CP</b>	15/04/2011	Water Veneto II station	<i>Shewanella algae</i>	CEF, FOX, IPM, AMX, CS, SUL, FOS
<b>83CP</b>	15/04/2011	Water Veneto II station	<i>Shewanella algae</i>	CEF, FOX, IPM, AMX, CS, SUL, FOS
<b>28AD</b>	01/02/2007	European seabass	<i>Vibrio anguillarum</i>	CEF, FOX, AMX, TIC, AMC, TCC, TEM, CS, SUL, AKN
<b>VPE116</b>	26/06/2007	Water Caleri lagoon	<i>Vibrio parahaemolyticus</i>	AMX, TIC, TMN, KMN, AKN, GMI, CS, SUL

AMC, amoxicillin-clavulanic acid; AMX, amoxicillin; AKN, amikacin; CEF, cephalothin; CS, colistin; CTX, cefotaxime; FOS, fosfomycin; FOX, ceftiofur; GMI, gentamicin; IPM, imipenem; KMN, kanamycin; SUL, sulfonamides; TCC, ticarcillin-clavulanic acid; TEM, temocillin; TET, tetracycline; TGC, tigecycline; TIC, ticarcillin; TMN, tobramycin.

Table 2. Summary of the genome sizes, %GC content, total number of reads, contigs, predicted proteins, hypothetical proteins and RNA encoding genes for each isolate under study.

<b>STRAIN</b>	<b>16S rRNA GENE IDENTIFICATION</b>	<b>GENOME SIZE (bp)</b>	<b>%GC</b>	<b>NR OF TOTAL READS</b>	<b>NR OF TOTAL CONTIGS</b>	<b>NR OF PREDICTED PROTEINS</b>	<b>NR OF HYPOTHETICAL PROTEINS</b>	<b>NR OF RNA ENCODING GENES</b>
<b>219VB</b>	<i>Shewanella algae</i>	4821382	53.08	8255755	40	3900	782	106
<b>353M</b>	<i>Shewanella algae</i>	4918582	52.90	7184343	52	3987	810	99
<b>146bCP</b>	<i>Shewanella algae</i>	4897215	53.03	8131593	57	3991	818	105
<b>144bCP</b>	<i>Shewanella algae</i>	4893423	53.02	8117126	52	3991	818	112
<b>57CP</b>	<i>Shewanella algae</i>	4822400	53.08	7527876	67	3867	738	114
<b>82CP</b>	<i>Shewanella algae</i>	4859761	52.96	8711104	67	3907	771	106
<b>38LV</b>	<i>Shewanella algae</i>	4782393	53.10	8333193	46	3846	738	106
<b>83CP</b>	<i>Shewanella algae</i>	4899381	53.00	8713510	55	3918	768	106
<b>178CP</b>	<i>Shewanella algae</i>	4895527	53.02	8758503	56	3991	817	109
<b>28AD</b>	<i>Vibrio anguillarum</i>	3868695	44.55	12908870	86	3180	365	99
<b>60CP</b>	<i>Shewanella algae</i>	4799216	53.07	13809993	72	3853	741	86
<b>VPE116</b>	<i>Vibrio parahaemolyticus</i>	5089623	45.45	13957982	63	4275	582	91

### 5.3 *Resistome analysis*

The resistome analysis revealed the presence of resistance genes active against several antibiotic classes including beta-lactams, quinolones, tetracyclines, macrolides, polymyxins, chloramphenicol as well as heavy metals. Several resistance mechanisms resulted to be involved, mostly by production of specific enzymes such as beta-lactamases and acetyltransferases as well as different types of multidrug efflux pump systems.

Resistance to beta-lactams was mainly due to the presence in the *S. algae* strains of *bla*<sub>OXA-55-like</sub>, *bla*<sub>AmpC</sub> and *mexB-OprM* genes which confer resistance to carbapenems, cephalosporins and penicillins. A *bla*<sub>AmpC</sub> encoding gene was detected in *V. anguillarum* 28AD whereas *mexA-OprM* and *bla*<sub>CARB-19</sub> were found in *V. parahaemolyticus* VPE116 (Table 3).

The expression of this chromosome-encoded beta-lactamase is regulated by different transcriptional regulators. In particular, in all the *S. algae* strains the *bla*<sub>OXA-55-like</sub> expression is regulated by a member of LysR family transcriptional regulator as already reported in literature (Héritier *et al.*, 2004) whereas *bla*<sub>AmpC</sub> is located near *cat* (chloramphenicol acetyltransferase), HTH-type transcriptional regulator DmlR, *czcA* (cobalt-zinc-cadmium resistance protein), *OprM* (Outer membrane protein OprM) and *pqiAB* (paraquat-inducible protein AB) genes (Figure 11). Its induction is mediated by beta-lactams and regulated by AmpD, AmpE, AmpR, a LysR-type regulator and AmpG, a series of genes that have not been all found in our sequences. This is probably due to the difficulties encountered in short read sequencing, in which the generated reads can render the genome assembly very difficult leading to an incorrect gene annotation and prediction. Concerning the *bla*<sub>AmpC</sub> of *V. anguillarum* 28AD, it is regulated by the same system described above but the *cat* gene is not reported in this case. *V. parahaemolyticus* VPE116 harbored the *bla*<sub>CARB-19</sub> gene located near *cusAB* genes which are involved in silver, copper and fosfomycin resistance.

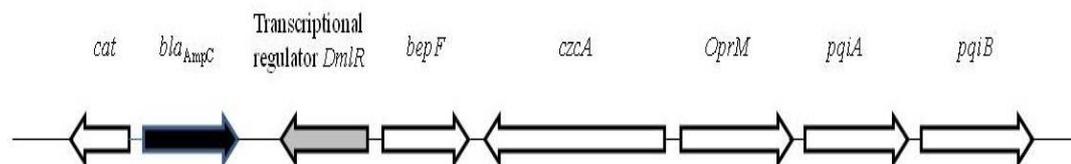


Figure 11. Genetic surrounding of *bla*<sub>AmpC</sub> gene in the studied *S. algae* strains. The black arrow represents the *bla*<sub>AmpC</sub> gene, the grey one the transcriptional regulator, whereas the white ones the other genes found in this module.

As regards the resistance to quinolones, QnrVC6, which is an integron-mediated quinolone resistance protein, was found only in *V. anguillarum* while QnrA7, a PMQR protein found in *S. algae*, was present only in some of the shewanellae strains studied here (Table 3). The strains 353M, 219VB, 38LV, 57CP, 60CP, instead, resulted positive for the presence of *qnrA3* gene encoding a quinolone resistant protein reported also in *E. coli* and *Salmonella enteritidis* (Poole, 2007; Anes *et al.*, 2015). Mutations on gyrase A and ParC genes encoding for gyrase and topoisomerase IV respectively, were found in quinolone resistance-determining regions (QRDR). The *gyrA* protein sequences revealed the following substitutions Tyr50Phe, Gly78His, Ser80His, Ser88Thr, Glu133Gln whereas ParC the following ones: Glu51Arg, Ala56Met, Thr66Lys, Asp69Lys, Gly72Arg, Gly78Leu, Ser80Lys, Ser83Pro, Glu84His, Cys107Val, Ala108Asp, Phe115Ala, Ala141Ser (data not shown). In particular, the most important mutations involved in quinolone resistance, Ser83 and Asp87, were conserved in all the strains in *gyrA* but not in the *parC* protein sequences where a Ser83Pro was detected. Conversely, the *gyrB* and *parE* protein sequences did not show mutations of particular interest in QRDRs.

Bacterial MDR efflux pumps are classified into five different structural families: the adenosine triphosphate (ATP)-binding cassette (ABC) superfamily, the multidrug and toxic compound extrusion (MATE) family, the major facilitator superfamily (MFS), the small multidrug resistance (SMR) family, and the resistance/nodulation/division (RND) superfamily. Of these efflux pump families all of them, excepting the SMR one, which is present only in Gram-positive bacteria, were detected in our *S. algae* and *Vibrio* spp. strains (Table 3). These efflux pumps are not only involved in resistance to antibiotics but also to bile salt derivatives and SDS. Regarding the resistance to fluoroquinolones efflux pumps belonging to the MATE and MFS families, such as MdtK, EmrAB-ToIC, MepAB,

NorM, Bmr3, MdtH and the pentapeptide MfpA were detected. In particular, while MdtK, EmrAB-TolC and MepAB were present in all the analyzed strains, NorM, Bmr3, MdtH and MfpA were found only in the *Vibrio* spp. isolates (Table 3). Moreover, the regulation of the *EmrAB-TolC* system is described by the presence of an HTH-type transcriptional regulator DmlR (belonging to LysR family transcriptional regulator) which can regulate EmrAB expression followed by an acetyltransferase belonging to the GNAT family N-acetyltransferase, able to contribute to quinolone resistance in *S. algae* isolates.

A list of an important multidrug resistance efflux pump system belonging to RND conferring resistance to a variety of molecules was identified for each of every strain analyzed and reported in Table 3. Among them, *AcrAB-TolC*, *AcrE-TolC*, *MdtAC-TolC*, *emrD* (MFS family) and *MdtN* were found in all the *S. algae* strains. *EmrYK-TolC*, a member of MFS family, was found only in some *S. algae* (144bCP, 178CP, 146bCP, 83CP, 57CP, 60CP) and in the two vibrio strains. *DrrA* (doxorubicin and daunorubicin resistance), *Stp* (Spectinomycin Tetracycline efflux Pump), *bcr* (bicyclomycin resistance) genes were found in all the *S. algae* conferring resistance to several molecules such as norfloxacin, erythromycin, tetracycline, anticancer agents and chloramphenicol. In addition, *V. parahaemolyticus* VPE116 harbored *mdtG* (MFS family) and *mdlB* (ABC family) contributing to generate a resistance phenotype against fluoroquinolones and fosfomycin, respectively.

As concerns efflux pumps involved in tetracycline resistance, *tet34*, *tet35* as well as *tetR*, their regulator, were detected only in the two vibrios analyzed. Finally, an ABC-type tripartite multidrug efflux pump, *macAB-TolC*, is responsible for macrolide antibiotic resistance and polipeptide virulence factors while *MdtE-TolC* is another RND-type efflux pump that can contribute to macrolide and beta-lactam resistance. The first was detected in all the studied strains while the second one was found in *Vibrio* spp. genomes but not in the *S. algae* ones (Table 3).

A chromosomal encoding gene for phosphoethanolamine transferase (*eptA*) was detected in both the two genera causing a resistant phenotype towards polymyxins. Moreover, *Cat* and *MdtL* genes, involved in the chloramphenicol resistance, were found.

Resistance to heavy metals was also observed (Table 4). Some of the genes were found in all the 12 strains, namely all the genes involved in resistance to arsenic, copper, molybdenum and the genes *czcD* (cobalt-zinc-cadmium resistance protein), *corC* and *mgfE* involved in magnesium resistance. Other were typical of only vibrios such as *czcR*,

*zur*, *znuA*, *znuB*, *znuC* (cobalt, zinc and cadmium resistance) or of only *S. algae*, *chrA* for chromium, *corA* for magnesium and *nikR* for nickel resistance.

Table 3. List of the resistance genes found in the *S. algae* and *Vibrio* spp. grouped on the basis of their mechanisms and antimicrobial targets.

Antimicrobial	Pentapeptide protein	Enzyme	Efflux system	Pump family	Strain	
<b>Beta-lactams</b>		OXA-55-like			All <i>S. algae</i>	
		AmpC			All <i>S. algae</i> , <i>V. anguillarum</i> 28AD	
			MexAB-OprM	RND	All <i>S. algae</i> , <i>V. parahaemolyticus</i> VPE116	
<b>Fluoroquinolones</b>	QnrA3				353M, 219VB, 38LV, 57CP, 60CP	
	QnrA7				144bCP, 178CP, 146bCP, 82CP, 83CP	
	QnrVC6				<i>V. anguillarum</i> 28AD	
				MdtK	MATE	All <i>S. algae</i> , <i>V. anguillarum</i> 28AD
				EmrAB-TolC	MFS	All <i>S. algae</i> , <i>V. parahaemolyticus</i> VPE116
		MepAB				All <i>S. algae</i> , <i>V. anguillarum</i> 28AD
				NorM	MATE	<i>V. anguillarum</i> 28AD, <i>V. parahaemolyticus</i> VPE116
				Bmr3	MFS	<i>V. anguillarum</i> 28AD
				MdtH	MFS	<i>V. anguillarum</i> 28AD
		MfpA				<i>V. anguillarum</i> 28AD
<b>Multiple substrates</b>			AcrAB-TolC	RND	All <i>S. algae</i> , <i>V. parahaemolyticus</i> VPE116	
			AcrEF-TolC	RND	All <i>S. algae</i> , <i>V. parahaemolyticus</i> VPE116	
			MdtABC-TolC	RND	All strains	
			EmrD	MFS	All strains	
			EmrYK-TolC	MFS	All strains excepting for 353M, 219VB, 82CP, 38LV	
			MdtN	RND	All strains	
			DrrA	ABC	All strains excepting for <i>V. anguillarum</i> 28AD	

Antimicrobial	Pentapeptide protein	Enzyme	Efflux system	Pump family	Strain
<b>Multiple substrates</b>			Stp	MFS	All <i>S. algae</i>
			Bcr	MFS	All <i>S. algae</i>
			MdtG	MFS	<i>V. parahaemolyticus</i> VPE116
			MdlB	ABC	<i>V. parahaemolyticus</i> VPE116
<b>Tetracyclines</b>			TetR	MFS	<i>V. parahaemolyticus</i> VPE116
			Tet34	MFS	<i>V. anguillarum</i> 28AD, <i>V. parahaemolyticus</i> VPE116
			Tet35	MFS	<i>V. parahaemolyticus</i> VPE116
<b>Macrolides</b>			MacAB-ToIC	ABC	All strains
			MdtE-ToIC	RND	<i>V. anguillarum</i> 28AD, <i>V. parahaemolyticus</i> VPE116
<b>Polymyxins</b>		EptA			All strains
<b>Chloramphenicol</b>			MdtL	MFS	All strains
		Cat			All strains excepting for <i>V. anguillarum</i> 28AD

ABC, ATP Binding Cassette; MATE, Multidrug And Toxic compound Extrusion; MFS, Major Facilitator System; RND, Resistance-Nodulation-Cell Division

Table 4. List of the heavy metal and other antimicrobial compound resistance genes found in the *S. algae* and *Vibrio* spp. genomes.

<b>Compound</b>	<b>Putative gene</b>	<b>Function</b>	<b>Strain</b>
<b>Arsenic</b>	<i>ArsR</i>	Arsenic resistance transcriptional regulator	All
	<i>ArsA</i>	Arsenical pump-driving ATPase	All
	<i>ArsC</i>	Arsenate reductase	All
	<i>acr3</i>	Arsenical resistance protein <i>acr3</i>	All
<b>Copper</b>	<i>CusA</i>	Cation efflux system protein <i>cusA</i>	All
	<i>CusB</i>	Cation efflux system protein <i>CusB</i>	All
	<i>CopA</i>	Copper-exporting P-type ATPase A	All
	<i>copR</i>	Transcriptional activator protein CopR	All
<b>Cobalt-zinc-cadmium</b>	<i>CzcA</i>	Cobalt-zinc-cadmium resistance protein CzcA	All <i>S. algae</i> , <i>V. parahaemolyticus</i> VPE116
	<i>CzcB</i>	Cobalt-zinc-cadmium resistance protein CzcB	All <i>S. algae</i> , <i>V. parahaemolyticus</i> VPE116
	<i>CzcC</i>	Cobalt-zinc-cadmium resistance protein CzcC	All <i>S. algae</i> , <i>V. anguillarum</i> 28AD
	<i>CzcD</i>	Cobalt-zinc-cadmium resistance protein CzcD	All
	<i>CzcR</i>	Transcriptional activator protein CzcR	All <i>Vibrio</i> spp.
	<i>Zur</i>	Zinc uptake regulation protein Zur	All <i>Vibrio</i> spp.
	<i>ZnuA</i>	High-affinity zinc uptake system protein ZnuA	All <i>Vibrio</i> spp.
	<i>ZnuB</i>	High-affinity zinc uptake system membrane protein ZnuB	All <i>Vibrio</i> spp.
	<i>ZnuC</i>	Zinc import ATP-binding protein ZnuC	All <i>Vibrio</i> spp.
	<b>Chromium</b>	<i>ChrA</i>	Chromate transport protein
<i>SrpC</i>		Putative chromate transport protein	All <i>Vibrio</i> spp.
<i>ChrR</i>		Chromate reductase	All <i>Vibrio</i> spp.
<b>Molybdenum</b>	<i>MoeA</i>	Molybdopterin molybdenum transferase	All
	<i>MoeB</i>	Molybdopterin-synthase adenylyltransferase	All
<b>Magnesium</b>	<i>CorA</i>	Magnesium transport protein CorA	All <i>S. algae</i>
	<i>CorC</i>	Magnesium and cobalt efflux protein CorC	All
	<i>MgtE</i>	Magnesium transporter MgtE	All

<b>Compound</b>	<b>Putative gene</b>	<b>Function</b>	<b>Strain</b>
<b>Nickel</b>	<i>NikR</i>	Putative nickel-responsive regulator	All <i>S. algae</i>
<b>Bacteriocin</b>	<i>LodA</i>	L-lysine 6-oxidase	All <i>S. algae</i>
	<i>LodB</i>	Putative FAD-dependent oxidoreductase LodB	All <i>S. algae</i>
	<i>CvpA</i>	Colicin V production protein	All <i>S. algae</i>

#### 5.4 Mobilome analysis

The analysis of the mobile genetic elements using specific softwares revealed the absence of circular elements such as plasmids but other MGEs such as ICEs, class I integrons, ISs and bacteriophages were detected. Of interest was the presence in 353M, a strain isolated in the open sea, of several genetic elements associated to *int-xis* (integration and excision module), *mob* (DNA mobilization and processing module) and *mpf* (mating-pair formation module) machineries that are typical of ICEs and involved in the integration and conjugative transfer. The genome annotation and softwares like VR profile and ICEBerg allowed to detect the following genes: *traID*, *traC*, *traN*, *traG*, a tyrosine type XerD recombinase (integrase), a site-specific integrase and several other conjugal transfer proteins predicted as hypothetical proteins but containing domains involved in the conjugative process as confirmed by BLAST analysis (data not shown; Figure 12). In particular, Tra amino acid sequences showed a high similarity (99-100%) to *Salmonella enterica* ICE transfer apparatus (TraC, TraG, TraI, TraN) whereas TraD revealed a percentage of similarity of 61.71% to the same protein detected in *Pseudoalteromonas* sp. GutCa3 (WP\_101217907). Concerning the integration module, the site-specific integrase sequence protein was similar at 89.09% to that found in *S. putrefaciens* (WP\_086903394.1) whereas the XerD recombinase showed a low similarity (41.03%) to the tyrosine-type recombinase/integrase found in the marine bacterium *Halofilum ochraceum* (WP\_070988554.1). Furthermore, several genes involved in the partitioning such as ParAB, replication (RepA, DNA polymerase III, DNA topoisomerase III, DNA binding proteins, IncW-like replication protein), recombination (RecF, SbcC) and several other genetic elements associated to type II, III and IV secretion systems were revealed and they are always involved in the conjugal transfer. In addition, in 8 out of 10 *S. alga*e strains we found a genetic element of about 200 kbp containing metabolism, virulence factor, antibiotic and heavy metal resistance encoding genes. Most of these resistance genes are efflux pumps. They are also flanked by integration and recombination genes such as integrases, endonucleases, transposases and phage-associated elements. They seem to be ICEs considering their large size and the presence of the genes mentioned above. To confirm this, we have also found in the surrounding of these elements a tRNA<sup>Phe</sup> encoding gene as possible insertion site in the host chromosome. Moreover, an integration host factor (*ihf*) gene was found to flank this region with the alpha subunit near to the tRNA<sup>Phe</sup> gene and the beta subunit at the opposite end of this genetic element. Conversely, these elements seem to be absent in the vibrios under study.

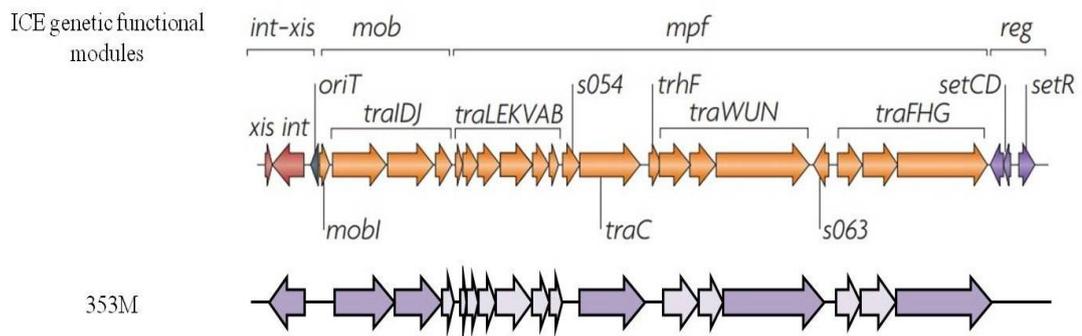


Figure 12. Description of the ICE detected in *S. algae* 353M. In the top of the picture are reported the genetic functional modules present in ICEs (Wozniak and Waldor, 2010); in the bottom the encoding genes found in the 353M strain (dark violet) belonging to *xis/int*, *mob* and *mpf* modules and the other conjugal proteins (light violet) predicted as hypothetical but containing protein domains involved in conjugation and transfer at BLAST analysis.

Class I integron elements were detected in all the *S. algae* and in *V. anguillarum* 28AD but not in *V. parahaemolyticus* VPE116 where only an *IntI* was found, whereas the same gene was detected in 5 out of 10 *S. algae* strain. These elements were predicted using INTEGRALL software but, due to the limits of short read sequencing, the genome annotation failed to detect the entire apparatus of these mobile genetic elements.

Regarding ISs, several families already described in *Enterobacteriaceae* and other aquatic bacteria such as *Aeromonas salmonicida*, *V. vulnificus*, *S. loihica*, *S. oneidensis*, *S. baltica*, *V. anguillarum*, *V. parahaemolyticus*, *V. splendidus* and *S. putrefaciens* were present in *S. algae* or *Vibrio* spp. isolates (Table 5). In particular, the following ISs families were found in *S. algae* strains IS630, ISL3, IS3, IS110, IS200/IS605, IS481 and IS4 whereas some ISs family subgroups such as ISSlo2, ISVvu3, ISSod16, ISSba6, ISSpu18 and ISSpu8 were reported. Considering the two vibrios under study, *V. anguillarum* 28AD genome harbored IS200/IS605, IS5/IS1182, ISAs1/ISSpu13 and IS30/ISVa6 whereas *V. parahaemolyticus* VPE116 carried IS3, IS5/IS1182, IS5/ISSpu14, IS5/ISVpa3 and IS3/ISVisp3.

The presence of bacteriophages and their related elements was investigated as well. As reported in Table 5, several prophages were detected also if incomplete. Interestingly, the presence of phages associated to other aquatic bacteria such as *Aeromonas* phage

phiO18P (NC\_009542) and *Shewanella* sp. phage 1/44 (NC\_025463) were showed in our *S. algae* strains. Moreover, phages derived from *Enterobacteriaceae* family members such as *Escherichia* phage D108 (NC\_013594), *Enterobacteria* phage phi92 (NC\_023693) and *Enterobacterial* phage mEp213 (NC\_019720) were detected in the *S. algae* and in *V. parahaemolyticus* VPE116 strains. *Vibrio* phage VP882 (NC\_009016) and bacteriophage phi 1.45 were found only in *V. parahaemolyticus* VPE116 whereas the 38LV strain did not harbor any phages. In addition to these elements also inovirus Gp2 encoding gene was found in 6 out of 12 strains (144bCP, 146bCP, 178CP, 38LV, 83CP, 28AD). This protein is associated to a genus of viruses able to infect Gram-negative and Gram-positive bacteria.

In addition, a defense system against bacteriophages was detected and belonging to CRISPR-Cas (clustered regularly interspersed short palindromic repeats-CRISPR-associated proteins) system in 3 out of 10 *S. algae* strains (219VB, 38LV, 82CP) and in *V. parahaemolyticus* VPE116.

Table 5. Summary of the mobile genetic elements found in the *S.algae* and *Vibrio* spp. genomes.

Strain	IS families	IS family subgroups	Integrans/Integrases	Bacteriophages
<b>353M</b>	IS630, ISL3, IS3		<i>IntI</i>	Prophage-1 incomplete
	IS630	ISSpu8	Class I integron	
	IS3	ISSlo2		
	IS4	ISVvu3		
<b>219VB</b>	IS200/IS605, IS630, ISL3			<i>Aeromonas</i> phage phiO18P (NC_009542)
	IS481	ISSpu18	Class I integron	
	IS110, IS3	ISSpu8		
	IS630	ISVvu3		
<b>144BCP</b>	ISL3			<i>Escherichia</i> phage D108 (NC_013594) <i>Shewanella</i> sp. phage 1/44 (NC_025463) Prophage-1 and Prophage-2 incomplete
	IS481	ISSpu18	Class I integron	
	IS630/ISSpu8			
	IS4	ISVvu3		
	IS3, IS630, IS110	ISSod		
	IS200/IS605			
<b>178CP</b>	IS110, IS4	ISSba		<i>Escherichia</i> phage D108 (NC_013594) <i>Shewanella</i> sp. phage 1/44 (NC_025463) Prophage-1 and Prophage-2 incomplete
	ISL3		Class I integron	
	IS481	ISSpu18		
	IS630/ISSpu8			
	IS4	ISVvu3		
	IS3, IS630, IS110	ISSod		
<b>82CP</b>	IS200/IS605			Prophage-1 <i>Aeromonas</i> phage phiO18P (NC_009542)
	IS110, IS4	ISSba	Class I integron	
	IS630, ISL3,			
	IS481/ISSpu18, IS110, IS3			
	IS630	ISSod16		

Strain	IS families	IS family subgroups	Integrans/Integrases	Bacteriophages
<b>146BCP</b>	ISL3 IS481 IS630/ISSpu8 IS4 IS3, IS630, IS110 IS200/IS605 IS110, IS4	ISSpu18  ISVvu3 ISSod  ISSba	Class I integron	<i>Escherichia</i> phage D108 (NC_013594) <i>Shewanella</i> sp. phage 1/44 (NC_025463) Prophage-1 and Prophage-2 incomplete
<b>83CP</b>	IS200/IS605, ISL3, IS110 IS630 IS630 IS4 IS3	ISSpu8  ISVvu3 ISSba6 ISSlo2	<i>IntI</i> Class I integron	Prophage-1 <i>Aeromonas</i> phage phiO18P (NC_009542)
<b>38LV</b>	ISL3 IS481 IS110 IS630	ISSpu18  ISSod16	<i>IntI</i> Class I integron	-
<b>57CP</b>	IS200/IS605, ISL3, IS110 IS3 IS630 IS630 IS4	ISSpu8 ISSod16 ISVvu3 ISSba6	<i>IntI</i> Class I integron	<i>Enterobacteria</i> phage phi92 (NC_023693) Incomplete
<b>60CP</b>	IS200/IS605, ISL3, IS110 IS3 IS630	ISSlo2 ISSod16	Class I integron	2 Unknown Prophages incomplete
<b>28AD</b>	IS200/IS605, IS5/IS1182, ISAs1 IS30	ISSpu13 ISVa6	<i>IntI</i> Class I integron	2 Unknown Prophages incomplete

<b>Strain</b>	<b>IS families</b>	<b>IS family subgroups</b>	<b>Integrans/Integrases</b>	<b>Bacteriophages</b>
<b>VPE116</b>	IS5/IS1182 IS5 IS5 IS3	ISSpu14 ISVpa3 ISVisp3	<i>IntI</i>	<i>Enterobacterial</i> phage mEp213 (NC_019720) <i>Vibrio</i> phage VP882 (NC_009016)

### 5.5 Virulome analysis

Virulence factor encoding genes were investigated both in the *S. algae* and in *Vibrio* spp. isolates. Hemolytic activity is predominant in these strains. In particular, hlyD, hlyD family secretion protein and hemolysin III were all found in *S. algae* strains as well as a complex machinery for the secretion of these factors such as type I, II, III, IV and VI secretion systems. Regarding *V. anguillarum* 28AD a thermolabile hemolysin, hemolysin III, hemagglutinin, rtxA and type I, II, III, IV and VI secretion systems were found. In addition to these factors, *V. parahaemolyticus* VPE116 harbored hemolysin D and the thermostable hemolysin delta-VPH as well. Furthermore, *lodA*, L-lysine 6-oxidase, *lod B*, a putative FAD-dependent oxidoreductase and *cvpA*, a colicin V production protein, are genes encoding bacteriocins that were detected in all the *S. algae* strains. In *V. anguillarum*, the gene *vabF*, encoding a hydrolase activity, was detected.

## 6. DISCUSSION

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Antibiotics are widely used both in human medicine and food producing animals to prevent and treat infections. In fact, it is acknowledged that the improper and excessive use of antimicrobials for prophylaxis or as growth promoters in healthy animals through feed and water has contributed to the increased resistance of some pathogens that can propagate to humans (Ferri *et al.*, 2017). Moreover, in the last decades, the aquaculture sector is increasing worldwide as an alternative and easier source of animal proteins compared to terrestrial animal farming that is climate and agriculture impacting. In this scenario, the use of antibiotics is not controlled due to the lack of legislation and regulation by the respective governments in much of the world (Topp *et al.*, 2018; Watts *et al.*, 2017). The most common classes of antibiotics used in Italian aquaculture include beta-lactams (amoxicillin, ampicillin), tetracyclines (chlortetracycline, tetracycline, oxytetracycline), amphenicols (florfenicol, thiamphenicol), macrolides (erythromycin), sulfonamides (all and trimethoprim+sulfonamide) and quinolones (oxolinic acid, flumequine, enrofloxacin) (OIE report, 2018; EEC Regulation No 2377/90). On the basis of the specific literature regarding AR in Italian aquaculture, it can be highlighted that most of the bacterial strains isolated in fish farms are resistant to beta-lactams, tetracycline, erythromycin and trimethoprim while in general they are susceptible to chloramphenicol, tobramycin and flumequine (Scarano *et al.*, 2014). Many strains from *Aeromonas* spp. and *Vibrio* spp. showed multiple antibiotic resistance (Scarano *et al.*, 2018; Ottaviani *et al.* 2013; Laganà *et al.*, 2011; Ottaviani *et al.*, 2001).

During my 3-year PhD project, the resistome, mobilome and virulome of 12 MDR marine strains previously isolated from different Italian fish farms located near the Italian coast of the Adriatic Sea was analyzed by NGS technology. New insights are provided in this study as regards the genes involved in the AMR, including mainly the production of beta-lactamases, other degrading enzymes and the involvement of multidrug efflux pumps belonging to different families and targeting multiple drugs. Moreover, the mobilome of these strains have been investigated deeply for the first time.

The WGS analysis allowed to detect the resistance determinants, the MGEs and virulence factors involved in these MDR strains. In fact, they resulted to be resistant to different families of antibiotics including beta-lactams, quinolones, tetracyclines, macrolides, polymyxins and chloramphenicol.

Regarding beta-lactams, the presence of class C and D beta-lactamases is already described in literature for *S. algae*, but few data are reported for *V. anguillarum* and *V. parahaemolyticus*. Here, we found that resistance to penicillins and first- and second-generation cephalosporins is due to the presence of a chromosome-encoded AmpC in *V. anguillarum* 28AD. On the other hand, a class A beta-lactamase (CARB-19) was detected in *V. parahaemolyticus* VPE116 conferring resistance to amino- and carboxypenicillins (in particular to amoxicillin and ticarcillin). However, the susceptibility to these antibiotics was restored if penicillins were associated to a beta-lactamase inhibitor such as clavulanic acid in *V. parahaemolyticus* VPE116 but not in the veterinary clinical strain *V. anguillarum* 28AD as reported in Table 1. Of interest was the genetic surrounding of these genes in which paraquat-inducible protein was present in the *S. algae* strains whereas *cusAB* genes which are involved in silver, copper and fosfomycin resistance were found in *V. parahaemolyticus* VPE116 confirming the contribution of heavy metal resistance encoding genes in the beta-lactam resistance phenotype in marine bacteria. Conversely, AmpC, in *V. anguillarum* 28AD strain, was flanked by its activator AmpR. MexAB-OprM pump was detected in the *S. algae* and *V. parahaemolyticus* strains. This efflux pump is documented to be involved in beta-lactam (carbapenem) resistance in *Pseudomonas aeruginosa*.

Particularly interesting is the resistance to fluoroquinolones, antibiotics that are frequently used in aquaculture. In our strains, MepAB was detected in all the isolates whereas MpfA was observed only in *V. anguillarum* 28AD. Furthermore, other pentapeptide proteins were detected in the analyzed strains and belonging to the Qnr group. Although these proteins are PMQR (except for QnrVC-like proteins), they are chromosome-encoded both in *Shewanella* and *Vibrio* genera as already reported by Poirel and coauthors (2012a). To be noted that some of the *S. algae* strains carrying the QnrA7 belong to a group of strains isolated from the same aquaculture center while the strains showing the QnrA3 protein were obtained in different sites and fish farms. Moreover, QnrVC6 determinant usually found in *V. cholerae* was detected here in *V. anguillarum* 28AD strain. It is not surprising to find QnrVC-like proteins in other *Vibrio* species, but the arising spread of the QnrVC6 determinant, is

alarming since it has been found in other Gram-negatives such as *P. aeruginosa*, *Pseudomonas putida* and *Citrobacter freundii* (Bado *et al.*, 2018; Liu *et al.*, 2018). The efflux pumps involved in quinolone resistance were detected in all the strains (MdtK and EmrAB-TolC) and in *V. anguillarum* (NorM, Bmr3 and MdtH). Interestingly, although the presence of these genes which should provide resistance to quinolones, the phenotypes of all our strains were susceptible to ciprofloxacin and nalidixic acid, the two fluoroquinolones tested for. We did not tested other quinolones, but maybe resistance can arise if exposed to other molecules such as flumequine, largely used in veterinary. Although, some mutations were found on their targets, gyrase A (*gyrA*) and topoisomerase IV (*parC*), the absence of specific amino acid substitutions in Ser83 and Asp87, the key positions in arising quinolone resistance, was evidenced. It is noted that PMQR determinants generally confer only low-level quinolone resistance that alone does not exceed the clinical breakpoint but they can favour the selection of additional resistance mechanisms (Correia *et al.*, 2017). Conversely, all the *S. algae* strains provided a resistant phenotype to fosfomicin, but the WGS analysis failed to detect the genes possibly involved, indicating the presence of an unknown gene not yet identified that can be hidden as hypothetical protein in our results. Considering the data present in literature, very high MICs were reported by Torri and coworkers (2018) in clinical *S. algae* isolates during an Italian survey on shewanella-associated infections. Probably this is an intrinsic resistance present in *S. algae* strains whose gene is chromosomal-encoded considering the few presence of plasmids reported in these strains. In our case, the absence of a protein product conferring fosfomicin resistance can be due to the fact that this gene is still unknown and not reported on databases and for this reason, it can be hidden as hypothetical protein as final result. The main mechanism of resistance to macrolides, described and detected by WGS, was the efflux pump system. In particular, MacAB-TolC was found in all the strains whereas MdtE-TolC only in the two vibrios analyzed. Anyway, resistance to macrolides were not tested for during antimicrobial susceptibility testing.

Regarding tetracycline resistance only vibrios harbored *tet34* or/and *tet35* encoding genes but they showed susceptible to this class of antibiotics. In particular, the *tet34* gene has been found in some Gram-negative genera (*Pseudomonas*, *Serratia*, and *Vibrio*) and it is unique to environmental bacteria (Miranda *et al.*, 2003). In addition to that, also other efflux pumps like AcrAB-TolC and AcrEF-TolC can contribute to the tetracycline and tigecycline resistance overall in *Enterobacteriaceae* (Grossman, 2016). Surprisingly, two *S. algae*

strains, 38LV and 57CP, were resistant both to tetracycline and tigecycline, a recent commercialized antibiotic used against MDR pathogens to treat hospital-acquired pneumonia, ventilator-associated pneumonia and other infections (Grossman, 2016). Tigecycline is scarcely investigated in aquaculture because it is mainly used in human medicine. Here, for the first time in Italy, we report the presence of two resistant strains of *S. algae* isolated in two different aquaculture sites located in the Northern and Southern Adriatic Sea. The mechanism of resistance is still unknown, probably due to the presence of efflux pumps widely detected by WGS. Susceptibility to aminoglycosides such as amikacin, kanamycin, gentamycin and tobramycin is reported for most of the strains except for the two vibrios.

All the strains presented the *eptA* gene which contributed to the colistin resistance. This gene encodes for an enzyme that promotes the addition of a phosphoethanolamine moiety to the lipid A which is required for resistance to polymyxins. This is a chromosome-encoded gene that confers an intrinsic resistance to colistin in these marine bacteria. Finally, resistance to heavy metals have been reported suggesting an important role during the antimicrobial resistance gene expression and diffusion in the aquatic environment.

Globally, the data obtained for the resistome study seem to be in line with what reported for Italian aquaculture (Scarano *et al.*, 2018; Scarano *et al.*, 2014; Ottaviani *et al.* 2013; Laganà *et al.*, 2011; Ottaviani *et al.*, 2001). A great concern is the rising resistance to tigecycline found in two out of twelve isolates.

The mobilome analysis has revealed the presence of several MGEs that can contribute to the spread of these genes. Anyway, the analysis has been particularly difficult and laborious to perform, probably due to the Illumina technology which uses a mating pair and a short read sequencing. Thus, the genome assembly and annotation sometimes failed to predict the MGEs present in the genomes of our strains. Despite, these difficulties, we identified some integrative and conjugal elements that can be associated to the *xis/int*, *mob* and *mpf* modules of ICEs in the 353M strain isolated in the open sea. This is an interesting isolate considering its scarce relation to the aquaculture strains but potentially able to behave as reservoir of ARGs in the same environment facilitating the spread of these MGEs and of the genes carried on to other marine bacteria or human pathogens. Furthermore, the presence of large size elements in the *S. algae* strains and of specific insertion sites, recombinases, exonucleases,

integrases as well as genes involved in heavy metal and antibiotic resistance (especially efflux pumps), virulence factors and other genes involved in the bacterial fitness improvement has been highly documented in this study. These results underline a high incidence of these elements in aquaculture that has never been investigated before. The role of the detected bacteriophages has yet to be clarified. In fact, their involvement in the HGT of ARGs that can flank these elements during the excision process, packaging these bacterial ARGs in the mature virion particle and disseminating them in the genome of a new host cell is not to be excluded. Interestingly, both *S. algae* and *V. parahaemolyticus* VPE116 strains showed to be prone to be infected by bacteriophages belonging to different genera of marine and human pathogenic bacteria. We described the presence of specific bacteriophages belonging to *Shewanella*, *Aeromonas* and *Vibrio* genera as well as to *E. coli* and *Enterobacteriaceae*. Moreover, several site-specific integrases, *IntI* and class I integrons have been detected by softwares. In particular, resistance to sulfonamides, chloramphenicol and heavy metals might confirm their presence despite the lack of information through the genome annotation, thus having implications on the study of the gene cassette carried by these elements and the genes that are typically found in integrons. Finally, other transposable elements such as ISs family transposases were investigated. They are intracellular MGEs which need to be integrated in other mobile elements such as plasmids, bacteriophages or ICEs. ISs families are classified on the basis of the transposase type. Here, we reported the presence of transposases belonging to several groups depending on the chemistry of their active sites. In particular DDE (IS3, IS4, IS5, IS630 IS30), DEDD (IS110), HUH (IS200/IS605) and orphan ISs such as ISL3 and ISAs1 transposases were found. Moreover, several isoforms of these transposases were detected belonging to other aquatic and pathogenic bacteria indicating the ability of our strains to allocate TEs of different bacterial genera and species. Most of these ISs did not carry passenger genes such as transcription regulators, methyltransferases and antibiotic resistance, only 38LV carried a MFS transporter and an AraC family transcriptional regulator downstream the IS481 family transposase, whereas the 82CP and 353M strains carried a LysR and an AraC family transcriptional regulators downstream the transposase genes, respectively.

Regarding the virulome, the analysis confirmed the hemolytic activity of the strains analyzed and the presence of bacteriocins and of several types of secretion systems (I, II, III, IV and VI) that can disseminate these virulence factors to other microbial cells. Furthermore, the

CRISPR-Cas system detected in some of these strains underlines the continuous arms race against the menaces coming from the environment around the bacteria.

The present study has allowed to improve the knowledge regarding the resistome and mobilome of these pathogens such as *S. algae*, *V. parahaemolyticus* and *V. anguillarum*. Although they are emerging and opportunistic pathogens, the number of human infections caused by these bacteria is increasing worldwide particularly in the immunocompromised people due to the global warming. As a consequence, the investigation of their phenotypes and genotypes has a crucial role to address clinicians to prescribe the correct chemotherapy. Moreover, these results help researchers to survey the antimicrobial resistance determinants and their mechanisms of transfer involved in the aquatic environment.

## 7. CONCLUSIONS

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NGS technology is a useful mean for detecting and predicting ARGs, MGEs and virulence factors although their reliability is dependent upon the availability of updated and curated databases of reference sequences. Moreover, the genotype analysis sometimes does not correspond to the phenotype, letting the information achieved from this technology as a potential warning about the pathogenicity and the resistance of a microorganism. However, although transcriptome or proteome analysis should be more adequate in detecting the real presence of the products generated by the predicted ARGs, WGS can help in analyzing the surrounding of the ARGs, their regulation during the transcription and possible mobilization.

In conclusion, the improvement of the softwares and databases involved in the ARGs and MGEs will undoubtedly be able to predict new insights not only in the most common human pathogens but also in the emerging and opportunistic ones. Moreover, this will lead to a better understanding of the origins of the most involved antimicrobial resistance mechanisms.

## **PART II**

### **ANTIMICROBIAL RESISTANCE PROFILES AND BETA-LACTAMASE PHYLOGENETIC ANALYSIS OF *SHEWANELLA* ALGAE AND *VIBRIO* SPP. STRAINS ISOLATED IN ITALIAN AQUACULTURE FARMS**

## 8. INTRODUCTION

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### *8.1 The beta-lactams world and their multiple use in the therapeutic treatment of infections*

#### *8.1.1 Mechanism of action*

Beta-lactam antibiotics are currently the most used class of antibacterial agents in the infectious disease armamentarium. Because of their tolerance and efficacy, they are widely prescribed. Their mechanism of action is based on the inhibition of bacterial cell wall synthesis. In fact, the molecular structure of beta-lactam ring is sterically similar to the D-alanine-D-alanine of the *N*-acetylmuramic acid pentapeptide that constitutes together with the *N*-acetylglucosamine the units of the bacterial cell wall (Bonomo, 2017). The PBPs are the targets of beta-lactams. They are involved in the cell wall synthesis since the PBPs act as transpeptidases to catalyze the cross-linking of two D-alanine-D-alanine, the *N*-acetylmuramic acid pentapeptides (Fishovitz *et al.* 2015; Fisher and Mobashery 2014; Drawz and Bonomo 2010). PBPs can use the beta-lactams as substrates during the cell wall synthesis. This mistake generates the acylation of the PBP, which renders the enzyme unable to catalyze further carry out transpeptidation reactions. Beta-lactams are grouped in several classes on the basis of their functional groups while the main core constituted by the beta-lactam ring is conserved. They comprise penicillins, cephalosporins, carbapenems and monobactams.

#### *8.2 Mechanisms of resistance to beta-lactams*

Bacterial resistance to beta-lactams has historically compromised use of these agents in treating infectious disease and continues to do so, necessitating the continued development of new beta-lactams capable of overcoming this resistance. Although the production of beta-lactamases, especially in Gram-negative bacteria, is the most common mechanism of resistance, other can contribute to the resistant phenotype of bacteria.

### *Impermeability*

The entry of hydrophilic antimicrobials such as beta-lactams into Gram-negative bacteria occurs via channels in the outer membrane formed by porins (Nikaido, 2000). Reduced outer membrane permeability to beta-lactams as a result of porin loss or changes in porin structure can promote resistance to these agents. Indeed, porin deficiency is a contributing factor to beta-lactam (including newer cephalosporin generation) resistance in a number of organisms, including *E. coli*, *Proteus* spp., *P. aeruginosa*, *Acinetobacter baumannii*, *Shigella dysenteriae*, *Neisseria gonorrhoeae*, *Serratia marcescens* and *Helicobacter pylori* (Clarke *et al.*, 2003; Kwon *et al.*, 2003; Quale *et al.*, 2003; Weindorf *et al.*, 1998) but also in *Klebsiella pneumoniae* and *Enterobacter* spp. (Gayet *et al.*, 2003; Stürenburg *et al.*, 2002) usually in conjunction with expression of a beta-lactamase. Porin deficiency is an important determinant, too, of carbapenem resistance/reduced susceptibility, particularly in *P. aeruginosa* where loss or mutation of the OprD porin is common in carbapenem-, especially imipenem-resistant strains (Pirnay *et al.*, 2002).

### *Efflux pumps*

Efflux has long been appreciated as a mechanism of antimicrobial resistance, with five families of bacterial efflux systems described to date and reported in the part I of this thesis. Members of the RND family, which are almost exclusively chromosomal and widely distributed in Gram-negative bacteria, appear to be the most significant as regards export conferring resistance to clinically important antimicrobials (Poole, 2004). In particular, MexAB-OprM, MexCD-OprJ and MexXY-OprM all demonstrated some ability to promote resistance to carbapenems, none of these had any effect on imipenem or biapenem resistance (Okamoto *et al.*, 2002), and only MexAB-OprM has been implicated in carbapenem resistance in clinical strains.

### *Target site mutations: alterations in PBPs*

Although beta-lactamases are associated with resistance to beta-lactams in Gram-negative organisms other non beta-lactamase mechanisms of resistance to these agents are seen mainly

due to alterations in PBPs. Resistance to carbapenems owing to changes in PBPs has been seen in *A. baumannii* and *Proteus mirabilis*. Production of altered PBPs with reduced affinity for beta-lactams is seen, too, in beta-lactam-resistant *S. dysenteriae*, *P. aeruginosa* and *H. pylori*.

#### *Beta-lactamase production and classification*

Beta-lactamases, the enzymes that inactivate beta-lactam antibiotics, serve as a major cause for resistance to this exceedingly valuable set of antibacterial agents (Gazin *et al.*, 2012). They are the most common and important mechanism of resistance in Gram-negative bacteria. Beta-lactamases can be named on the basis of molecular characteristics or functional properties. Molecular classes A, B, C, and D define an enzyme according to amino acid sequence and conserved motifs. Functional groups 1, 2, and 3 are used to assign a clinically useful description to a family of enzymes, with subgroups designated according to substrate and inhibitor profiles (Bush, 2013; Figure 13). Moreover, classes A, C, and D include enzymes that hydrolyze their substrates by forming an acyl enzyme through an active site serine, whereas class B beta-lactamases are metalloenzymes that utilize at least one active-site zinc ion to facilitate  $\beta$ -lactam hydrolysis (Bush and Jacoby, 2010).

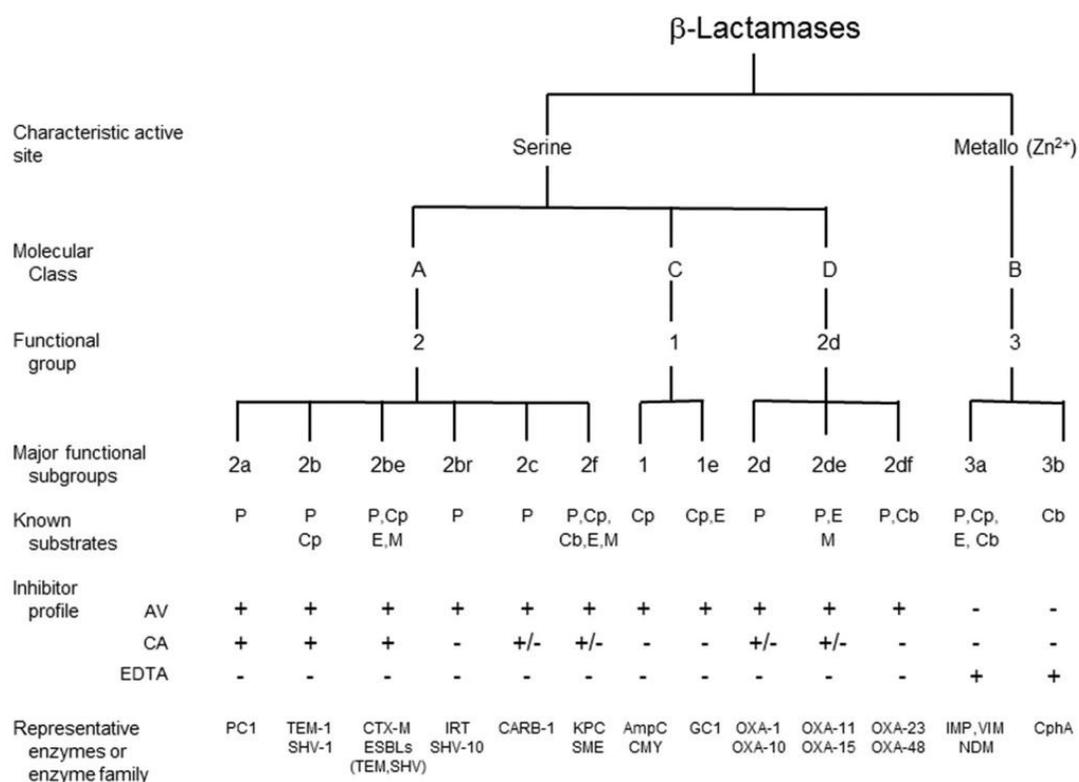


Figure 13. Schematic representation of Ambler's beta-lactamase classification (Bush, 2018). AV, avibactam; CA, clavulanic acid; Cb, carbapenem; Cp, cephalosporin; E, expanded-spectrum cephalosporin; M, monobactam; P, penicillin.

### *Class A beta-lactamases*

Class A beta-lactamases are often plasmid-encoded, but can also be located on the bacterial chromosome. In general, class A enzymes are usually susceptible to inactivation by the clinically available beta-lactamase inhibitors: clavulanate, sulbactam, tazobactam, and avibactam. TEM, SHV, and CTX-M beta-lactamases are mostly found in *E. coli* and *Klebsiella* spp. Many class A beta-lactamases have substrate profiles that include expanded-spectrum cephalosporins, and ESBLs. The widespread distribution of CTX-M beta-lactamases, especially CTX-M-14 and CTX-M-15, in *E. coli* is responsible for the large part of the global advanced generation cephalosporin resistance seen in many clinical isolates. Other class A enzymes are encoded on integrons, for example, GES-1 from *K. pneumoniae* and VEB-1 in *P. aeruginosa* and *A. baumannii* (Poirel *et al.* 2012b). Few Ambler class A

beta-lactamases show carbapenem-hydrolyzing activity. The major class A serine carbapenemases include KPC and GES which are plasmid-encoded enzymes, whereas Nmc-A/IMI, and SME are chromosomally-encoded beta-lactamases (Bonomo, 2017).

#### *Class A beta-lactamases: carbenicillinases*

Carbenicillinases are penicillinases able to confer resistance in particular towards carboxy-penicillins such as carbenicillin and ticarcillin and to amino-penicillins such as ampicillin and amoxicillin. Specific motifs belonging to class A beta-lactamases are found in carbenicillinases such as the *bla* active-site (STFK) tetrad at positions 70 to 73 according to the standard numbering scheme of Ambler and the consensual and conserved boxes I to VI (Joris *et al.* 1991). Moreover, box VII consists of the conserved RSG motif which is specific to the carbenicillinases. These *bla*<sub>CARB</sub> genes have been broadly dispersed among distantly related bacteria, probably through mobile genetic elements (Petroni *et al.*, 2004). Despite the differences in genetic backgrounds, all of the *bla*<sub>CARB</sub> genes are contained in cassettes sharing a common array of genetic elements. These elements are located in highly conserved boxes and comprise sequences required for both cassette integration and transcription of *bla*<sub>CARB</sub> genes: a unique core site (CS), putative promoters and ribosome-binding sites (RBS) (boxes 1 to 3); a double translation-stop signal (TGATAA), followed by a unique inverse CS (ICS) (box 4), and the 3' terminus of *attC* and VCRs (box 5). Among the different variants that are known within this enzyme family, CARB-2, CARB-6, CARB-7, CARB-9 have been characterized in *V. cholerae* non/O1-non/O139 (Petroni *et al.*, 2004). CARB-17 was identified in *V. parahaemolyticus* (Chiou *et al.*, 2015) whereas other carbenicillin-hydrolyzing penicillinases associated to the PSE-type enzymes have been detected in *P. aeruginosa* and *P. mirabilis* genomes (Ito and Hirano, 1997).

#### *Class B beta-lactamases: the metallo beta-lactamases*

This class of beta-lactamases is characterized by the ability to hydrolyze carbapenems and by its resistance to the commercially available beta-lactamase inhibitors but susceptibility to inhibition by metal ion chelators. The substrate spectrum is quite broad; in addition to

carbapenems, most of these enzymes hydrolyze cephalosporins and penicillins but lack the ability to hydrolyze aztreonam (monobactam). The mechanism of hydrolysis is dependent on interaction of the beta-lactams with zinc ions in the active site of the enzyme, resulting in the distinctive trait of their inhibition by EDTA, a chelator of  $Zn^{2+}$  and other divalent cations (Queenan and Bush, 2007). The class B beta-lactamases are classified into three subclasses B1, B2 and B3 on the basis of sequence alignment. Subclass B1 enzymes share more than 23% identity. These enzymes include the prototypical BcII from *Bacillus cereus* (Hussain *et al.*, 1985), CcrA from *Bacteroides fragilis* (Rasmussen *et al.*, 1990), BlaB from *Elizabethkingia meningoseptica* (Rossolini *et al.*, 1998) and EBR-1 from *Empedobacter brevis*. The acquired IMP-type MBLs found in some clinical isolates of *P. aeruginosa* (Laraki *et al.* 1999), *S.marcescens* (Osano *et al.*, 1994), *K. pneumoniae* and *A. baumannii* (Walsh *et al.*, 2005), as the VIM-type or SPM-1 enzymes produced by clinical isolates of *P. aeruginosa* (Walsh *et al.*, 2005; Toleman *et al.*, 2002), belong also to this subclass. The members of subclass B2 show only 11% of identity with subclass B1 members. This subclass includes the enzymes produced by different species of *Aeromonas* (the most studied are CphA produced by *Aeromonas hydrophila* (Massidda *et al.*, 1991) and ImiS produced by *Aeromonas veronii* (Walsh *et al.*, 1996)) as well as the Sfh-I enzyme produced by *Serratia fonticola* (Saavedra *et al.*, 2003). Subclass B3 metallo-beta-lactamases have only nine conserved residues when compared with the other metallo-beta-lactamases. Subclass B3 includes the L1 and GOB-1 metallo-beta-lactamases produced by clinical strains of *Stenotrophomonas maltophilia* (Walsh *et al.*, 1994). However, the most common and of clinical interest metallo-beta-lactamase families include the VIM, IMP, GIM, and SIM enzymes, which are located within a variety of integron structures, where they have been incorporated as gene cassettes. Since their initial discoveries, SPM, GIM, and SIM metallo-beta-lactamases have not spread beyond their countries of origin. However, VIM and IMP continue to be detected worldwide, with an overall trend of these two metallo-beta-lactamases moving beyond *P. aeruginosa* and into the *Enterobacteriaceae* (Queenan and Bush, 2007).

### *Class C beta-lactamases: AmpC cephalosporinases*

Class C beta-lactamases are clinically important cephalosporinases encoded on the chromosomes of many *Enterobacteriaceae* and a few other organisms (Jacoby, 2009). They are more active on cephalosporins and they can hydrolyze cephamycins such as cefoxitin and cefotetan; oxyiminocephalosporins such as ceftazidime, cefotaxime, and ceftriaxone; and monobactams such as aztreonam but at a rate <1% of that of benzylpenicillin (Jacoby, 2009). They are usually resistant to inhibition by clavulanic acid and active on cephamycins, such as cefoxitin. They have a high affinity for aztreonam in contrast to the class A cephalosporinases (Bush and Jacoby, 2010). In many bacteria, AmpC enzymes are inducible and can be expressed at high levels by mutation. Overexpression confers resistance to broad-spectrum cephalosporins including cefotaxime, ceftazidime, and ceftriaxone and is a problem especially in infections due to *Enterobacter aerogenes* and *Enterobacter cloacae*, where an isolate initially susceptible to these agents may become resistant upon therapy. When produced in large amounts, especially in a host with reduced  $\beta$ -lactam accumulation, these enzymes can provide resistance to carbapenems, especially ertapenem by mutations that reduce influx (outer membrane porin loss) or enhance efflux (efflux pump activation) (Quale *et al.*, 2006; Jacoby *et al.*, 2004; Bradford *et al.*, 1997). Although that, carbapenems can usually be used to treat infections due to AmpC-producing bacteria. Transmissible plasmids have acquired genes for AmpC enzymes, which consequently can now appear in bacteria lacking or poorly expressing a chromosomal *bla*<sub>AmpC</sub> gene, such as *E. coli*, *K. pneumoniae* and *P. mirabilis*. Plasmid-mediated enzymes are represented by CMY, ACT, DHA, FOX, MIR, LAT, ACC. The plasmid-determined enzymes are related, sometimes very closely, to chromosomally determined AmpC beta-lactamases (Jacoby, 2009). Like the chromosomally determined AmpC beta-lactamases, the plasmid-mediated enzymes confer resistance to a broad spectrum of beta-lactams including penicillins, oxyimino-beta-cephalosporins, cephamycins, and (variably) aztreonam. Susceptibility to cefepime, cefpirome, and carbapenems is little, if at all, affected.

### *Class D beta-lactamases*

The class D beta-lactamases have been much more elusive and, for the most part, were identifiable only as plasmid-encoded beta-lactamases in Gram-negative bacteria. These early

enzymes were essentially penicillinases, which, unlike the class A beta-lactamases, could hydrolyze and confer resistance to oxacillin as well as penicillins, hence the name oxacillinases and the prefix OXA (Evans and Amies, 2014). The first group of carbapenem-resistant OXA-type beta-lactamases to be identified in *A. baumannii* was the OXA-23 group. The genes for this group of enzymes are frequently plasmid-borne and have been found in many *Acinetobacter* species as well as species belonging to the *Enterobacteriaceae*. The enzymes are able to hydrolyze oxyiminocephalosporins, aminopenicillins, piperacillin, oxacillin, and aztreonam in addition to the carbapenems (Afzal-Shah *et al.*, 2001; Paton *et al.*, 1993). The second group of OXA-type beta-lactamases from *A. baumannii* to be identified was the OXA-40 group. The founding member of this group, OXA-24 was subsequently renamed OXA-40. The three members of this group are OXA-40, OXA-25 and OXA-26. In general, these enzymes can hydrolyze penicillins but appear to show weak activity against cephalosporins and carbapenems. The largest group of OXA-type beta-lactamases are the OXA-51-like beta-lactamases. These enzymes are intrinsic to *A. baumannii* and are naturally found on the chromosome of this species. Moreover, the huge number of variants, alone, is an indication that these chromosomally encoded enzymes have been under considerable selective pressure from antibiotic use, and these enzymes are not benign and do play a role in resistance (Brown *et al.*, 2005; Heritier *et al.*, 2005). In addition, these enzymes demonstrate only weak hydrolytic activity towards carbapenems. The first member of the OXA-58 group of enzymes was identified in France in 2003. It was found in a multidrug-resistant *A. baumannii* clinical isolate that also demonstrated carbapenem resistance (Poirel *et al.*, 2005b). Analysis of the enzyme kinetics of OXA-58 revealed properties similar to those found in other OXA-type enzymes in *A. baumannii* with weak activity against the carbapenems and penicillins and an ability to hydrolyze cefpirome and cephalothin but not ceftazidime, cefotaxime, or cefepime (Poirel *et al.*, 2005b). In 2001, a *K. pneumoniae* isolate was obtained from a patient in Istanbul, Turkey, which was found to be multidrug resistant, including resistance to the carbapenems. In this isolate, a new OXA-type beta-lactamase was identified and named OXA-48 (Poirel *et al.*, 2004). This enzyme and its variants are now widespread in *K. pneumoniae* and other *Enterobacteriaceae* and have now been reported in *A. baumannii* as well and they represent one of the most concerning developments in carbapenem resistance in the last decade. Analysis of the enzyme kinetics of OXA-48 showed that the enzyme has a low level of hydrolytic activity against the carbapenems, with much greater activity against imipenem than against meropenem (Poirel *et al.*, 2004). Until

the discovery of the OXA-23 beta-lactamase, the OXA enzymes were a relatively minor group of plasmid-encoded beta-lactamases, which were active predominantly against the penicillins. The introduction of the carbapenems and the rise of *A. baumannii* has, for the OXA enzymes, opened “Pandora’s box”. There has been an explosion of new OXA enzymes, many closely related to each other.

#### *AmpC and OXA-like beta-lactamases in Vibrio and Shewanella genera*

Recently, class C beta-lactamases were detected in vibrios. In particular, Li and coworkers (2015) found a *bla*<sub>CMY-2</sub> on a conjugative IncA/C plasmid in a *V. parahaemolyticus* isolate which exhibited resistance to third and fourth generation cephalosporins and obtained from seafood samples purchased from supermarkets and wet markets in Shenzhen, China, in 2013 (Li *et al.*, 2015). AmpC was identified also in *V. fischeri* highly resistant to penicillins and susceptible to cephalosporins (Weng *et al.*, 2004). Moreover, *bla*<sub>DHA-1</sub> and *bla*<sub>DHA-2</sub> genes, AmpC beta-lactamases, were found in a *V. cholerae* isolated in a 2-year-old child in India resistant to ampicillin, ceftriaxone, cotrimoxazole, and furoxone and sensitive only to ciprofloxacin and tetracycline (Mandal *et al.*, 2012). Regarding shewanellae, few data are reported for AmpC beta-lactamases. Otherwise, the literature well supports the presence and the origin of some OXA-like beta-lactamases in *Shewanella* genus. In particular, OXA-55 is reported, as chromosomally encoded gene, to be present in *S. algae* genome. It has 55% amino acid identity with OXA-54 from *Shewanella oneidensis*, 41% with OXA-10, 39% with OXA-23 and -27 from *A. baumannii*, and 33% with the cluster OXA-24, -25, -26, and -40 from *A. baumannii* (Chen *et al.*, 2019; Wu *et al.*, 2018). OXA-54 is found in *S. oneidensis* sharing 92% of amino acid identity with the plasmid-encoded carbapenem hydrolyzing oxacillinase OXA-48 from *K. pneumoniae* (Poirel *et al.*, 2004). *Shewanella xiamenensis* harbors in its chromosome OXA-181, OXA-199, the *bla*<sub>OXA-48</sub>-like gene (OXA-416) and OXA-538 beta-lactamases (Yousfi *et al.*, 2017; Zong, 2012; Potron *et al.*, 2011). Furthermore, Xin and coworkers (2019) describes the presence of *bla*<sub>OXA-58</sub> in *Shewanella* spp. isolated from coastal water in China. OXA-48b, OXA-514, OXA-515, OXA-181, OXA-199 and OXA-252 were also detected in *Shewanella* spp., *S. xiamenensis* and *S. oneidensis* isolated in food producing animals and environmental freshwater in The Netherlands as well as in imported freshwater ornamental fish coming from non European countries (Ceccarelli *et*

*al.*, 2017). OXA-535 is the naturally occurring oxacillinase in *Shewanella bicestria* (Dabos *et al.*, 2018; Jousset *et al.*, 2017) whereas Potter and co-authors (2017) reported the genome sequencing of a *S. putrefaciens* carrying *bla*<sub>OXA-436</sub> isolated in the sink handle of a Pakistan hospital room. To conclude *Shewanella* species represent the origins of *bla*<sub>OXA-48</sub> genes which are spreading in *Enterobacteriaceae* (Tacão *et al.*, 2018).

The second part of my PhD project has been focused on the beta-lactam resistance profiles of *S. algae* and *Vibrio* spp. strains analysed in this study. A comparison of the amino acid sequences of the beta-lactamases found in their genomes (OXA-55-like, AmpC and CARB-19) with their respective sequences deposited in Genbank (from the genus *Shewanella*, *Vibrio*, *Acinetobacter* and the *Enterobacteriaceae* family) was performed. Also a phylogenetic analysis of the three enzymes was carried out to investigate the genetic correlation of the marine strains used in this study and to find similarity with the beta-lactamases already known. To the best of my knowledge, this is the first work which tries to highlight the differences that may occur on the beta-lactamase sequences present in MDR marine isolates sampled in different aquaculture centers along the coast of the Adriatic Sea. Furthermore, cloning of AmpC and CARB-19 beta-lactamases in *E. coli* TOP10, susceptible to beta-lactams, was performed in order to evaluate how these beta-lactamases can modify the antimicrobial resistance profile in a member of *Enterobacteriaceae* family if acquired. This experiment was led at the Laboratory of Medical and Molecular Microbiology at the University of Fribourg (Switzerland) under the supervision of Dr. Laurent Poirel and Prof. Patrice Nordmann.

## 9. MATERIALS AND METHODS

### 9.1 *Phenotypical and molecular identification of the beta-lactamases*

The BCT (Pires *et al.*, 2013) was performed as biochemical test to detect carbapenemase production whereas resistance to third generation cephalosporins was tested by the strain ability to grow on ChromID ESBL medium (Biomérieux, Marcy l'Étoile, France) at 37°C O/N. Searching for the possible presence of the most important carbapenemases in clinical settings was carried out by multiplex PCR with primers targeting *bla*<sub>KPC</sub>, *bla*<sub>VIM</sub>, *bla*<sub>NDM</sub>, *bla*<sub>IMP</sub>, their variants and *bla*<sub>OXA-48</sub> following protocols reported in literature and showed below (Table 6).

Table 6. List of the primers used for targeting *bla*<sub>KPC</sub>, *bla*<sub>VIM</sub>, *bla*<sub>NDM</sub>, *bla*<sub>IMP</sub>, their variants and *bla*<sub>OXA-48</sub> genes.

Beta-lactamase targeted	Primer sequence (5'-3')	Primer length (bases)	Amplicon sizes (bp)	References
<i>bla</i> <sub>KPC</sub> KPC-1 to KPC-5	KPC_for CATCAAGGGCTTTCTTGCTGC	22	538	Dallenne <i>et al.</i> , 2010
	KPC_rev ACGACGGCATAGTCATTTGC	20		
<i>bla</i> <sub>VIM</sub> VIM variants including VIM-1, VIM-2	VIM_for GATGGTGTGGTTCGCATA	19	390	Dallenne <i>et al.</i> , 2010
	VIM_rev CGAATGCGCAGCACCAG	17		
<i>bla</i> <sub>NDM</sub> NDM-1 to NDM-4	NDM_for CACCTCATGTTTGAATTCGC	21	984	Kaase <i>et al.</i> , 2011
	NDM_rev CTCTGTCACATCGAAATCGC	20		

Beta-lactamase targeted	Primer sequence (5'-3')	Primer length (bases)	Amplicon sizes (bp)	References
<i>bla</i> <sub>IMP</sub> variants except IMP-9, IMP-16, IMP-18, IMP-22, IMP-25	IMP_for TTGACACTCCATTTACDG	18	139	Dallenne <i>et al.</i> , 2010
	IMP_rev GATYGAGAATTAAGCCACY CT	21		
<i>bla</i> <sub>OXA-48-like</sub>	OXA-48_for GCTTGATCGCCCTCGATT	18	281	Dallenne <i>et al.</i> , 2010
	OXA-48_rev GATTTGCTCCGTGGCCGAAA	20		

Y = T or C

D = A or G

#### 9.1.1 Protocols for multiplex PCR to detect IMP, VIM, KPC variants and for end-point PCR to detect OXA-48-like and NDM variants

Total DNA was extracted using CTAB and isopropanol precipitation method as described in Annex II. Then, PCR assays were carried out in a Gene Amp PCR System 9700 Thermal cycler (Applied Biosystems, Monza, MB, Italy). Each reaction has been conducted in a final volume of 50 µl, using 2 µl of DNA template, 5 PRIME MasterMix 1X (Quantabio, San Giusto, Milano, Italy), 0.5 µM of VIM and IMP forward and reverse primers and 0.2 µM of KPC forward and reverse primers.

The thermal protocol used was as the following: initial denaturation step at 94°C for 10 min, then 30 cycles with denaturation at 94°C for 40 sec, annealing at 55°C for 40 sec, extension at 72°C for 1 min. The final extension step was at 72°C for 7 min.

For OXA-48-like PCR reaction, 2 µl of DNA template were used in a final volume of 50 µl, 5 PRIME MasterMix 0.9X (Quantabio, San Giusto, Milano, Italy) and 0.4 µM of OXA-48-like forward and reverse primers were used.

The thermal conditions were the following: initial denaturation at 94°C for 10 min, then 30 cycles with denaturation at 94°C for 40 sec, annealing at 57°C for 40 sec and extension at 72°C for 1 min followed by a final extension step at 72°C for 7 min.

Regarding NDM PCR protocol, 2 µl of DNA template were used in a final volume of 50 µl, 5 PRIME MasterMix 1X (Quantabio, San Giusto, Milano, Italy) and 0.4 µM of NDM forward and reverse primers were used.

The thermal conditions used are reported as the following: an initial denaturation step at 94°C for 2 min, then 30 cycles were performed with a denaturation step at 94°C for 1 min, an annealing step at 55°C for 1 min and an extension step at 72°C for 1 min and 15 sec. The final extension step was conducted at 72°C for 7 min.

Amplicons were analyzed on a 1.5% agarose gel for IMP, VIM, KPC and OXA-48-like whereas a 1.2% agarose gel was prepared for NDM variants detection. The gels containing 1X GelRed® (Biotium Inc., Fremont, CA, USA) were analyzed and photographed by the transilluminator GenoSmart2 (VWR, Leicestershire, UK).

## 9.2 Cloning of *bla*<sub>CARB-19</sub> and *bla*<sub>AmpC</sub>

### 9.2.1 PCR reaction protocol to detect *AmpC* and *CARB-19*

The presence of *bla*<sub>AmpC</sub> in *V. anguillarum* 28AD and *bla*<sub>CARB-19</sub> in *V. parahaemolyticus* VPE116 was confirmed by end-point PCR using the following primers (Table 7):

Table 7. List of the primers used for targeting *bla*<sub>CARB-19</sub> in *V. parahaemolyticus* and *bla*<sub>AmpC</sub> in *V. anguillarum*.

Beta-lactamase targeted	Primer sequence (5'-3')	Primer length (bases)	Amplicon sizes (bp)	References
<i>bla</i> <sub>CARB-19</sub> <i>V. parahaemolyticus</i> VPE116	CARB-19_for	21	975	This study
	TGCTTAACATCGCCAAAGTG			
	C			
	CARB-19_rev	20		
	ACGCATTTGAGAGAGCAGGT			
<i>bla</i> <sub>AmpC</sub> <i>V. anguillarum</i> 28AD	AmpC_for	22	1373	This study
	TGATTAGCGTGTCTATGGT			
	GA			
	AmpC_rev	20		
	GTCAGTAAGGCCCCCTGTTT			

Primer design was performed using Primer-BLAST (NCBI) and Primer3Plus softwares.

PCR assays were carried out in a Thermal cycler T100 Bio-Rad (Bio-Rad, Hercules, CA, USA). Each reaction was performed in a final volume of 25 µl using 100 ng of DNA template, 0.3 µM of forward and reverse primers, 200 µM of each dNTPs, 2.0 mM of MgCl<sub>2</sub> and 1U Taq Polymerase (5U/µl; FirePol, Solis Biodyne, Tartu, Estonia) in 1X Buffer BD (Solis Biodyne, Tartu, Estonia).

The thermal conditions for the detection of CARB-19 and AmpC were 95°C for 4 min, followed by 30 cycles with denaturation at 95°C for 45 sec, annealing at 55°C or 60°C respectively for CARB-19 and AmpC for 30 sec, 72°C for 1 min with a final extension at 72°C for 7 min.

PCR products were then separated on a 1% agarose gel containing 0.5 µg/ml peqGREEN (PeqLAB, Erlangen, Germany) and photographed by Bio-Rad Gel Doc™ XR using Image Lab™ software (Bio-Rad, Hercules, CA, USA).

### 9.2.2 PCR product purification and cloning

For the cloning step, PCR products were purified using the Zymo DNA Clean and Concentrator kit (Zymo Research, Irvine, CA, USA) following the manufacturer's instruction reported in Annex II.

Cloning of *bla*<sub>CARB-19</sub> and *bla*<sub>AmpC</sub> was carried out using Zero Blunt® TOPO® PCR Cloning Kit (Invitrogen, Waltham, MA, USA). Zero Blunt® TOPO® PCR Cloning provides a highly efficient, 5-minute, one-step cloning strategy (“TOPO® Cloning”) for the direct insertion of blunt-end PCR products into a plasmid vector. Topoisomerase I from Vaccinia virus binds to duplex DNA at specific sites and cleaves the phosphodiester backbone after 5'-CCCTT in one strand (Shuman, 1991). The energy from the broken phosphodiester backbone is conserved by formation of a covalent bond between the 3' phosphate of the cleaved strand and a tyrosyl residue (Tyr-274) of topoisomerase I. The phospho-tyrosyl bond between the DNA and enzyme can subsequently be attacked by the 5' hydroxyl of the original cleaved strand, reversing the reaction and releasing topoisomerase (Shuman, 1994). TOPO® Cloning exploits this reaction to efficiently clone PCR products. The plasmid vector (pCR™-Blunt II-TOPO®) is supplied linearized with Vaccinia virus DNA topoisomerase I covalently bound to the 3' end of each DNA strand (referred to as “TOPO®-activated” vector). The TOPO® Cloning reaction can be transformed into chemically competent cells or electroporated directly into electrocompetent cells. In addition, pCR™-Blunt II-TOPO® allows direct selection of recombinants via disruption of the lethal *E. coli* gene, *ccdB*. The vector contains the *ccdB* gene fused to the C-terminus of the *LacZα* fragment (Figure 14). Ligation of a blunt end PCR product disrupts expression of the *lacZα-ccdB* gene fusion permitting growth of only positive recombinants upon transformation. Cells that contain nonrecombinant vector are killed upon plating. Therefore, blue/white screening is not required.

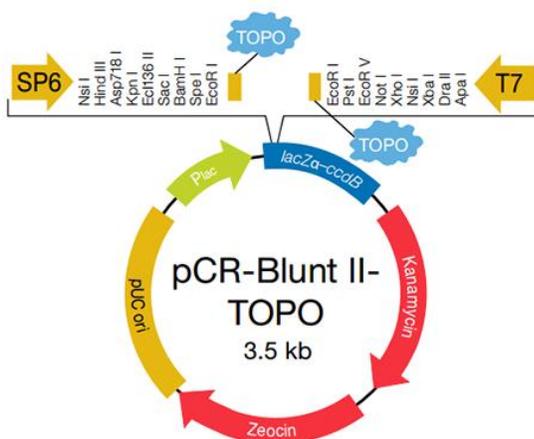


Figure 14. pCR-Blunt II-TOPO vector scheme.

### 9.2.3 TOPO Cloning reaction

Table 8. TOPO cloning procedure.

Reagent	Volume	This study
Fresh PCR product	0.5–4 $\mu$ L	4 $\mu$ L
Salt Solution	1 $\mu$ L	1 $\mu$ L
Water	add to a total volume of 5 $\mu$ L	-
pCR™II-Blunt-TOPO®	1 $\mu$ L	1 $\mu$ L
Final Volume	6 $\mu$ L	6 $\mu$ L

Mix the reaction (Table 8) gently and incubate for 5 minutes at room temperature (22°C–23°C).

Then, place the reaction on ice and proceed to transform competent *E. coli* TOP10 cells.

### 9.2.4 Chemical transformation of *E. coli* TOP10

Competent *E. coli* TOP10 were chosen as recipient cells. They are both chemically competent and susceptible to the most antibiotics used in the further analysis. The protocol adopted is reported in the Annex II.

Antibiotic susceptibility testing was performed using disk diffusion agar method for all the beta-lactams panel (penicillins, cephalosporins, carbapenems and monobactam) both in *V. anguillarum* 28AD WT, *V. parahaemolyticus* VPE116 WT, empty *E. coli* TOP10 and transformed *E. coli* TOP10 expressing *bla*<sub>CARB-19</sub> and *bla*<sub>AmpC</sub>, respectively. The strains were plated on MHA medium at 37°C O/N. Furthermore, MIC for some penicillin, cephalosporin and carbapenem subclass representatives were calculated to confirm results obtained by disk diffusion agar method.

### 9.3 Antibiotic susceptibility testing

Antibiotic susceptibility testing was conducted using the disk diffusion method in Mueller Hinton (MH) agar plates (Bio-Rad, Cressier Switzerland) modifying the EUCAST guidelines as reported in the part I of this thesis. The antibiotics (Bio-Rad, Cressier, Switzerland) used in this study were amoxicillin (25 µg/ml), amoxicillin+clavulanic acid (30 µg/ml), ticarcillin (75 µg/ml), ticarcillin+clavulanic acid (85 µg/ml), piperacillin (75 µg/ml), piperacillin+tazobactam (85 µg/ml), ceftazidime (10 µg/ml), cefepime (30 µg/ml), temocillin (30 µg/ml), cefoxitin (30 µg/ml), cefotaxime (30 µg/ml), cefepime (30 µg/ml), cephalothin (30 µg/ml), aztreonam (30 µg/ml), imipenem (10 µg/ml), ertapenem (10 µg/ml), meropenem (10 µg/ml).

### 9.4 Whole genome sequencing

Genomic DNA was extracted using CTAB and the isopropanol precipitation method (Annex II). Then, it was sequenced on Illumina NextSeq® 500 platform (Illumina Inc., San Diego, CA, USA) with a paired-end strategy obtaining 2 x 150 bp reads length. Quality control and trimming of produced reads were carried out using FastQC, Scythe v0.991 and Sickle v1.33 softwares, respectively. Genome assembly was performed using SPAdes v3.10.1 assembler. Gene annotation and prediction were carried out using Prodigal v2.6, a tool provided by PROKKA v1.12 software (Seemann, 2014).

### 9.5 Multiple alignment of the predicted protein sequences involved in AR

The protein sequences involved in beta-lactam resistance (OXA-55, AmpC, CARB-19) and predicted in all the *S. algae* and *Vibrio* spp. genomes were aligned against a collection of representative environmental sequences present in Genbank database (NCBI). Clustal Omega tool (2017) provided by EMBL-EBI was used to find out amino acid substitutions, insertions or deletions and to detect possible sequence changes or similarities at interspecies level between the *Shewanellaceae* and *Vibrionaceae* family members under study. Furthermore, the genetic environment of the mentioned beta-lactamases has been investigated.

### 9.6 Phylogenetic analysis

A phylogenetic analysis of AmpC and OXA-55 protein sequences was carried out. Multiple alignments and phylogenetic trees were obtained using MEGA version 7.0.16 (Kumar *et al.*, 2016) software. Tree methods and statistical models were setted according to the different protein or DNA sequence datasets used. Clinical and environmental reference class D beta-lactamases protein sequences belonging to OXA group and found in *Acinetobacter* spp and *S. algae* strains were retrieved from Genbank database (NCBI). The phylogenetic tree was constructed using the Neighbor-Joining (NJ) method and Poisson model. The robustness of the topology was checked using 1000 bootstrap replications. The same software was used to draw a phylogenetic tree of CARB-19 detected in *V. parahaemolyticus* VPE116 strain and all the CARB variants present in several vibrio genomes found in Genbank database in order to study the evolutionary distances. For this purpose, a NJ method and JTT model were chosen. Regarding AmpC phylogenetic analysis, a database of AmpC protein sequences belonging to *Enterobacteriaceae*, *Shewanellaceae* and *Vibrionaceae* family members was created using sequences stored on Genbank database (NCBI). A NJ phylogenetic tree based on Poisson model was constructed and saved in Newick format by MEGA version 7.0.16. A 1000 bootstrap replication was set up to confirm the robustness of every single node. Subsequently, the tree was graphically elaborated using the online interactive Tree Of Life (iTOL) v4 showing the tree topology (<http://itol.embl.de>) (iTOL; Letunic and Bork (2016)). The phylogenetic results obtained using protein sequences were confirmed by nucleotide sequence analysis.

### 9.7 Core-genome alignment analysis of the *S. algae* genomes

A core-genome multi alignment and analysis were performed using all the core-genomes of the *S. algae* isolates as dataset. For this purpose, Harvest suite v1.1.2 and its tools Parsnp, a fast core-genome multi-aligner, and the Gingr visualization tool were used (Treangen *et al.*, 2014). Sample 38LV was used as genome reference for the analysis and the NNI (Net Number of Inconsistencies) statistical model was considered. A Maximum Likelihood (ML) phylogenetic tree was produced and visualized by iTOL v4.

## 10. RESULTS

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### *10.1 Strain identification*

All the marine strains were identified at species level by WGS analysis blasting the predicted 16S rRNA gene. Out of 12 strains under study, 10 were identified as *S. algae* (219VB, 353M, 146bCP, 144bCP, 57CP, 38LV, 83CP, 178CP and 60CP), 1 as *V. anguillarum* (28AD) and 1 as *V. parahaemolyticus* (VPE116) as reported in Table 1.

### *10.2 Biochemical and molecular detection of beta-lactamases*

The Blue Carba test resulted positive for every *S. algae* isolates. Carbapenemase activity was revealed when the test and the negative-control solutions, respectively, were green versus blue as for the *S. algae* strains whereas a doubtful result was obtained for *V. anguillarum* 28AD. The strain VPE116 resulted negative (blue versus blue). Moreover, the growth of all the strains was inhibited on ChromID ESBL medium indicating the susceptibility to third generation cephalosporins and the absence of ESBLs. All the isolates proved negative for multiplex PCR targeting clinical carbapenemases whereas *V. anguillarum* 28AD was positive for *bla*<sub>AmpC</sub> (Figure 15) and *V. parahaemolyticus* VPE116 for *bla*<sub>CARB-19</sub> simplex PCRs (Figure 16).

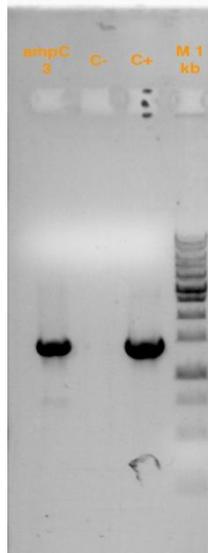


Figure 15. Agarose gel electrophoresis (1%) of AmpC amplicons (1373 bp). Sample 3 represent the results of AmpC cloning in *E.coli* TOP10 whereas the positive control is provided from *V. anguillarum* 28AD.

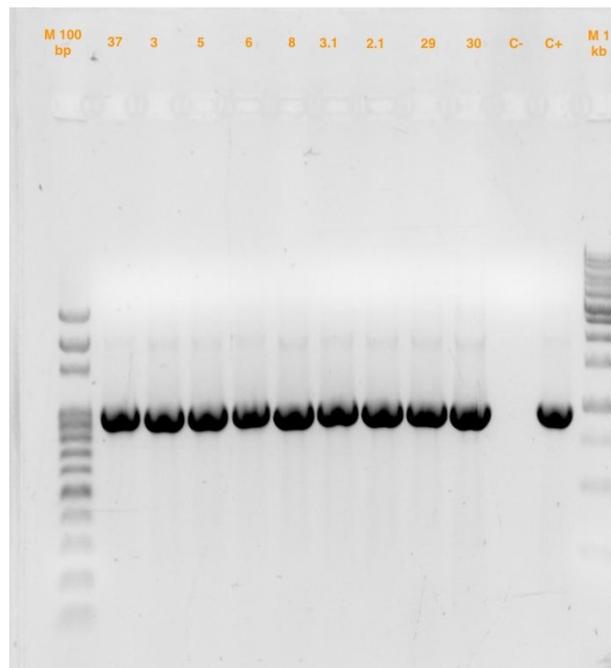


Figure 16. Agarose gel electrophoresis (1%) of CARB-19 amplicons (975 bp). Samples from 37 to 30 represent the results of CARB-19 cloning in *E.coli* TOP10 whereas the positive control is provided from *V. parahaemolyticus* VPE116.

### 10.3 Antibiotic susceptibility analysis

The antibiotic resistance profiles of *S. algae* and *Vibrio* spp. are shown in Table 1. The results were interpreted modifying the EUCAST guidelines as reported in the first part of this thesis. Most of the strains showed resistance to beta-lactams such as cephalothin, cefoxitin, imipenem and amoxicillin. Moreover, only 57CP and 82CP strains were susceptible to amoxicillin. Resistance to cephalothin, cefoxitin, amoxicillin, ticarcillin, amoxicillin+clavulanic acid, ticarcillin+clavulanic acid and temocillin were reported for 28AD isolate. Conversely, *V. parahaemolyticus* VPE116 was susceptible to beta-lactams, except for amoxicillin and ticarcillin (Table 1).

### 10.4 Genome features

Genome size, G+C content, total number of proteins and hypothetical proteins are summarized for each strain in Table 2 in the part I of this thesis.

### 10.5 Analysis of the predicted OXA-55 protein sequences

The class D beta-lactamase OXA-55 protein sequences found in all the *S. algae* isolates were aligned using the Clustal Omega tool (2017) provided by EMBL-EBI and compared to an environmental reference sequence (AAR03105.1) retrieved in Genbank database (NCBI) as reported in Figure 17. BLAST analysis evidenced a high percentage of identity to the amino acid sequences identified as OXA-55 family carbapenem-hydrolyzing enzymes of *S. algae* or *Shewanella* sp. 38A GOM-205m. In particular, 38LV, 82CP, 83CP, 144bCP, 146bCP, 178CP and 219VB shared a 99.65% identity to *S. algae* or *Shewanella* sp. OXA-55 protein sequences deposited in Genbank (WP\_082052676.1 for 38LV; WP\_025889747.1 for 82CP and 83CP; WP\_028779467.1 for 144bCP, 146bCP, 178CP and 219VB). On the contrary, 353M and 60CP reported a 99.31% identity to WP\_025889747.1 and WP\_082052676.1 OXA-55 amino acid sequence, respectively. Only 57CP registered the lower percentage of identity (98.96%) to WP\_117199933.1. On average, the OXA-55-like protein sequences evidenced a 95.5% identity to the reference sequence AAR03105.1. Concerning the primary structure analysis of these enzymes, the 289 amino acid sequences presented several point

mutations that do not involve the catalytic site and in particular the two conserved motifs STFK and KTG found at positions 94 to 97 and 232 to 234, respectively. The other typical motifs of oxacillinases, the YGN and SVV motifs, were also found. Point mutations were present in the 353M (T35A, E167D), 83CP (D39E, H116R, E167D), 57CP (G43E, R187K), 60CP (S76F), 82CP (E167D), 144bCP, 146bCP and 178CP (S269G, Q286L) strains. Most of these mutations have conserved the charge or the polarity of the amino acid side chain but in some cases they changed such as in T35A, C41G, S76F, S269G and Q286L in which the substituted amino acid become not polar whereas in G43E the substitution involved a negative charged amino acid. Moreover, all the strains presented a point mutation in positions 98 and 128 compared to the reference OXA-55 protein sequence with an isoleucine instead of a leucine and an alanine instead of a valine, respectively. Another non polar mutation was located in position 261 with the substitution of a valine present in the reference, 82CP and 83CP sequences and of an isoleucine in all the others. Regarding the genetic environment of this beta-lactamase, it is located in the chromosome and the transcription is mediated by a member of LysR family transcriptional regulator which is located downstream of the gene as confirmed by WGS.

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                                     35  39;41;43
                                     |  |  |
AAR03105.1  MNKGLHRKRLSKRLLLLPMLLCLLAQQTQAVAAEQTKVSDVCSSEVTAEGWQEVRRWDKLF 60
82_CP       MNKGLHRKRLSKRLLLLPMLLCLLAQQTQAVAAEQTKVSDVGSSEVTAEGWQEVRRWDKLF 60
83_CP       MNKGLHRKRLSKRLLLLPMLLCLLAQQTQAVAAEQTKVSEVGSSEVTAEGWQEVRRWDKLF 60
57_CP       MNKGLHRKRLSKRLLLLPMLLCLLAQQTQAVAAEQTKVSDVSSGVTAEGWQEVRRWDKLF 60
353_M       MNKGLHRKRLSKRLLLLPMLLCLLAQQTQAVAAEQAKVSDVGSSEVTAEGWQEVRRWDKLF 60
144B_CP     MNKGLHRKRLSKRLLLLPMLLCLLAQQTQAVAAEQTKVSDVGSSEVTAEGWQEVRRWDKLF 60
146B_CP     MNKGLHRKRLSKRLLLLPMLLCLLAQQTQAVAAEQTKVSDVGSSEVTAEGWQEVRRWDKLF 60
178_CP     MNKGLHRKRLSKRLLLLPMLLCLLAQQTQAVAAEQTKVSDVGSSEVTAEGWQEVRRWDKLF 60
219_VB     MNKGLHRKRLSKRLLLLPMLLCLLAQQTQAVAAEQTKVSDVGSSEVTAEGWQEVRRWDKLF 60
38_IV      MNKGLHRKRLSKRLLLLPMLLCLLAQQTQAVAAEQTKVSDVGSSEVTAEGWQEVRRWDKLF 60
60_CP      MNKGLHRKRLSKRLLLLPMLLCLLAQQTQAVAAEQTKVSDVGSSEVTAEGWQEVRRWDKLF 60
*****:***:* * *****

                                     76          98          116
                                     |          |          |
AAR03105.1  SAGVKGSLLLWDQKRSGLGSNNLSRAAEGFIPASTFKLPSSLIALETGAVRDETSRF 120
82_CP       SAGVKGSLLLWDQKRSGLGSNNLSRAAEGFIPASTFKIPSSLIALETGAVRDETSHF 120
83_CP       SAGVKGSLLLWDQKRSGLGSNNLSRAAEGFIPASTFKIPSSLIALETGAVRDETSRF 120
57_CP       SAGVKGSLLLWDQKRSGLGSNNLSRAAEGFIPASTFKIPSSLIALETGAVRDETSRF 120
353_M       SAGVKGSLLLWDQKRSGLGSNNLSRAAEGFIPASTFKIPSSLIALETGAVRDETSRF 120
144B_CP     SAGVKGSLLLWDQKRSGLGSNNLSRAAEGFIPASTFKIPSSLIALETGAVRDETSRF 120
146B_CP     SAGVKGSLLLWDQKRSGLGSNNLSRAAEGFIPASTFKIPSSLIALETGAVRDETSRF 120
178_CP     SAGVKGSLLLWDQKRSGLGSNNLSRAAEGFIPASTFKIPSSLIALETGAVRDETSRF 120
219_VB     SAGVKGSLLLWDQKRSGLGSNNLSRAAEGFIPASTFKIPSSLIALETGAVRDETSRF 120
38_IV      SAGVKGSLLLWDQKRSGLGSNNLSRAAEGFIPASTFKIPSSLIALETGAVRDETSRF 120
60_CP      SAGVKGSLLLWDQKRFLGLSNLSRAAEGFIPASTFKIPSSLIALETGAVRDETSRF 120
***** *****:*****:*****

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                128                               167
                |                               |
AAR03105.1  GKVREIAVWNRDQSFRTAMKYSVVPVYQQLAREIGPKVMAAMVRQLE128YGNQDIGGQADSF 180
82_CP       GKVREIAAWNRDQSFRTAMKYSVVPVYQQLAREIGPKVMAAMVRQLE167DYGNQDIGGQADSF 180
83_CP       GKVREIAAWNRDQSFRTAMKYSVVPVYQQLAREIGPKVMAAMVRQLE128DYGNQDIGGQADSF 180
57_CP       GKVREIAAWNRDQSFRTAMKYSVVPVYQQLAREIGPKVMAAMVRQLE167YGNQDIGGQADSF 180
353_M       GKVREIAAWNRDQSFRTAMKYSVVPVYQQLAREIGPKVMAAMVRQLE128DYGNQDIGGQADSF 180
144B_CP     GKVREIAAWNRDQSFRTAMKYSVVPVYQQLAREIGPKVMAAMVRQLE167YGNQDIGGQADSF 180
146B_CP     GKVREIAAWNRDQSFRTAMKYSVVPVYQQLAREIGPKVMAAMVRQLE128YGNQDIGGQADSF 180
178_CP     GKVREIAAWNRDQSFRTAMKYSVVPVYQQLAREIGPKVMAAMVRQLE167YGNQDIGGQADSF 180
219_VB      GKVREIAAWNRDQSFRTAMKYSVVPVYQQLAREIGPKVMAAMVRQLE128YGNQDIGGQADSF 180
38_IV       GKVREIAAWNRDQSFRTAMKYSVVPVYQQLAREIGPKVMAAMVRQLE167YGNQDIGGQADSF 180
60_CP       GKVREIAAWNRDQSFRTAMKYSVVPVYQQLAREIGPKVMAAMVRQLE128YGNQDIGGQADSF 180
                *****:*****
                187
                |
AAR03105.1  WLDGQLRITAFQQVDFLRQLHDNKL187VP187SERSQRIVKQ187MMLTEASTDYII187RAKTGYGVRRT 240
82_CP       WLDGQLRITAFQQVDFLRQLHDNKL187VP187SERSQRIVKQ187MMLTEASTDYII187RAKTGYGVRRT 240
83_CP       WLDGQLRITAFQQVDFLRQLHDNKL187VP187SERSQRIVKQ187MMLTEASTDYII187RAKTGYGVRRT 240
57_CP       WLDGQLK187ITAFQQVDFLRQLHDNKL187VP187SERSQRIVKQ187MMLTEASTDYII187RAKTGYGVRRT 240
353_M       WLDGQLRITAFQQVDFLRQLHDNKL187VP187SERSQRIVKQ187MMLTEASTDYII187RAKTGYGVRRT 240
144B_CP     WLDGQLRITAFQQVDFLRQLHDNKL187VP187SERSQRIVKQ187MMLTEASTDYII187RAKTGYGVRRT 240
146B_CP     WLDGQLRITAFQQVDFLRQLHDNKL187VP187SERSQRIVKQ187MMLTEASTDYII187RAKTGYGVRRT 240
178_CP     WLDGQLRITAFQQVDFLRQLHDNKL187VP187SERSQRIVKQ187MMLTEASTDYII187RAKTGYGVRRT 240
219_VB      WLDGQLRITAFQQVDFLRQLHDNKL187VP187SERSQRIVKQ187MMLTEASTDYII187RAKTGYGVRRT 240
38_IV       WLDGQLRITAFQQVDFLRQLHDNKL187VP187SERSQRIVKQ187MMLTEASTDYII187RAKTGYGVRRT 240
60_CP       WLDGQLRITAFQQVDFLRQLHDNKL187VP187SERSQRIVKQ187MMLTEASTDYII187RAKTGYGVRRT 240
                *****:*****
                261      269      286
                |      |      |
AAR03105.1  PAIGWWVGWLELDDNTVYFAV261NLDLASAS269QLPLRQQLVKQV286LKQEQ286LLP 289
82_CP       PAIGWWVGWLELDDNTVYFAV261NLDLASAS269QLPLRQQLVKQV286LKQEQ286LLP 289
83_CP       PAIGWWVGWLELDDNTVYFAV261NLDLASAS269QLPLRQQLVKQV286LKQEQ286LLP 289
57_CP       PAIGWWVGWLELDDNTVYFAI261NLDLASAS269QLPLRQQLVKQV286LKQEQ286LLP 289
353_M       PAIGWWVGWLELDDNTVYFAI261NLDLASAS269QLPLRQQLVKQV286LKQEQ286LLP 289
144B_CP     PAIGWWVGWLELDDNTVYFAI261NLDLASAG269QLPLRQQLVKQV286LKQEQ286LLP 289
146B_CP     PAIGWWVGWLELDDNTVYFAI261NLDLASAG269QLPLRQQLVKQV286LKQEQ286LLP 289
178_CP     PAIGWWVGWLELDDNTVYFAI261NLDLASAG269QLPLRQQLVKQV286LKQEQ286LLP 289
219_VB      PAIGWWVGWLELDDNTVYFAI261NLDLASAS269QLPLRQQLVKQV286LKQEQ286LLP 289
38_IV       PAIGWWVGWLELDDNTVYFAI261NLDLASAS269QLPLRQQLVKQV286LKQEQ286LLP 289
60_CP       PAIGWWVGWLELDDNTVYFAI261NLDLASAS269QLPLRQQLVKQV286LKQEQ286LLP 289
                *****:*****

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Figure 17. Comparison among the amino acid sequences of the carbapenem-hydrolyzing class D beta-lactamase OXA-55-like found in the *S. algae* isolates analyzed in this study and that of reference AAR03105.1. Point mutations are highlighted in red whereas numbers indicate their position within the sequence.

### 10.6 Analysis of the predicted AmpC protein sequences

Class C beta-lactamases were predicted in *S. algae* and *V. anguillarum* 28AD genomes. These beta-lactamases are able to confer resistance to cephalosporins and, with minor

efficacy, to amino- and carboxypenicillins. BLAST analysis revealed identity to *S. algae* or *Shewanella* spp. class C beta-lactamases for the AmpC found in our *S. algae* strains. In particular, 38LV, 82CP and 219VB shared a 100% identity to WP\_101059234.1 protein sequence whereas 57CP and 60CP reported a 99.48% identity to WP\_096142539.1. The strains 144bCP, 146bCP and 178CP were more similar to OHY57436.1 protein sequence registering an identity percentage of 98.96%. The 83CP isolate showed a 98.18% identity to WP\_082052691.1 whereas 353M evidenced a 98.45% identity to WP\_123115713.1. On the contrary, *V. anguillarum* 28AD strain showed an identity percentage of 99.74% to class C beta-lactamase *Vibrio* sp. V12 P9A6T4 (WP\_094166136.1). A 10 *S. algae* AmpC protein sequence dataset was created as reported before and the sequences were aligned to all the predicted *S. algae* AmpC protein sequences (384-386 amino acids) under analysis to find some substitutions (Figure 18). In particular, most of the point mutations were found in the 83CP strain (M11V, V17A, I32M, S99C, V220M, K379R). Moreover, 144bCP, 146bCP, 178CP and 353M created a first group of strains presenting the same substitutions in the same positions (D/N26A, S108N, K115R, V119L) whereas a second group was made of 219VB, 38LV and 82CP presenting the point mutations G41D, E/R80Q, S103N, G154E, A245G. A third group was created by the 57CP and 60CP isolates containing the substitution from an alanine to a valine in position 101.

```

                                11 14 17          26   32       41
                                |  |  |          |   |       |
WP_093983723.1  MHGDRMINRVTKLLAMVLGFSVHFAMAETPDANIQSITDEVDQQAGMLMEKYHIPGMAIA 60
83_CP          -----MINRVTKLLAVVLGFSAHFAMAETPNANIQSMTDEVDQQAGMLMEKYHIPGMAIA 55
WP_037474920.1  MHGDRMINRVTKLLAMVLGFSVHFAMAETPAANIQSITDEVDQQAGMLMEKYHIPGMAIA 60
WP_082050130.1  MHGDRMINRVTKLLAVVLGFSVHFAMAETPNANIQSITDEVDQQAGMLMEKYHIPGMAIA 60
57_CP          -----MINRVTKLLAMVEFSVHFAMAETPDANIQSITDEVDQQADMLMEKYHIPGMAIA 55
60_CP          -----MINRVTKLLAMVLGFSVHFAMAETPDANIQSITDEVDQQADMLMEKYHIPGMAIA 55
WP_096142539.1  MHGDRMINRVTKLLAMVLGFSVHFAMAETPDANIQSITDEVDQQADMLMEKYHIPGMAIA 60
PBQ25716.1     MHGDRMINRVTKLLAMVLGFSVHFAMAETPDANIQSITDEVDQQADMLMEKYHIPGMAIA 60
WP_082052691.1  MHGDRMINRVTKLLAMVLGFSVHFAMAETPNANIQSITDEVDQQAGMLMEKYHIPGMAIA 60
144B_CP        -----MINRVTKLLAMVLGFSVHFAMAETPAANIQSITDEVDQQAGMLMEKYHIPGMAIA 55
146B_CP        -----MINRVTKLLAMVLGFSVHFAMAETPAANIQSITDEVDQQAGMLMEKYHIPGMAIA 55
178_CP         -----MINRVTKLLAMVLGFSVHFAMAETPAANIQSITDEVDQQAGMLMEKYHIPGMAIA 55
353_M          -----MINRVTKLLAMVLGFSVHFAMAETPAANIQSITDEVDQQAGMLMEKYHIPGMAIA 55
WP_082813108.1  -----MVLGFSVHFAMAETPAANIQSITDEVDQQAGMLMEKYHIPGMAIA 45
219_VB         -----MINRVTKLLAMVLGFSVHFAMAETPAANIQSITDEVDQQADMLMEKYHIPGMAIA 55
38_IV          -----MINRVTKLLAMVLGFSVHFAMAETPAANIQSITDEVDQQADMLMEKYHIPGMAIA 55
82_CP          -----MINRVTKLLAMVLGFSVHFAMAETPAANIQSITDEVDQQADMLMEKYHIPGMAIA 55
WP_101059234.1  -----MINRVTKLLAMVLGFSVHFAMAETPAANIQSITDEVDQQADMLMEKYHIPGMAIA 55
WP_037467572.1  MHGDRMINRVTKLLAMVLGFSVHFAMAETPNANIQSITDEVDQQAGMLMEKYHIPGMAIA 60
WP_083390194.1  MHGDRMINRVTKLLTMVLGFSVHFAMAETPNANIQSITDEVDQQAGMLMEKYHIPGMAIA 60
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83_CP	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	CYAQSL	GTFN	LEDNAAK
WP_037474920.1	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	SYAQN	LGAFS	LEDNAAK
WP_082050130.1	ISIGGEQHFYHYGMADVNA	SIKVS	EHTLFELGSISKTFTAILG	SYAQS	LGAFS	LEDNAAK
57_CP	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	SVYQ	SLGAFS	LEDNAAK
60_CP	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	SVYQ	SLGAFS	LEDNAAK
WP_096142539.1	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	SVYQ	SLGAFS	LEDNAAK
PBQ25716.1	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	SVYQ	SLGAFS	LEDNAAK
WP_082052691.1	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	CYAQ	SLGT	TFNLEDNAAK
144B_CP	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	SYAQS	SLGAFN	LEDNAAK
146B_CP	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	SYAQS	SLGAFN	LEDNAAK
178_CP	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	SYAQS	SLGAFN	LEDNAAK
353_M	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	SYAQS	SLGAFN	LEDNAAK
WP_082813108.1	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	SYAQS	SLGAFN	LEDNAAK
219_VB	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	SYAQN	LGAFS	LEDNAAK
38_IV	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	SYAQN	LGAFS	LEDNAAK
82_CP	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	SYAQN	LGAFS	LEDNAAK
WP_101059234.1	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	SYAQN	LGAFS	LEDNAAK
WP_037467572.1	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	SYAQN	LGAFS	LEDNAAK
WP_083390194.1	ISIGGEQHFYHYGMADVNA	GIKVS	RHTLFELGSISKTFTAILG	SYAQS	SLGAFN	LEDNAAK

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83_CP	YVKVWEGTPIGNTKLINLATYTAGGLPLQFPNEVTTND	GMLAYYKAWQPEFAAGT	HRLYS	175	
WP_037474920.1	YVKVWEGSPIGNTKLINLATYTAGGLPLQFPNEVTTND	GMLAYYKAWQPEFAAGT	HRLYS	180	
WP_082050130.1	YVKVWEGTPIGNTKLINLATYTAGGLPLQFPNEVTTND	GMLAYYKAWQPEFAAGT	HRLYS	180	
57_CP	YVKVWEGTPIGNTKLINLATYTAGGLPLQFPNEVTTND	GMLAYYKAWQPEFAAGT	HRLYS	175	
60_CP	YVKVWEGTPIGNTKLINLATYTAGGLPLQFPNEVTTND	GMLAYYKAWQPEFAAGT	HRLYS	175	
WP_096142539.1	YVKVWEGTPIGNTKLINLATYTAGGLPLQFPNEVTTND	GMLAYYKAWQPEFAAGT	HRLYS	180	
PBQ25716.1	YVKVWEGTPIGNTKLINLATYTAGGLPLQFPNEVTTND	GMLAYYKAWQPEFAAGT	HRLYS	180	
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144B_CP	YVKLWEGTPIGNTKLINLATYTAGGLPLQFPNEVTTND	GMLAYYKAWQPEFAAGT	HRLYS	175	
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178_CP	YVKLWEGTPIGNTKLINLATYTAGGLPLQFPNEVTTND	GMLAYYKAWQPEFAAGT	HRLYS	175	
353_M	YVKLWEGTPIGNTKLINLATYTAGGLPLQFPNEVTTND	GMLAYYKAWQPEFAAGT	HRLYS	175	
WP_082813108.1	YVKLWEGTPIGNTKLINLATYTAGGLPLQFPNEVTTND	GMLAYYKAWQPEFAAGT	HRLYS	165	
219_VB	YVKVWEGTPIGNTKLINLATYTAGGLPLQFPNEVTTND	EMLAYYKAWQPEFAAGT	HRLYS	175	
38_IV	YVKVWEGTPIGNTKLINLATYTAGGLPLQFPNEVTTND	EMLAYYKAWQPEFAAGT	HRLYS	175	
82_CP	YVKVWEGTPIGNTKLINLATYTAGGLPLQFPNEVTTND	EMLAYYKAWQPEFAAGT	HRLYS	175	
WP_101059234.1	YVKVWEGTPIGNTKLINLATYTAGGLPLQFPNEVTTND	EMLAYYKAWQPEFAAGT	HRLYS	175	
WP_037467572.1	YVKVWEGTPIGNTKLINLATYTAGGLPLQFPNEVTTND	GMLAYYKAWQPEFAAGT	HRLYS	180	
WP_083390194.1	YVKVWEGTPIGNTKLINLATYTAGGLPLQFPNEVTTND	GMLAYYKAWQPEFAAGT	HRLYS	180	

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83_CP	NPSIGLYGLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNR	MAQYAFGYNAKNEPVR	235		
WP_037474920.1	NPSIGLYGLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNR	VAQYAFGYNAKNEPVR	240		
WP_082050130.1	NPSIGLYGLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNR	VAQYAFGYNAKNEPVR	240		
57_CP	NPSIGLYGLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNR	VAQYAFGYNAKNEPVR	235		
60_CP	NPSIGLYGLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNR	VAQYAFGYNAKNEPVR	235		
WP_096142539.1	NPSIGLYGLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNR	VAQYAFGYNAKNEPVR	240		
PBQ25716.1	NPSIGLYGLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNR	VAQYAFGYNAKNEPVR	240		
WP_082052691.1	NPSIGLYGLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNR	VAQYAFGYNAKNEPVR	240		
144B_CP	NPSIGLYGLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNR	VAQYAFGYNAKNEPVR	235		
146B_CP	NPSIGLYGLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNR	VAQYAFGYNAKNEPVR	235		
178_CP	NPSIGLYGLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNR	VAQYAFGYNAKNEPVR	235		
353_M	NPSIGLYGLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNR	VAQYAFGYNAKNEPVR	235		
WP_082813108.1	NPSIGLYGLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNR	VAQYAFGYNAKNEPVR	225		
219_VB	NPSIGLYGLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNR	VAQYAFGYNAKNEPVR	235		
38_IV	NPSIGLYGLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNR	VAQYAFGYNAKNEPVR	235		

82\_CP NPSIGLYGYLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNRVAQYAFGYNAKNEPVR 235  
 WP\_101059234.1 NPSIGLYGYLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNRVAQYAFGYNAKNEPVR 235  
 WP\_037467572.1 NPSIGLYGYLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNRVAQYAFGYNAKNEPVR 240  
 WP\_083390194.1 NPSIGLYGYLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNRVAQYAFGYNAKNEPVR 240  
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245 253  
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 WP\_037474920.1 VSPGMLDAEAYGVKSTSDMLHYLEANMGLKPLSPQIAAAIKETHKGYDASFTQALGW 300  
 WP\_082050130.1 VSPGMLDAEAYGVKSTSDMLHYLEANMGLKPLSPQIAAAIKETHKGYDASFTQALGW 300  
 57\_CP VSPGMLDAEAYGVKSTSDMLHYLEANMGLKPLSPQIAAAIKETHKGYDASFTQALGW 295  
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 WP\_096142539.1 VSPGMLDAEAYGVKSTSDMLHYLEANMGLKPLSPQIAAAIKETHKGYDASFTQALGW 300  
 PBQ25716.1 VSPGMLDAEAYGVKSTSDMLHYLEANMGLKPLSPQIAAAIKETHKGYDASFTQALGW 300  
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 146B\_CP VSPGMLDAEAYGVKSTSDMLHYLEANMGLKPLSPQIAAAIKETHKGYDASFTQALGW 295  
 178\_CP VSPGMLDAEAYGVKSTSDMLHYLEANMGLKPLSPQIAAAIKETHKGYDASFTQALGW 295  
 353\_M VSPGMLDAEAYGVKSTSDMLHYLEANMGLKPLSPQIAAAIKETHKGYDASFTQALGW 295  
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 38\_IV VSPGMLDAEGYGVKSTSDMLHYLEANMGLKPLSPQIAAAIKETHKGYDASFTQALGW 295  
 82\_CP VSPGMLDAEGYGVKSTSDMLHYLEANMGLKPLSPQIAAAIKETHKGYDASFTQALGW 295  
 WP\_101059234.1 VSPGMLDAEGYGVKSTSDMLHYLEANMGLKPLSPQIAAAIKETHKGYDASFTQALGW 295  
 WP\_037467572.1 VSPGMLDAEGYGVKSTSDMLHYLEANMGLKPLSPQIAAAIKETHKGYDASFTQALGW 300  
 WP\_083390194.1 VSPGMLDAEGYGVKSTSDMLHYLEANMGLKPLSPQIAAAIKETHKGYDASFTQALGW 300  
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 WP\_037474920.1 EIYEPPLTSLKLEGNREMILOPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 360  
 WP\_082050130.1 EIYEPPLTSLKLEGNREMASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 360  
 57\_CP EIYEPPLTSLKLEGNREMASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 355  
 60\_CP EIYEPPLTSLKLEGNREMASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 355  
 WP\_096142539.1 EIYEPPLTSLKLEGNREIASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 360  
 PBQ25716.1 EIYEPPLTSLKLEGNREIASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 360  
 WP\_082052691.1 EIYEPPLTSLKLEGNREMASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 360  
 144B\_CP EIYEPPLTSLKLEGNREMASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 355  
 146B\_CP EIYEPPLTSLKLEGNREMASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 355  
 178\_CP EIYEPPLTSLKLEGNREMASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 355  
 353\_M EIYEPPLTSLKLEGNREMASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 355  
 WP\_082813108.1 EIYEPPLTSLKLEGNREMASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 345  
 219\_VB EIYEPPLTSLKLEGNREMASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 355  
 38\_IV EIYEPPLTSLKLEGNREMASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 355  
 82\_CP EIYEPPLTSLKLEGNREMASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 355  
 WP\_101059234.1 EIYEPPLTSLKLEGNREMASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 355  
 WP\_037467572.1 EIYEPPLTSLKLEGNREMASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 360  
 WP\_083390194.1 EIYEPPLTSLKLEGNREMASQPTKVKASDDIVEKTGEVWINKTGSTGGFGAYVAFIPA 360  
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379

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 83\_CP KKLAIIVMLANKSYPI SARVEAAYRILAAA-- 384  
 WP\_037474920.1 KKLAIIVMLANKSYPI SARVEAAYKILAAAQE 391  
 WP\_082050130.1 KKLAIIVMLANKSYPI SARVEAAYKILAAAQE 391  
 57\_CP KKLAIIVMLANKSYPI SARVEAAYKILAAAQE 386  
 60\_CP KKLAIIVMLANKSYPI SARVEAAYKILAAAQE 386  
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 PBQ25716.1 KKLAIIVMLANKSYPI SARVEAAYKILAAAQE 391  
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 144B\_CP KKLAIIVMLANKSYPI SARVEAAYKILAAAQE 386  
 146B\_CP KKLAIIVMLANKSYPI SARVEAAYKILAAAQE 386  
 178\_CP KKLAIIVMLANKSYPI SARVEAAYKILAAAQE 386

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353_M      KKLAIIVMLANKSYPI SARVEAAYRI LAAAE 386
WP_082813108.1 KKLAIIVMLANKSYPI SARVEAAYRI LAAAE 376
219_VB    KKLAIIVMLANKSYPI SARVEAAYKI LAAAE 386
38_LV     KKLAIIVMLANKSYPI SARVEAAYKI LAAAE 386
82_CP     KKLAIIVMLANKSYPI SARVEAAYKI LAAAE 386
WP_101059234.1 KKLAIIVMLANKSYPI SARVEAAYKI LAAAE 386
WP_037467572.1 KKLAIIVMLANKSYPI SARVEAAYKI LAAAE 391
WP_083390194.1 KKLAIIVMLANKSYPI SARVEAAYKI LAAAE 391
*****.*****.*****

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Figure 18. Multiple alignment of the *S. algae* AmpC sequences compared to a dataset of AmpC reference sequences retrieved in Genbank database. Point mutations are reported in red whereas the numbers indicate the positions in which they are found within the protein sequence.

The 385 amino acid AmpC sequence detected in *V. anguillarum* 28AD was aligned to a small dataset made of 12 AmpC protein sequences found in other environmental *V. anguillarum* or *Vibrio* spp. strains deposited in Genbank database and used for further phylogenetic analysis (Figure 19). Multiple alignment was performed using Clustal Omega tool (2017) provided by EMBL-EBI and denoted very few mutations. In particular, point mutations did not affect the active site and the main motifs FELGS and SKTF which are involved in the beta-lactam hydrolytic activity.

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                                     10
                                     |
AmpC_28_AD      MCVRRRLTINAI LAMLFAPNVRAAGVGEK LKNEVDKQAQALMQNYHI PGMAFGVVVEGKT 60
OXX51557.1     MCVRRRLTINTI LAMLFAPNVRAAGVGEK LKNEVDKQAQALMQNYHI PGMAFGVVVEGKT 60
WP_094166136.1 MCVRRRLTINTI LAMLFAPNVRAAGVGEK LKNEVDKQAQALMQNYHI PGMAFGVVVEGKT 60
OXX41694.1     MCVRRRLTINTI LAMLFAPNVRAAGVGEK LKNEVDKQAQALMQNYHI PGMAFGVVVEGKT 60
OXX63112.1     MCVRRRLTINTI LAMLFAPNVRAAGVGEK LKNEVDKQAQALMQNYHI PGMAFGVVVEGKT 60
OXX69469.1     MCVRRRLTINTI LAMLFAPNVRAAGVGEK LKNEVDKQAQALMQNYHI PGMAFGVVVEGKT 60
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ASW82655.1     MCVRRRLTINTI LAMLFAPNVRAAGVGEK LKNEVDKQAQALMQNYHI PGMAFGVVVEGKT 60
AQM18085.1     MCVRRRLTINTI LAMLFAPNVRAAGVGEK LKNEVDKQAQALMQNYHI PGMAFGVVVEGKT 60
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ASO30330.1     MCVRRRLTINTI LAMLFAPNVRAAGVGEK LKNEVDKQAQALMQNYHI PGMAFGVVVEGKT 60
OQQ11210.1     MCVRRRLTINTI LAMLFAPNVRAAGVGEK LKNEVDKQAQALMQNYHI PGMAFGVVVEGKT 60
AQM14661.1     MCVRRRLTINTI LAMLFAPNVRAAGVGEK LKNEVDKQAQALMQNYHI PGMAFGVVVEGKT 60
WP_081245207.1 MCVRRRLTINTI LAMLFAPNVRAAGVGEK LKNEVDKQAQALMQNYHI PGMAFGVVVEGKT 60
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                                     96
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AmpC_28_AD      YFYNYGVADTAQNQPVSEATIFELGSVSKTFAATL TSYAQVKGQLSLTD TAEQHMPELKG 120
OXX51557.1     YFYNYGVADTAQNQPVSEATIFELGSVSKTFAATL TSYAQVKGQLSLTD TAEQHMPELKG 120
WP_094166136.1 YFYNYGVADTAQNQPVSEATIFELGSVSKTFAATL TSYAQVKGQLSLTD TAEQHMPELKG 120
OXX41694.1     YFYNYGVADTAQNQPVSEATIFELGSVSKTFAATL ASYAQVKGQLSLTD TAEQHMPELKG 120
OXX63112.1     YFYNYGVADTAQNQPVSEATIFELGSVSKTFAATL ASYAQVKGQLSLTD TAEQHMPELKG 120
OXX69469.1     YFYNYGVADTAQNQPVSEATIFELGSVSKTFAATL ASYAQVKGQLSLTD TAEQHMPELKG 120
WP_094133832.1 YFYNYGVADTAQNQPVSEATIFELGSVSKTFAATL ASYAQVKGQLSLTD TAEQHMPELKG 120
ASW82655.1     YFYNYGVADTAQNQPVSEATIFELGSVSKTFAATL ASYAQVKGQLSLTD TAEQHMPELKG 120
AQM18085.1     YFYNYGVADTAQNQPVSEATIFELGSVSKTFAATL ASYAQVKGQLSLTD TAEQHMPELKG 120
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WP\_081245207.1 YFYNYGVADTAQNQPVSEATIFELGSVSKTFAATLASYAQVKGQLSLTDTAEQHMPELKG 120  
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161  
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OXX51557.1 STIGARTLQELGTYIAGGLPLQFPDVTKNNQDMVQYYRNWDEVYPSNTRKRVSNPSIGLF 180  
WP\_094166136.1 STIGARTLQELGTYIAGGLPLQFPDVTKNNQDMVQYYRNWDEVYPSNTRKRVSNPSIGLF 180  
OXX41694.1 STIGARTLQELGTYIAGGLPLQFPDVTKNNQDMVQYYRNWDEVYPSNTRKRVSNPSIGLF 180  
OXX63112.1 STIGARTLQELGTYIAGGLPLQFPDVTKNNQDMVQYYRNWDEVYPSNTRKRVSNPSIGLF 180  
OXX69469.1 STIGARTLQELGTYIAGGLPLQFPDVTKNNQDMVQYYRNWDEVYPSNTRKRVSNPSIGLF 180  
WP\_094133832.1 STIGARTLQELGTYIAGGLPLQFPDVTKNNQDMVQYYRNWDEVYPSNTRKRVSNPSIGLF 180  
ASW82655.1 STIGARTLQELGTYIAGGLPLQFPDVTKNNQDMVQYYRNWDEVYPSNTRKRVSNPSIGLF 180  
AQM18085.1 STIGARTLQELGTYIAGGLPLQFPDVTKNNQDMVQYYRNWDEVYPSNTRKRVSNPSIGLF 180  
WP\_029388315.1 STIGARTLQELGTYIAGGLPLQFPDVTKNNQDMVQYYRNWDEVYPSNTRKRVSNPSIGLF 180  
ASO30330.1 STIGARTLQELGTYIAGGLPLQFPDVTKNNQDMVQYYRNWDEVYPSNTRKRVSNPSIGLF 180  
OQQ11210.1 STIGARTLQELGTYIAGGLPLQFPDVTKNNQDMVQYYRNWDEVYPSNTRKRVSNPSIGLF 180  
AQM14661.1 STIGARTLQELGTYIAGGLPLQFPDVTKNNQDMVQYYRNWDEVYPSNTRKRVSNPSIGLF 180  
WP\_081245207.1 STIGARTLQELGTYIAGGLPLQFPDVTKNNQDMVQYYRNWDEVYPSNTRKRVSNPSIGLF 180  
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WP\_094166136.1 GYIAALSLKEDYVSAMEKNVFPALSMSTNTYIHVPDNKMKEYAFGYNANGEAVRVNPGVLD 240  
OXX41694.1 GYIAALSLKEDYVSAMEKNVFPALSMSTNTYIHVPDNKMKEYAFGYNANGEAVRVNPGVLD 240  
OXX63112.1 GYIAALSLKEDYVSAMEKNVFPALSMSTNTYIHVPDNKMKEYAFGYNANGEAVRVNPGVLD 240  
OXX69469.1 GYIAALSLKEDYVSAMEKNVFPALSMSTNTYIHVPDNKMKEYAFGYNANGEAVRVNPGVLD 240  
WP\_094133832.1 GYIAALSLKEDYVSAMEKNVFPALSMSTNTYIHVPDNKMKEYAFGYNANGEAVRVNPGVLD 240  
ASW82655.1 GYIAALSLKEDYVSAMEKNVFPALSMSTNTYIHVPDNKMKEYAFGYNANGEAVRVNPGVLD 240  
AQM18085.1 GYIAALSLKEDYVSAMEKNVFPALSMSTNTYIHVPDNKMKEYAFGYNANGEAVRVNPGVLD 240  
WP\_029388315.1 GYIAALSLKEDYVSAMEKNVFPALSMSTNTYIHVPDNKMKEYAFGYNANGEAVRVNPGVLD 240  
ASO30330.1 GYIAALSLKEDYVSAMEKNVFPALSMSTNTYIHVPDNKMKEYAFGYNANGEAVRVNPGVLD 240  
OQQ11210.1 GYIAALSLKEDYVSAMEKNVFPALSMSTNTYIHVPDNKMKEYAFGYNANGEAVRVNPGVLD 240  
AQM14661.1 GYIAALSLKEDYVSAMEKNVFPALSMSTNTYIHVPDNKMKEYAFGYNANGEAVRVNPGVLD 240  
WP\_081245207.1 GYIAALSLKEDYVSAMEKNVFPALSMSTNTYIHVPDNKMKEYAFGYNANGEAVRVNPGVLD 240  
\*\*\*\*\*.\*\*\*\*\*

AmpC\_28\_AD AEAYGVKSTSSDMVQYIKANLGLAVVATDMQQALVNNRTGFYQSSTFMQGLAWEMYPYPS 300  
OXX51557.1 AEAYGVKSTSSDMVQYIKANLGLAVVATDMQQALVNNRTGFYQSSTFMQGLAWEMYPYPS 300  
WP\_094166136.1 AEAYGVKSTSSDMVQYIKANLGLAVVATDMQQALVNNRTGFYQSSTFMQGLAWEMYPYPS 300  
OXX41694.1 AEAYGVKSTSSDMVQYIKANLGLAVVATDMQQALVNNRTGFYQSSTFMQGLAWEMYPYPS 300  
OXX63112.1 AEAYGVKSTSSDMVQYIKANLGLAVVATDMQQALVNNRTGFYQSSTFMQGLAWEMYPYPS 300  
OXX69469.1 AEAYGVKSTSSDMVQYIKANLGLAVVATDMQQALVNNRTGFYQSSTFMQGLAWEMYPYPS 300  
WP\_094133832.1 AEAYGVKSTSSDMVQYIKANLGLAVVATDMQQALVNNRTGFYQSSTFMQGLAWEMYPYPS 300  
ASW82655.1 AEAYGVKSTSSDMVQYIKANLGLAVVATDMQQALVNNRTGFYQSSTFMQGLAWEMYPYPS 300  
AQM18085.1 AEAYGVKSTSSDMVQYIKANLGLAVVATDMQQALVNNRTGFYQSSTFMQGLAWEMYPYPS 300  
WP\_029388315.1 AEAYGVKSTSSDMVQYIKANLGLAVVATDMQQALVNNRTGFYQSSTFMQGLAWEMYPYPS 300  
ASO30330.1 AEAYGVKSTSSDMVQYIKANLGLAVVATDMQQALVNNRTGFYQSSTFMQGLAWEMYPYPS 300  
OQQ11210.1 AEAYGVKSTSSDMVQYIKANLGLAVVATDMQQALVNNRTGFYQSSTFMQGLAWEMYPYPS 300  
AQM14661.1 AEAYGVKSTSSDMVQYIKANLGLAVVATDMQQALVNNRTGFYQSSTFMQGLAWEMYPYPS 300  
WP\_081245207.1 AEAYGVKSTSSDMVQYIKANLGLAVVATDMQQALVNNRTGFYQSSTFMQGLAWEMYPYPS 300  
\*\*\*\*\*.\*\*\*\*\*

342  
|

AmpC\_28\_AD QLSALLEGNSTDVIIKPQTIQINTPPTPTNGVWVNKTGSTGGFGAYVVYIPSKESGIVI 360  
OXX51557.1 QLSALLEGNSTDVIIKPQTIQINTPPTPTNGVWVNKTGSTGGFGAYVVYIPSKESGIVI 360  
WP\_094166136.1 QLSALLEGNSTDVIIKPQTIQINTPPTPTNGVWVNKTGSTGGFGAYVVYIPSKESGIVI 360  
OXX41694.1 QLSALLEGNSTDVIIKPQTIQINTPPTPTNGVWVNKTGSTGGFGAYVVYIPSKESGIVI 360  
OXX63112.1 QLSALLEGNSTDVIIKPQTIQINTPPTPTNGVWVNKTGSTGGFGAYVVYIPSKESGIVI 360  
OXX69469.1 QLSALLEGNSTDVIIKPQTIQINTPPTPTNGVWVNKTGSTGGFGAYVVYIPSKESGIVI 360  
WP\_094133832.1 QLSALLEGNSTDVIIKPQTIQINTPPTPTNGVWVNKTGSTGGFGAYVVYIPSKESGIVI 360

```

ASW82655.1      QLSALLEGNSTDVIIKPQTIQINTPPTPTNGVWVNKTGSTSGFGAYVVYI PSKESGIVI 360
AQM18085.1      QLSALLEGNSTDVIIKPQTIQINTPPTPTNGVWVNKTGSTSGFGAYVVYI PSKESGIVI 360
WP_029388315.1 QLSALLEGNSTDVIIKPQTIQINTPPTPTNGVWVNKTGSTSGFGAYVVYI PSKESGIVI 360
ASO30330.1      QLSALLEGNSTDVIIKPQTIQINTPPTPTNGVWVNKTGSTGGFGAYVVYI PSKESGIVI 360
OQQ11210.1      QLSALLEGNSTDVIIKPQTIQINTPPTPTNGVWVNKTGSTGGFGAYVVYI PSKESGIVI 360
AQM14661.1      QLSALLEGNSTDVIIKPQTIQINTPPTPTNGVWVNKTGSTGGFGAYVVYI PSKESGIVI 360
WP_081245207.1 QLSALLEGNSTDVIIKPQTIQINTPPTPTNGVWVNKTGSTGGFGAYVVYI PSKESGIVI 360
*****.*****

AmpC_28_AD      LANKNYPNQERVKA AFRILQAGLEQ 385
OXX51557.1      LANKNYPNQERVKA AFRILQAGLEQ 385
WP_094166136.1 LANKNYPNQERVKA AFRILQAGLEQ 385
OXX41694.1      LANKNYPNQERVKA AFRILQAGLEQ 385
OXX63112.1      LANKNYPNQERVKA AFRILQAGLEQ 385
OXX69469.1      LANKNYPNQERVKA AFRILQAGLEQ 385
WP_094133832.1 LANKNYPNQERVKA AFRILQAGLEQ 385
ASW82655.1      LANKNYPNQERVKA AFRILQAGLEQ 385
AQM18085.1      LANKNYPNQERVKA AFRILQAGLEQ 385
WP_029388315.1 LANKNYPNQERVKA AFRILQAGLEQ 385
ASO30330.1      LANKNYPNQERVKA AFRILQAGLEQ 385
OQQ11210.1      LANKNYPNQERVKA AFRILQAGLEQ 385
*****

```

Figure 19. Multiple alignment of the *V. anguillarum* 28 AD AmpC sequences compared to a dataset of *V. anguillarum* and *Vibrio* spp. reference AmpC sequences retrieved in Genbank database. Point mutations are reported in red whereas the numbers indicate the positions in which they are found within the protein sequence.

A multiple alignment of all the predicted AmpC protein sequences found in *V. anguillarum* 28AD and *S. algae* isolates was performed as reported in Figure 20. The amino acid similarity among *S. algae* and *V. anguillarum* 28AD AmpC was 47.3%. Although some amino acid blocks are conserved, suggesting a role in the beta-lactamase activity (catalytic site) and protein folding, a discrete variability is described between the AmpC protein sequences originated from the two genera under study.

```

AmpC_28_AD      MCVR--RLTINAIALAMLFAPNVRAAGVGEKLNKNEVDKQAQALM QNYHIPGMAFGVVVEG 58
83_CP           MINRVTKLLAVVLGFS AHFAMAETPNANIQSMTDEVDQQAGMLMEKYHIPGMAIAISIGG 60
219_VB         MINRVTKLLAMVLGFSVHFAMAETPAANIQSITDEVDQQADMLMEKYHIPGMAIAISIGG 60
38_LV          MINRVTKLLAMVLGFSVHFAMAETPAANIQSITDEVDQQADMLMEKYHIPGMAIAISIGG 60
82_CP          MINRVTKLLAMVLGFSVHFAMAETPAANIQSITDEVDQQADMLMEKYHIPGMAIAISIGG 60
144B_CP        MINRVTKLLAMVLGFSVHFAMAETPAANIQSITDEVDQQAGMLMEKYHIPGMAIAISIGG 60
146B_CP        MINRVTKLLAMVLGFSVHFAMAETPAANIQSITDEVDQQAGMLMEKYHIPGMAIAISIGG 60
178_CP         MINRVTKLLAMVLGFSVHFAMAETPAANIQSITDEVDQQAGMLMEKYHIPGMAIAISIGG 60
353_M          MINRVTKLLAMVLGFSVHFAMAETPAANIQSITDEVDQQAGMLMEKYHIPGMAIAISIGG 60
57_CP          MINRVTKLLAMVLEFVHFAMAETPDANIQSITDEVDQQADMLMEKYHIPGMAIAISIGG 60
60_CP          MINRVTKLLAMVLGFSVHFAMAETPDANIQSITDEVDQQADMLMEKYHIPGMAIAISIGG 60
* * :* .: :: ** . :.:**:* *:*****.: : *

AmpC_28_AD      KTYFYNYGVADTAQNQPVSEATI FELGSVSKTFAATLTSYAQVKQLSLDTAEQHMPPEL 118
83_CP           EQHFYHYGMADVNAGIKVSRHTL FELGSISKTF TAILGCSYAQLGTFNLEDNAAKYVKVW 120
219_VB         EQHFYHYGMADVNAGIKVSHHTL FELGSISKTF TAILGCSYAQLGAFSLEDNAAKYVKVW 120
38_LV          EQHFYHYGMADVNAGIKVSHHTL FELGSISKTF TAILGCSYAQLGAFSLEDNAAKYVKVW 120

```

82\_CP EQHFYHYGMADVNAGIKVSQHTL FELGS ISKTF TAILG SYA QNLGAFS LEDNA AKYVKVW 120  
 144B\_CP EQHFYHYGMADVNAGIKVSRHTL FELGS ISKTF TAILG SYA QSLGAFN LEDNA ARYVKLW 120  
 146B\_CP EQHFYHYGMADVNAGIKVSRHTL FELGS ISKTF TAILG SYA QSLGAFN LEDNA ARYVKLW 120  
 178\_CP EQHFYHYGMADVNAGIKVSRHTL FELGS ISKTF TAILG SYA QSLGAFN LEDNA ARYVKLW 120  
 353\_M EQHFYHYGMADVNAGIKVSRHTL FELGS ISKTF TAILG SYA QSLGAFN LEDNA ARYVKLW 120  
 57\_CP EQHFYHYGMADVNAGIKVSRHTL FELGS ISKTF TAILG SYV QSLGAFS LEDNA AKYVKVW 120  
 60\_CP EQHFYHYGMADVNAGIKVSRHTL FELGS ISKTF TAILG SYV QSLGAFS LEDNA AKYVKVW 120  
 : : \*\* : \*\* : \*\* . . \*\* . \* : \*\* : \*\* : \*\* : \* \* . \* . \* \* : . \* \* . \* : :

AmpC\_28\_AD KGSTIGARTLQELGTYIAGGLPLQFPD TVKNNQDMVQY YRNWDEVYPSNTRKV YSNPSIG 178  
 83\_CP EGTPIGNTKLINLATYTAGGLPLQFPNEVT TNDGMLAYYKAWQPEFAAGTHRL YSNPSIG 180  
 219\_VB EGTPIGNTKLINLATYTAGGLPLQFPNEVT TNDGMLAYYKAWQPEFAAGTHRL YSNPSIG 180  
 38\_IV EGTPIGNTKLINLATYTAGGLPLQFPNEVT TNDGMLAYYKAWQPEFAAGTHRL YSNPSIG 180  
 82\_CP EGTPIGNTKLINLATYTAGGLPLQFPNEVT TNDGMLAYYKAWQPEFAAGTHRL YSNPSIG 180  
 144B\_CP EGTPIGNTKLINLATYTAGGLPLQFPNEVT TNDGMLAYYKAWQPEFAAGTHRL YSNPSIG 180  
 146B\_CP EGTPIGNTKLINLATYTAGGLPLQFPNEVT TNDGMLAYYKAWQPEFAAGTHRL YSNPSIG 180  
 178\_CP EGTPIGNTKLINLATYTAGGLPLQFPNEVT TNDGMLAYYKAWQPEFAAGTHRL YSNPSIG 180  
 353\_M EGTPIGNTKLINLATYTAGGLPLQFPNEVT TNDGMLAYYKAWQPEFAAGTHRL YSNPSIG 180  
 57\_CP EGTPIGNTKLINLATYTAGGLPLQFPNEVT TNDGMLAYYKAWQPEFAAGTHRL YSNPSIG 180  
 60\_CP EGTPIGNTKLINLATYTAGGLPLQFPNEVT TNDGMLAYYKAWQPEFAAGTHRL YSNPSIG 180  
 : \* : \*\* . \* : \* . \* \* \* \* \* \* \* : \* . \* : \* : \* : \* : : . \* : \* : \* \* \* \* \* \*

AmpC\_28\_AD LFGYIAALSLKEDYVSAMEKNVFPALMSNTY IHVPDNMKEYAFGYNANGEAVRVNPGV 238  
 83\_CP LYGYLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNRMAQYAFGYN AKNEPVRVSPGM 240  
 219\_VB LYGYLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNRVAQYAFGYN AKNEPVRVSPGM 240  
 38\_IV LYGYLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNRVAQYAFGYN AKNEPVRVSPGM 240  
 82\_CP LYGYLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNRVAQYAFGYN AKNEPVRVSPGM 240  
 144B\_CP LYGYLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNRVAQYAFGYN AKNEPVRVSPGM 240  
 146B\_CP LYGYLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNRVAQYAFGYN AKNEPVRVSPGM 240  
 178\_CP LYGYLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNRVAQYAFGYN AKNEPVRVSPGM 240  
 353\_M LYGYLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNRVAQYAFGYN AKNEPVRVSPGM 240  
 57\_CP LYGYLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNRVAQYAFGYN AKNEPVRVSPGM 240  
 60\_CP LYGYLAALSMKREFSELMEQTVLPQLAMNNTFVKVPDNRVAQYAFGYN AKNEPVRVSPGM 240  
 \* : \* : \* \* \* \* \* : \* . : . \* \* : \* : \* \* : \* \* : \* : \* \* \* \* \* : \* \* \* \* \* :

AmpC\_28\_AD LDAEAYGVKSTSSDMVQYIKANLGLAVVATDMQQALVNNRTGFYQSSTFMQGLAWEMYPY 298  
 83\_CP LDAEAYGVKSTADMLHYLEANMGLKPLSPQIAAAIKETHKGYDDTASFTQALGWEIY EY 300  
 219\_VB LDAEAYGVKSTADMLHYLEANMGLKPLSPQIAAAIKETHKGYDDTASFTQALGWEIY EY 300  
 38\_IV LDAEAYGVKSTADMLHYLEANMGLKPLSPQIAAAIKETHKGYDDTASFTQALGWEIY EY 300  
 82\_CP LDAEAYGVKSTADMLHYLEANMGLKPLSPQIAAAIKETHKGYDDTASFTQALGWEIY EY 300  
 144B\_CP LDAEAYGVKSTADMLHYLEANMGLKPLSPQIAAAIKETHKGYDDTASFTQALGWEIY EY 300  
 146B\_CP LDAEAYGVKSTADMLHYLEANMGLKPLSPQIAAAIKETHKGYDDTASFTQALGWEIY EY 300  
 178\_CP LDAEAYGVKSTADMLHYLEANMGLKPLSPQIAAAIKETHKGYDDTASFTQALGWEIY EY 300  
 353\_M LDAEAYGVKSTADMLHYLEANMGLKPLSPQIAAAIKETHKGYDDTASFTQALGWEIY EY 300  
 57\_CP LDAEAYGVKSTADMLHYLEANMGLKPLSPQIAAAIKETHKGYDDTASFTQALGWEIY EY 300  
 60\_CP LDAEAYGVKSTADMLHYLEANMGLKPLSPQIAAAIKETHKGYDDTASFTQALGWEIY EY 300  
 \* \* \* \* \* \* \* \* \* \* : \* : \* : \* : \* \* : : : \* : \* : \* : \* : \* : \* \* \* \* \* \*

AmpC\_28\_AD PSQLSALLEGNSTDIVIKPQTIQINTPPTPTNGVWVN KTGSTGGFGAYV VYIPSKESGI 358  
 83\_CP PLTSLKLEGN SREMASQPTKVKASDDIVEKTGEVWIN KTGSTGGFGAYVAFIPAKKLAI 360  
 219\_VB PLTSLKLEGN SREMASQPTKVKASDDIVEKTGEVWIN KTGSTGGFGAYVAFIPAKKLAI 360  
 38\_IV PLTSLKLEGN SREMASQPTKVKASDDIVEKTGEVWIN KTGSTGGFGAYVAFIPAKKLAI 360  
 82\_CP PLTSLKLEGN SREMASQPTKVKASDDIVEKTGEVWIN KTGSTGGFGAYVAFIPAKKLAI 360  
 144B\_CP PLTSLKLEGN SREMASQPTKVKASDDIVEKTGEVWIN KTGSTGGFGAYVAFIPAKKLAI 360  
 146B\_CP PLTSLKLEGN SREMASQPTKVKASDDIVEKTGEVWIN KTGSTGGFGAYVAFIPAKKLAI 360  
 178\_CP PLTSLKLEGN SREMASQPTKVKASDDIVEKTGEVWIN KTGSTGGFGAYVAFIPAKKLAI 360  
 353\_M PLTSLKLEGN SREMASQPTKVKASDDIVEKTGEVWIN KTGSTGGFGAYVAFIPAKKLAI 360  
 57\_CP PLTSLKLEGN SREMASQPTKVKASDDIVEKTGEVWIN KTGSTGGFGAYVAFIPAKKLAI 360  
 60\_CP PLTSLKLEGN SREMASQPTKVKASDDIVEKTGEVWIN KTGSTGGFGAYVAFIPAKKLAI 360  
 \* \* \* \* \* \* \* \* \* \* : : \* : \* : \* . \* \* : \* \* \* \* \* \* \* \* \* \* \* : \* \* : \*

AmpC\_28\_AD VILANKNYPNQERVKA AFRILQAGLEQ 385  
 83\_CP VMLANKSYPI SARVEAAYRILAAA--- 384  
 219\_VB VMLANKSYPI SARVEAAYKILAAAQE- 386

38_LV	VMLANKSYPI SARVEAAYKILAAAQE-	386
82_CP	VMLANKSYPI SARVEAAYKILAAAQE-	386
144B_CP	VMLANKSYPI SARVEAAYKILAAAQE-	386
146B_CP	VMLANKSYPI SARVEAAYKILAAAQE-	386
178_CP	VMLANKSYPI SARVEAAYKILAAAQE-	386
353_M	VMLANKSYPI SARVEAAYRILAAAQE-	386
57_CP	VMLANKSYPI SARVEAAYKILAAAQE-	386
60_CP	VMLANKSYPI SARVEAAYKILAAAQE-	386
	*:****.** . **:***:*** *	

Figure 20. Multiple alignment of all the predicted AmpC protein sequences found in *V. anguillarum* 28AD and *S. algae* strains analyzed in this study. Domains involved in catalytic site and tertiary structure of the enzyme are highlighted in yellow whereas in red are reported the point mutations.

This chromosome-encoded beta-lactamase has resulted to be located near *cat* (chloramphenicol acetyltransferase), HTH-type transcriptional regulator DmlR, *czcA* (cobalt-zinc-cadmium resistance protein), *OprM* (Outer membrane protein OprM) and *pqiAB* (paraquat-inducible protein AB) genes for our *S. algae* isolates. Its induction is mediated by beta-lactams and regulated by AmpD, AmpE, AmpR, a LysR-type regulator and AmpG. Concerning the *bla*<sub>AmpC</sub> of *V. anguillarum* 28AD, it is regulated by the same system described above but the *cat* gene is not reported in this case. Finally, the presence of AmpC and class C beta-lactamases in *V. anguillarum* genomes retrieved in Genbank has been investigated. These beta-lactamases were found in 12 out of 72 (16.7%) complete genomes, complete chromosomes, scaffolds and contigs analyzed. In addition, some other *V. anguillarum* isolates (10/72; 13.9%) harbor in their genomes a metallo beta-lactamase not found in our strains.

### 10.7 Analysis of the predicted CARB-19 nucleotide and amino acid sequences

A 283 amino acid sequence predicted as CARB-19 was detected in *V. parahaemolyticus* VPE116 genome. This class A beta-lactamase confers resistance to penicillins, in particular to amino- and carboxypenicillins. BLAST analysis revealed a 100% identity to carbenicillin-hydrolyzing class A beta-lactamase CARB-19 found in *V. parahaemolyticus* (WP\_063857870.1). The nucleotide sequences alignment revealed the presence of several point mutations (Figure 21).

```

NG_048721.1 ATGAAAAAGTTATTCCTGTTGGCTGGGCTGATGGTTTGCTCAACTGTTAGTTACGCCTCC 60
CARB-19 ATGAAAAAGTTATTCCTGTTGGCTGGGCTGATGGTTTGCTCAACTGTTAGTTACGCCTCC 60
*****

NG_048721.1 AAATTAACGAAGACATATCCCTCATCGAGAAACAAACATCTGGGCGAATTGGAGTGTC 120
CARB-19 AAATTAACGAAGACATATCCCTCATCGAGAAACAAACATCTGGGCGAATTGGAGTGTC 120
*****

NG_048721.1 GTCTGGGATACACAAACGGACGAGCGTTGGGATTATCGCGGAGACGAACGTTTCCATTA 180
CARB-19 GTCTGGGATACACAAACGGACGAGCGTTGGGATTATCGCGGAGACGAACGTTTCCATTA 180
*****
225
|
NG_048721.1 ATGAGCACATTCAAACGTTAGCGTGTGCCACCATGCTAAGCGATATGGACAGCGGCAAA 240
CARB-19 ATGAGCACATTCAAACGTTAGCGTGTGCCACCATGCTAAGCGCATGGACAGCGGCAAA 240
*****
255
|
NG_048721.1 CTCAACAAAAATGCTACAGCGAAAATCGATGAACGCAATATGTGGTTTGGTCTCCGGTG 300
CARB-19 CTCAACAAAAATGCCACAGCGAAAATCGATGAACGCAATATGTGGTTTGGTCTCCGGTG 300
*****
348
|
NG_048721.1 ATGGATAAAGTGGCTGGACAAAGCACACGATCGAACACGCTTGTGAGGCCGCCATGTTG 360
CARB-19 ATGGATAAAGTGGCTGGACAAAGCACACGATCGAACACGCTTGTGAGGCCGCCATGTTG 360
*****

NG_048721.1 ATGAGCGACAACACCGCCGCGAACTTAGTGCTAAATGAAATTGGTGGTCTAAAGCGGTC 420
CARB-19 ATGAGCGACAACACCGCCGCGAACTTAGTGCTAAATGAAATTGGTGGTCTAAAGCGGTC 420
*****
423 435 474
| | |
NG_048721.1 ACGCTGTTTTTGCGATCTATTGGCGACAAAGCAACGCGACTTGACCGATTGGAACCCCGT 480
CARB-19 ACACTGTTTTTGCGCTCTATTGGCGACAAAGCAACGCGACTTGACCGATTGGAGCCCCGT 480
** *****
504
|
NG_048721.1 TTGAATGAAGCAAAACCGGGCGATAAGCGAGACACCACAACGCCTAACGCCATGGTAAAC 540
CARB-19 TTGAATGAAGCAAAACCGGGCGACAAGCGAGACACCACAACGCCTAACGCCATGGTAAAC 540
*****
549
|
NG_048721.1 ACCCTACATACCTTGATGGAAGATAACGCCCTATCTTACGAGTCACGCACACAGCTGAAA 600
CARB-19 ACCCTACACACCTTGATGGAAGATAACGCCCTATCTTACGAGTCACGCACACAGCTGAAA 600
*****
615 633 645 651
| | | |
NG_048721.1 ATCTGGATGCAAGATAACAAAGTATCGGATTCTTCATGCGCTCCGTTCTACCAAAAGGC 660
CARB-19 ATCTGGATGCAAGACAACAAAGTATCGGATTGCTCATGCGCTCTGTTCTGCCAAAAGGC 660
*****

NG_048721.1 TGGTCGATTGCAGACCGCTCTGGCGCAGGTAACACGGTTCACGCGGCATTAGCGCGATG 720
CARB-19 TGGTCGATTGCAGACCGCTCTGGCGCAGGTAACACGGTTCACGCGGCATTAGCGCGATG 720
*****

NG_048721.1 ATCTGGAAGACAACACTACAAGCCGTTTACATCAGTATTTACGTCACAGACACCGACCTT 780
CARB-19 ATCTGGAAGACAACACTACAAGCCGTTTACATCAGTATTTACGTCACAGACACCGACCTT 780
*****

NG_048721.1 TCGCTTCAAGCTCGCGATCAACTGATCGCGCAAATCAGCCAACTGATTTTAGAGCACTAC 840
CARB-19 TCGCTTCAAGCTCGCGATCAACTGATCGCGCAAATCAGCCAACTGATTTTAGAGCACTAC 840
*****

```

```

NG_048721.1    AAAGAAAGTTAG    852
CARB-19       AAAGAAAGTTAA    852
*****

```

Figure 21. Nucleotide sequence comparisons of *bla*<sub>CARB-19</sub> gene found in *V. parahaemolyticus* VPE 116 and the corresponding reference sequence (NG-048721.1) present in Genbank database. Silent mutations are indicated in red.

They are described along the gene sequence but they do not change the primary structure of the carbenicillinase (Figure 22).

```

WP_063857870.1 MKKLFLLAGLMVCSTVSYASKLNEDISLIEKQTSGRIGVSVWDTQTDERWDYRGDERFPL    60
CARB-19          MKKLFLLAGLMVCSTVSYASKLNEDISLIEKQTSGRIGVSVWDTQTDERWDYRGDERFPL    60
*****

WP_063857870.1 MSTFKTLACATMLSDMDSGKLNKNATAKIDERNIVVWSPVMDKLAGQSTRIEHACEAAML    120
CARB-19          MSTFKTLACATMLSDMDSGKLNKNATAKIDERNIVVWSPVMDKLAGQSTRIEHACEAAML    120
*****

WP_063857870.1 MSDNTAANLVLNEIGGPKAVTLFLRSIGDKATRLDRLEPRLNEAKPGDKRDTTTPNAMVN    180
CARB-19          MSDNTAANLVLNEIGGPKAVTLFLRSIGDKATRLDRLEPRLNEAKPGDKRDTTTPNAMVN    180
*****

WP_063857870.1 TLHTLMEDNALSYESRTQLKIWMQDNKVSDSLMSVLPKGWSIADRSGAGNYGSRGISAM    240
CARB-19          TLHTLMEDNALSYESRTQLKIWMQDNKVSDSLMSVLPKGWSIADRSGAGNYGSRGISAM    240
*****

WP_063857870.1 IWKDNYKPVYISIIYVTDTDLSLQARDQLIAQISQLILEHYKES    283
CARB-19          IWKDNYKPVYISIIYVTDTDLSLQARDQLIAQISQLILEHYKES    283
*****

```

Figure 22. Multiple alignment of the predicted CARB-19 protein sequence found in *V. parahaemolyticus* VPE 116 genome evidencing the perfect match with the corresponding reference sequence retrieved in Genbank (NCBI) database.

Moreover, the *bla*<sub>CARB-19</sub> gene, found in our strain, is located near *cusAB* genes which are involved in silver, copper and fosfomycin resistance. The presence of class A beta-lactamases and in particular of carbenicillinases is reported in *V. parahaemolyticus*. However, other CARB variants are described in the sequenced genomes present in Genbank (CARB-18, CARB-20, CARB-22 and CARB-30), in addition to other serine beta-lactamases and metallo beta-lactamases.

10.8 Phylogenetic analysis of the clinical and environmental class D beta-lactamase protein sequence variants

A NJ phylogenetic tree based on the clinical and environmental 69 protein sequences of *Acinetobacter* spp. and *Shewanella* spp. derived OXA variants retrieved in Genbank database (NCBI) was constructed (Figure 23).

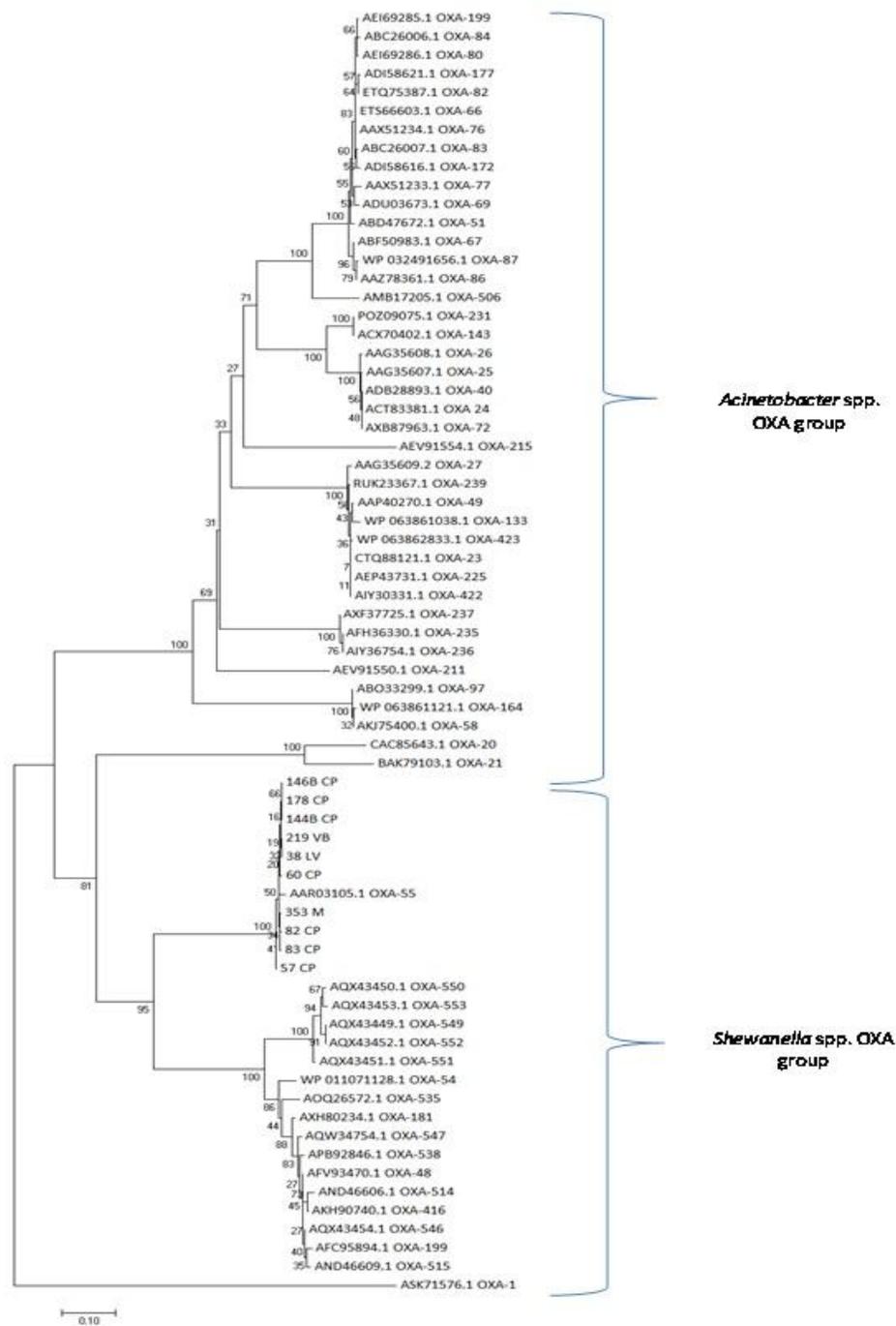


Figure 23. Neighbor-Joining phylogenetic tree based on the OXA-55-like amino acid sequences found in *S. algae* strains under study. A comparison of the known OXA variants present in Genbank database and detected in *Acinetobacter* and *Shewanella* genera was performed. Robustness of the analysis was checked using a 1000 bootstrap replication test whereas the distances were computed using the Poisson correction method.

The phylogenetic tree was inferred from the OXA-55 protein sequences of *S. algae* genomes obtained in this study. Comparative analyses of the OXA groups allowed to separate them in two main groups of variants. The first one includes the clinical and environmental variants present in the *Acinetobacter* spp. genomes whereas the second one can locate the environmental variants mainly present in the genomes of *Shewanella* genus. Within these two groups, OXA variants can generate several clusters based on the similarity of the protein sequences. Interestingly, in the *Acinetobacter* spp. OXA group, OXA-20 and OXA-21 constitute a subgroup that are more related to *Shewanella* spp. OXA variants respect to the *Acinetobacter* variants. These results are confirmed by the phylogenetic analysis performed using the nucleotide sequences as well (Figure 24).

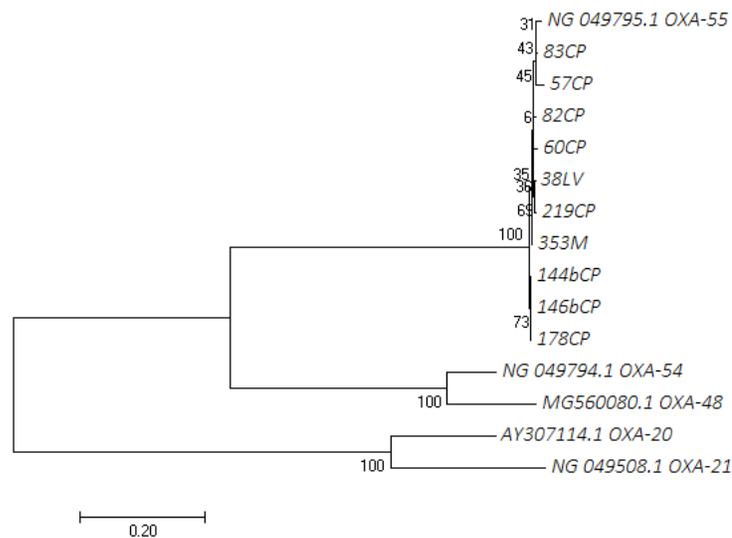


Figure 24. Phylogenetic analysis involving 15 class D beta-lactamase (OXA) nucleotide sequences. The evolutionary history was inferred using the Neighbor-Joining method using 1000 bootstrap replicates while distances were computed using the Kimura 2-parameter method.

Regarding the *Shewanella* spp. OXA group, it harbors 4 clusters. The first one locates the OXA-55 cluster in which the OXA-55 predicted protein sequences of the *S. algae* analyzed in this study are included. The intragroup heterogeneity suggests that the single amino acid

substitutions present in the protein sequences of the isolates are able to differentiate them in OXA-55-like if compared to OXA-55 reference used in the analysis.

#### 10.9 Phylogenetic analysis of the clinical and environmental class C beta-lactamase protein sequence variants

A 165 amino acid sequences NJ tree was constructed using the predicted AmpC protein sequences found in the *Shewanella* spp. and *V. anguillarum* 28AD genomes in order to study the level of amino acid relatedness and divergence among AmpC isolated in members of the same genus and in clinical strains belonging to *Enterobacteriaceae* family. Figure 25 shows the tree topology obtained. The graphical view of the tree evidenced many clusters belonging to several groups. *S. algae* and *V. anguillarum* 28AD are included in a group which is composed of 3 clusters: other *Vibrio* species, *S. algae/Shewanella* spp. and *V. anguillarum/Vibrio* spp. clusters.

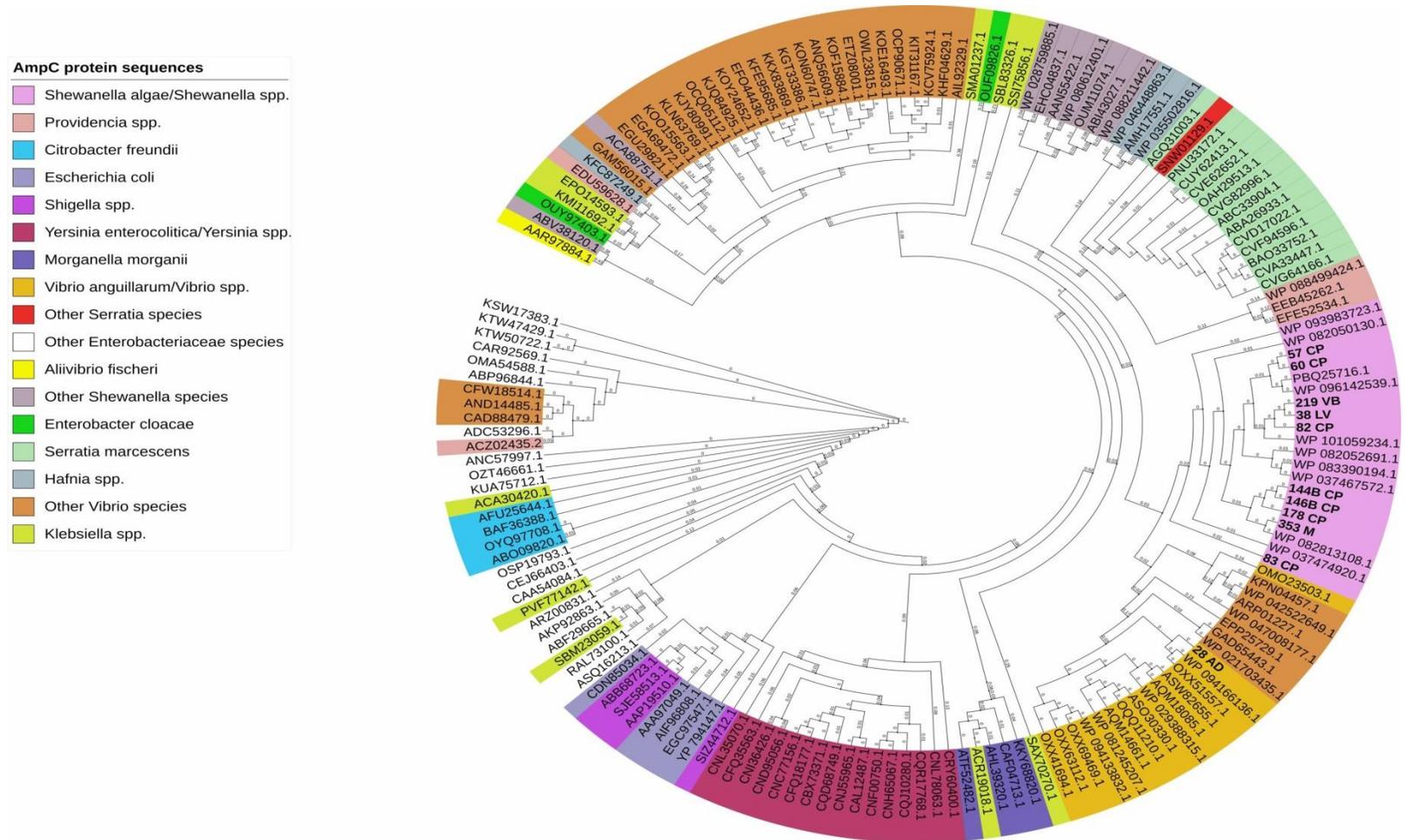


Figure 25. Phylogenetic analysis, based on Neighbor-Joining method, of the predicted AmpC protein sequences found in *V. anguillarum* 28 AD, *Shewanella algae* genomes and AmpC protein sequences present in *Enterobacteriaceae* family members. Here, the tree topology is reported. The analysis involves 165 amino acid sequences and is conducted in MEGA7. The legend associates colours to the different species or genera considered. The evolutionary distances between AmpC are reported on each branch.

Homogeneity of the AmpC protein sequences is detected within the *S. marcescens*, *S. algae/Shewanella* spp. and *V. anguillarum/Vibrio* spp clusters indicating little divergence among members belonging to these species. Although the results evidenced a great amino acid sequence heterogeneity within the same genus, a great homogeneity is observed at intraspecies level, in particular among the *S. algae*, *V. anguillarum*, *V. parahaemolyticus* and *S. marcescens* clusters. Of interest is the presence of the same groups evidenced in the multiple alignment analysis according to the mutations found in the protein sequence. Observing the *S. algae* and *Shewanella* spp. cluster we can find a first group formed by 353M, 178CP, 146bCP and 144bCP with the last two strains more closely related than the others. In particular, it is important to evidence that the 353M strain, sampled in the open sea, is related to the AmpC protein sequences of the *S. algae* isolated in the inlet water described previously but more similar to WP\_082813108.1. Then, a second group is represented by 219VB, 38LV and 82CP in which the first two strains are more related than the 82CP strain and their reference WP\_101059234.1. Besides, a third one was made of 57CP and 60CP which are distinct from PBQ25716.1 and WP\_096142539.1 located in the same group. Finally, the fourth one is given by 83CP which represents the strain with the high number of mutations and less related not only to the other AmpC protein sequences of our *S. algae* strains but also to the other protein sequences used as references. Also, the phylogenetic analysis using the nucleotide sequences of *S. algae* and *V. anguillarum* 28AD confirmed these results (Figure 26). Moreover, the phylogenetic analysis showed how the AmpC of *V. anguillarum/Vibrio* spp. and *S. algae/Shewanella* spp. are more closely related than the other clusters belonging to *Enterobacteriaceae* members. In fact, among them *Hafnia*, *Serratia*, *Enterobacter*, *Klebsiella*, *Morganella* and *Providencia* genera seem to be less divergent compared to the others. In addition, they are related to the “other *Shewanella* species” cluster.

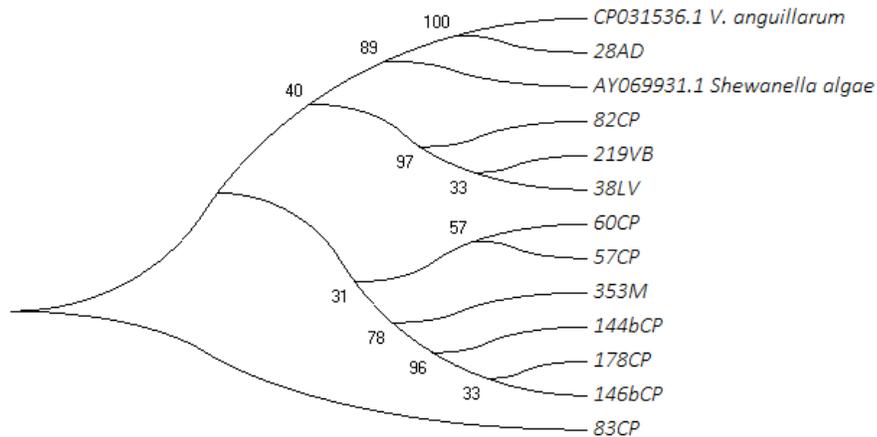


Figure 26. Phylogenetic analysis of the class C beta-lactamase (*bla<sub>AmpC</sub>*) nucleotide sequences found in the chromosomes of *S. algae* and *V. anguillarum* 28AD. The evolutionary analysis was conducted using the Neighbor-Joining method. The bootstrap consensus tree inferred from 1000 replicates is taken to represent the evolutionary history of the taxa analyzed. The evolutionary distances were calculated using the Kimura 2-parameter method.

10.10 Phylogenetic analysis of the CARB-19 amino acid sequence and its variants detected in *Vibrio* genus

Evolutionary analysis of the CARB-19 protein sequence predicted in *V. parahaemolyticus* VPE116 strain and its variants was inferred using the NJ method (Figure 27). A total of 36 amino acid sequences, found in *V. parahaemolyticus*, *V. alginolyticus*, *V. cholerae* and retrieved in Genbank database, were analyzed. The analysis reported the presence of 5 clusters. The first one is represented by all the CARB variants found in *V. parahaemolyticus*, in which CARB-19 isolated in *V. parahaemolyticus* VPE116 is located. The relatedness is high (100%) to its reference amino acid sequence (WP\_063857870.1) compared to the other sequences present in the same cluster.

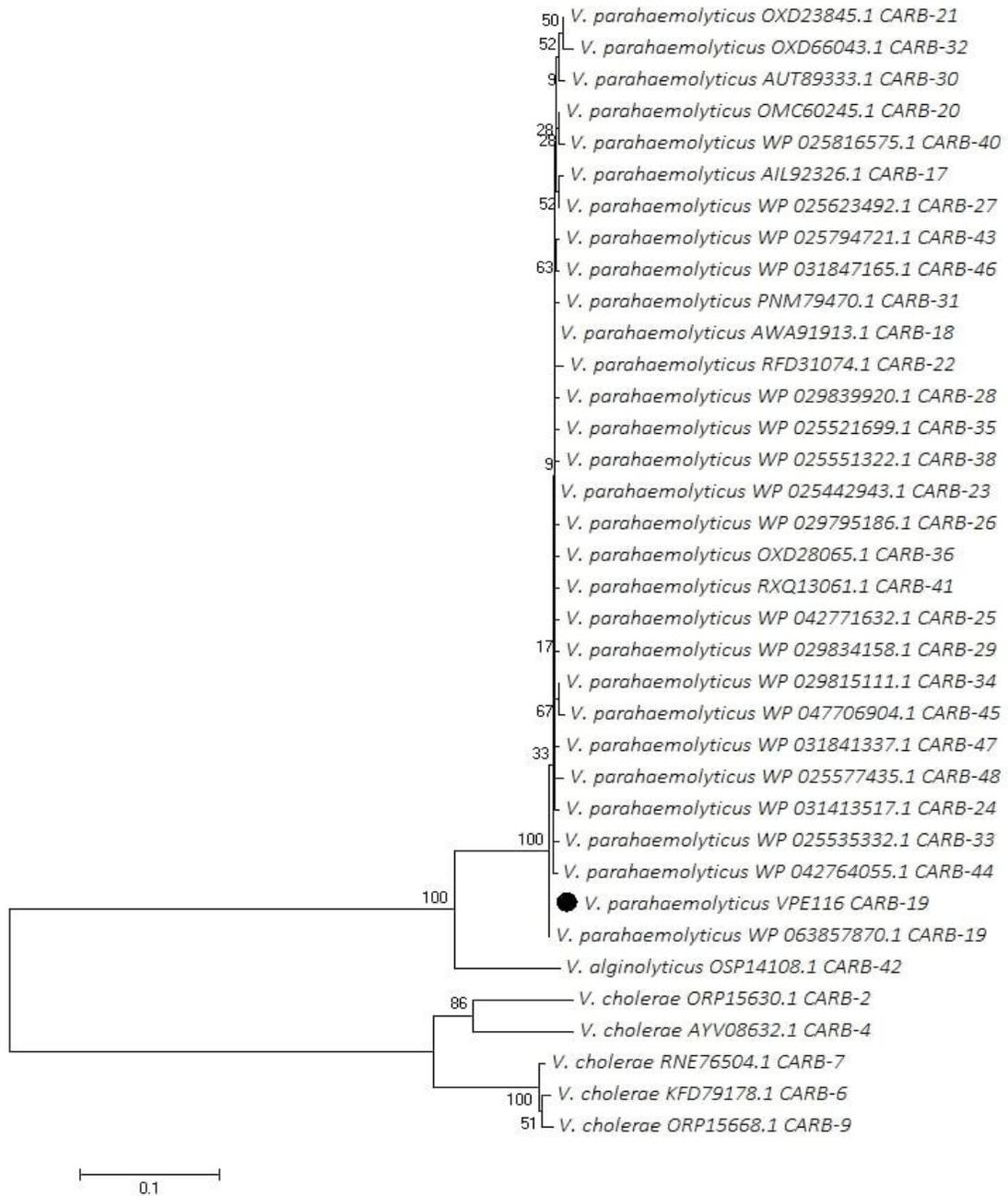


Figure 27. Evolutionary analysis of CARB and its variant found in *Vibrio* spp. genomes. It was inferred using the Neighbor-Joining method. The percentage of replicate trees in which the associated CARB sequences clustered together in the bootstrap test (1000 replicates) are shown next to the branches. The distances were computed using the JTT method and are in the units of the number of amino acid substitutions per site. The analysis involved 36 amino acid sequences and was conducted in MEGA7.

### 10.11 Core-genome alignment

The core-genome alignment of all the *S. algae* genomes under study evidenced an identity of 89% among the 10 strains considered. Of interest is the confirmation of some groups already found with the AmpC protein sequence multiple alignment and with the phylogenetic analysis (Figure 28). In particular, the group comprising 144bCP, 146bCP, 178CP and 353M is maintained suggesting the possibility for 144bCP, 146bCP and 178CP to be the same clone circulating in the inlet water sampled in June 2011 in a single aquaculture center. In addition, the 353M strain sampled in the open sea is confirmed to be more related to 144bCP, 146bCP and 178CP rather than to the other isolates. Another cluster is made of the 219VB, 82CP, 38LV and 60CP strains isolated in three different fish farms. The 82CP strain is more related to the 38LV isolate sampled in the Varano lake, a fish farm located well distant in the Southern Adriatic Sea than to 60CP, a strain obtained in the same aquaculture center or to strain 219VB, sampled in another northern fish farm. Finally, both 83CP and 57CP are separated from the other clusters evidencing more genetic divergence compared to the other strains although sampled in the same center, in the same source, inlet water, and in the same date.

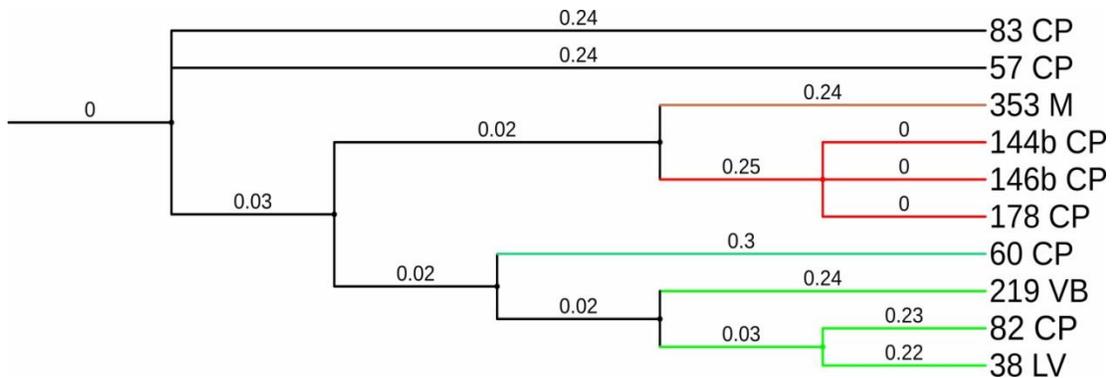


Figure 28. Multiple alignments of the 10 *S. algae* core-genomes using 38LV as reference and Harvest suite v1.1.2. A Maximum Likelihood tree was performed using the NNI model.

### 10.12 AST of the cloned $bla_{AmpC}$ and $bla_{CARB-19}$

The antimicrobial susceptibility testing showed the resistance to amoxicillin and ticarcillin in *V. parahaemolyticus* VPE116 whose susceptibility was restored by the adjunct of a beta-

lactamase inhibitor (Figure 29, left). Conversely, *V. anguillarum* 28AD revealed resistance to more classes of beta-lactams included amoxicillin, ticarcillin, cephalothin, cefoxitin and temocillin. In general, susceptibility to beta-lactams is lower than *V. parahaemolyticus* VPE116, in particular for carbapenems. Moreover, the presence of clavulanic acid did not restore the susceptibility to amoxicillin and ticarcillin (Figure 29, right) as previously seen in *V. parahaemolyticus*.

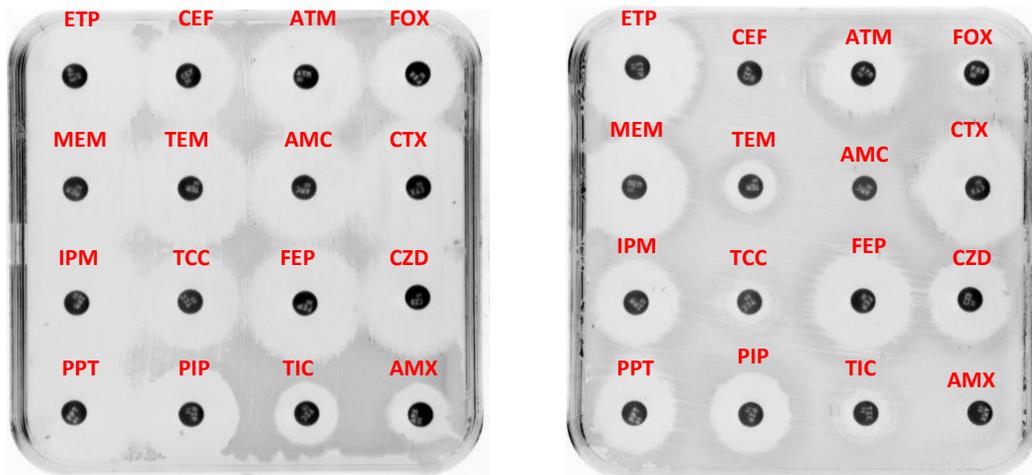


Figure 29. Antibiograms for the beta-lactam panel of *V. parahaemolyticus* VPE116 (left) and *V. anguillarum* 28AD (right).

ETP, ertapenem; CEF, cephalothin; ATM, aztreonam; FOX, cefoxitin; MEM, meropenem; TEM, temocillin; AMC, amoxicillin+clavulanate; CTX, cefotaxime; IPM, imipenem; TCC, ticarcillin+clavulanate; FEP, cefepime; CZD, ceftazidime; PPT, piperacillin+tazobactam; PIP, piperacillin; TIC, ticarcillin; AMX, amoxicillin.

Regarding the clones of *bla*<sub>AmpC</sub> and *bla*<sub>CARB-19</sub> in *E. coli* TOP10, the Kirby-Bauer test has showed different resistance profiles as reported below (Figure 28). Of interest is the complete susceptibility of the whole panel of beta-lactams in *V. anguillarum* 28AD (left) except for cephalothin and amoxicillin. Concerning *V. parahaemolyticus* VPE116 the resistance to amino- and carboxypenicillins is confirmed. In addition, reduced activity of clavulanic acid is reported as well.

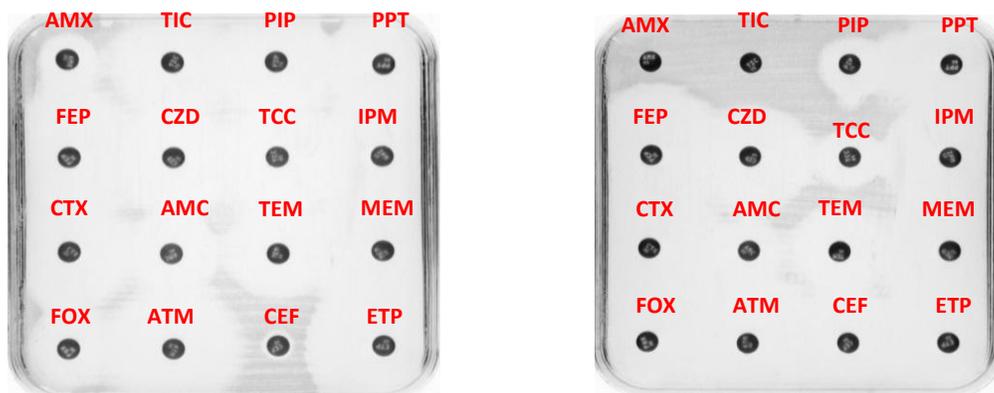


Figure 30. Antibiograms of *E. coli* TOP10 expressing *bla*<sub>AmpC</sub> (left) and *bla*<sub>CARB-19</sub> (right).

ETP, ertapenem; CEF, cephalothin; ATM, aztreonam; FOX, ceftiofur; MEM, meropenem; TEM, temocillin; AMC, amoxicillin+clavulanate; CTX, cefotaxime; IPM, imipenem; TCC, ticarcillin+clavulanate; FEP, cefepime; CZD, ceftazidime; PPT, piperacillin+tazobactam; PIP, piperacillin; TIC, ticarcillin; AMX, amoxicillin.

Furthermore, MIC values for some amino- and carboxypenicillins, ureidopenicillins, cephalosporins (first and third generations) and carbapenems were calculated for *V. parahaemolyticus* VPE116 WT, *V. anguillarum* 28AD WT, the transformed *E. coli* TOP10 and the empty *E. coli* TOP10 as reported in Table 9, below. The results showed that in pCARB-19 resistance to piperacillin is increased in *E. coli* expression system whereas resistance to amoxicillin and ticarcillin is confirmed. Regarding pAmpC, decreasing MIC values were obtained compared to *V. anguillarum* 28AD WT (see Table 9 and Figures 29-30) in particular against amino- and carboxypenicillins and ureidopenicillins whereas resistance to first generation cephalosporin is confirmed also in *E. coli*.

Table 9. MICs for some beta-lactam subclass representatives of *V. parahaemolyticus* VPE116 WT, *V. anguillarum* 28AD WT, pCARB-19 and pAmpC *E. coli* TOP10.

	<b>M.I.C. µg/ml</b>				
	<i>V. parahaemolyticus</i> VPE116 ( <i>bla</i> <sub>CARB-19</sub> )	<i>E. coli</i> TOP10 (pCARB-19)	<i>V. anguillarum</i> 28AD ( <i>bla</i> <sub>AmpC</sub> )	<i>E. coli</i> TOP10 (pAmpC)	<i>E. coli</i> TOP10
Ampicillin	128	>256	128	8	2
Amoxicillin	256	>256	256	16	2
Ticarcillin	128	>256	64	4	2
Piperacillin	16	256	8	2	0.5
Cephalothin	16	16	>256	256	4
Cefotaxime	0.125	0.06	0.25	0.25	0.06
Ceftriaxone	0.25	0.03	1	0.25	0.06
Imipenem	0.125	0.5	4	1	1
Ertapenem	<0.015	<0.015	0.125	0.06	<0.015

## 11. DISCUSSION

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The rise of community-acquired infections caused by antibiotic resistant bacteria has addressed the interest to the presence of antibiotic resistant (AR) genes in natural environments also including seawaters. It has been well documented a dramatic increase of bacterial resistance to beta-lactams, the most used drugs both in human and veterinary medicine (Bush and Bradford, 2016; Poole, 2004). Considering this situation, few data are reported in Italy describing the current prevalence of AR emerging and opportunistic bacteria such as shewanellae and vibrios present in seawaters and causing a number of human infections. Most of them focus on resistance to most common used beta-lactams in veterinary medicine such as penicillins and cephalosporins (Ottaviani *et al.*, 2013) or to other classes of antibiotics such as tetracycline, sulfonamides and trimethoprim (Labella *et al.*, 2013). A recent study on *S. algae* infections in Italy is reported by Torri and colleagues (2018) in which susceptibilities to third-generation cephalosporins and gentamycin were described in addition to a good activity showed by amikacin, carbapenems and piperacillin/tazobactam.

The current study aims at investigate the incidence of carbapenemase- and beta-lactamase-producer strains among those isolated in aquaculture centers located in the coastal areas of the Adriatic Sea in Italy (Labella *et al.*, 2013). For this purpose, NGS technology was considered as it has permitted to decipher the less common beta-lactamases and their genetic surroundings rarely detected in human pathogens but that can have a role in maintaining an ARGs reservoir in the aquatic environment. First of all, *in vitro* tests were used to rapidly detect ESBL and carbapenemase producers within the non-*Enterobacteriaceae* aquatic microflora. The test has revealed able to detect the presence of carbapenem hydrolyzing class D beta-lactamases (CHDLs) in all the *S. algae* strains. Subsequently, these results were confirmed by genome sequencing with all the strains showing an enzyme encoding gene belonging to the OXA-55 group and an AmpC encoding gene. A doubtful result for the BCT test was obtained for *V. anguillarum* 28AD with the impossibility to interpret it. As a carbapenemase-negative control we used *V. parahaemolyticus* VPE116, an environmental strain which showed to be susceptible to imipenem and cefotaxime but resistant to cephalothin.

To better understand the molecular characteristics of class A, C and D beta-lactamases circulating in *S. algae*, *V. parahaemolyticus* and *V. anguillarum* strains isolated in our area, we performed multiple alignments and phylogenetic analysis. This has permitted to compare the results not only at intra and interspecies level within the same genus but also in reference to members of the *Enterobacteriaceae* family.

The multiple alignment of the class D beta-lactamases found in all the analyzed *Shewanella* strains evidenced that although all the protein sequences are located in the OXA-55 cluster, a little divergence is reported within the same cluster indicating the presence of slightly different OXA-55-like enzymes in our area if compared among them and to the reference sequence (AAR03105.1). Their average 95.5% identity to the OXA-55 reference sequence confirms they belong to an OXA-55-like beta-lactamase family as it is evidenced also in the phylogenetic analysis. The analysis confirms the high phylogenetic relatedness to the cluster harboring OXA variants found in other *Shewanella* species including OXA-48 and OXA-48-like. Moreover, we evaluated the divergence rate respect to clinical and environmental *Acinetobacter* spp. OXA variants as it is the bacterial species of medical interest in which carbapenem resistance is mainly due to CHDLs (Evans and Amies, 2014). Of interest is the similarity between the *Shewanella* spp. OXA group and OXA-20, a chromosome encoded CHDL and OXA-21, an OXA-2-like enzyme, detected in *Acinetobacter* spp. respect to other OXA variants found in *Acinetobacter* spp. that is statistically well supported and less related to the other *Acinetobacter* enzymes.

Regarding class C beta-lactamases, AmpC was found in all the *S. algae* and in *V. anguillarum* 28AD strains. AmpC found in the analyzed *S. algae* strains showed different mutation patterns with higher variability and molecular heterogeneity if compared to other AmpC belonging to the *S. algae* and *Shewanella* spp. isolates retrieved in Genbank database. The results obtained has allowed to subdivide the analyzed strains in 4 groups on the basis of their AmpC mutation patterns. Interestingly, 146bCP, 144bCP and 178CP shared the same mutations and are all isolated in the same aquaculture center in the Veneto region. On the contrary, the second group formed by 219VB, 82CP and 38LV were found in different farms also distant each other considering that the LV center is located in the Southern Italy. The third group (57CP and 60CP) includes isolates sampled in the inlet water in the same center and in the same date. The strain 83CP, originating from the outlet water and isolated in the same day, is instead separated from the other strains on the basis of the AmpC sequence. The core-genome multiple alignment analysis and the phylogenetic analysis performed using

AmpC nucleotide sequences confirmed totally the cluster composed by 144bCP, 146bCP, 178CP and 353M evidencing the genetic relatedness of the aquaculture isolates to a clone circulating in the open sea and containing the same mutations. Moreover, the cluster including 219VB, 38LV and 82CP is also related but with a lower DNA similarity level to the 60CP isolate as revealed also for AmpC. Of interest is the similarity of the 38LV strain isolated in the Varano lake forming a cluster with the strains collected in the northern aquaculture centers and suggesting the circulation of *S. algae* clones in the Adriatic Sea carrying the same OXA and AmpC mutations. Furthermore, 83CP and 57CP, isolated in the same fish farm and in the same date are related neither to any cluster nor among them.

Regarding the 28AD isolate, it is more related to WP\_094166136.1, a class C beta-lactamase of *Vibrio* sp. OXX51557.1, although being in the group comprising *V. anguillarum* and *Vibrio* spp. class C beta-lactamases. To conclude, the presence of AmpC and class C beta-lactamases in some of the whole sequence genomes, chromosomes, scaffolds or contigs of *V. anguillarum*, present in Genbank, can suggest a recent acquisition of these enzymes and consequently of the cephalosporin resistance.

Surely, a core-genome multiple alignment can better describe the relatedness rate between the *S. algae* strains isolated in the inlet and outlet water of aquaculture. By the way, it is interesting to find correspondences with some clusters formed taking into account only the AmpC protein or nucleotide sequences, in particular those comprising the open sea strain (353M) and the Varano lake strain (38LV). According to these data, the AmpC mutations reflect the different clones of the *S. algae* found in the inlet and outlet water of aquaculture centers in different sampling dates and sites along the Adriatic coast. Moreover, these results evidenced the molecular heterogeneity present not only at intraspecies level but also between the genera *Shewanella* and *Vibrio* even though they are phylogenetically related to other *Enterobacteriaceae* members.

Cloning of *bla*<sub>AmpC</sub> and *bla*<sub>CARB-19</sub> in *E. coli* TOP10 has been performed to study the behaviour of these genes if transferred in an *Enterobacteriaceae* family member. For pCARB-19, both the antibiograms and MIC values showed the involvement of similar mechanisms of expression resulting in the resistance to all penicillins included piperacillin. Regarding pAmpC, it is possible to appreciate a different expression level of this beta-lactamase in *V. anguillarum* 28AD and in *E. coli* TOP10. In fact, in the *V. anguillarum* 28AD WT strain, resistance to cephalosporins in particular to first generation cephalosporin such as cephalothin, penicillins in general and to carbapenems (imipenem) is showed. Conversely,

once the beta-lactamase is cloned, only resistance to cephalothin is detected, with a significant decrease of resistance to penicillins and carbapenems showing a different expression level in *E. coli* rather than in *V. anguillarum*. Probably, the higher expression level of the chromosomal-encoded AmpC in *V. anguillarum* 28AD is due to the presence of the system AmpD, AmpE, AmpG and AmpR which can exacerbate and regulate the expression of this gene leading to resistance also to other beta-lactams such as penicillins and carbapenems.

In conclusion, *bla*<sub>AmpC</sub> and *bla*<sub>CARB-19</sub> seem to have different expression levels depending on the host microorganism. The results here presented have showed how this difference is more evident in *bla*<sub>AmpC</sub> than in *bla*<sub>CARB-19</sub> indicating a better activity in *V. anguillarum* 28AD, its original host. Conversely, *bla*<sub>CARB-19</sub> has seemed to be more adaptable to other host bacteria genomes belonging to *Enterobacteriaceae* family expressing similar activity if compared to its original host, *V. parahaemolyticus* VPE116.

## 12. CONCLUSIONS

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The class A, C and D beta-lactamases found in the chromosomes of our MDR marine isolates has confirmed their essential role as ARGs reservoir in the Adriatic Sea. Interestingly, the mutation analysis either at protein level or at nucleotide level has evidenced how they were sampling site-related indicating the dissemination of different clones along the Adriatic Sea water. Although most of the drug resistances that have been recovered were intrinsic, it is noticed that their potential transfer in a MGE can facilitate their spread horizontally to the microbiota present in the environment included pathogenic bacterial species.

In this study, the beta-lactamases analyzed were all chromosomal-encoded and their mobilization, in particular of *bla*<sub>AmpC</sub> and *bla*<sub>CARB-19</sub> into a plasmid in an *Enterobacteriaceae* family member has showed how their expression level can vary if transferred to another host cell. The different resistance profiles obtained if compared to the two wild type vibrios has highlighted possible different mechanisms of expression involved, in particular for *bla*<sub>AmpC</sub>.

The contribution of these analyses is essential to understand how the aquatic environment can provide ARGs able to interact and to integrate into the chromosome or MGEs of other pathogenic bacterial species.

Furthermore, the study of their mutation profiles can help us to investigate the mutation rate in these enzymes and if they can evolve in other potential variants that would become later of clinical interest and a public health concern.

To conclude, this is the first report about the specific beta-lactamases involved in the beta-lactam resistance in strains isolated in Italian aquaculture centers. A survey of these enzymes in this field has been essential to monitor the contribution of aquaculture in arising to AMR in veterinary medicine and in the environment.

### 13. CONCLUDING REMARKS AND PERSONAL CONSIDERATIONS

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The results obtained from the present investigation has allowed to decipher the resistome, mobilome and virulome of some emerging pathogens providing new insights facilitated by the use of NGS technology. The detailed analysis of the resistance genes, in particular of enzymes and efflux pumps as well as of mobilomes has been of crucial importance to understand the players that are involved in the maintenance of this ARG reservoir. We also found out that bacteriophages can have a role in the spread of these genes included virulence genes in the species analyzed. Moreover, thanks to NGS technology the genetic background of some beta-lactamases has been investigated evidencing that they are mostly associated to heavy metal resistance genes that can stimulate their expression following the exposure in the aquatic environment.

Although NGS can provide only the presence or the absence of a specific ARG, nothing is reported about its expression, so sometimes genotype and phenotype can differ hypothesizing other mechanisms of regulation or expression involved. Another big issue is the presence of a large number of hypothetical proteins that will remain unsolved until a similar protein member of the same family will be annotated in Genbank. It is, in fact, difficult to discover new resistance genes if the databases used are not well curated. In my opinion, NGS needs to be supported from updated databases that can give more information at least at protein family level in order to hypothesize its function. This is the case of fosfomycin resistance present in all our *S. algae* strains which has been detected phenotypically but not genotypically, so supposing only the presence of the mechanisms involved.

These data, although providing evidence of the presence of ARGs in the Adriatic Sea water and sediment, have noted that most of the genes are responsible for intrinsic resistance in these strains and some of them are also species specific (OXA-55, CARB-19, qnrA3). Aquatic microbiota can be a reservoir of new possible variants or determinants of specific resistance genes but the nosocomial settings are the perfect environments where spreading, acquiring more mutations and more resistance genes is easier in particular due to the direct exposure to antibiotics. To conclude, this thesis is the result of several collaborations

occurred within different departments and laboratories of the University of Verona and University of Fribourg (Switzerland), including bioinformaticians, microbiologists and biochemists in order to have a multidisciplinary approach to the question.

At the end of this PhD project, I can express my personal satisfaction about the results obtained. Furthermore, I had the opportunity to travel a lot, to attend national and international congresses, workshops, meetings, to meet researchers who could help me in discussing and improving my results and to collaborate with researchers of very known research level.

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## 16. ANNEX I

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### Culture Media

#### TCBS

TCBS (Oxoid Ltd, Basingstoke, Hampshire, England) agar is a medium used for the selective isolation and cultivation of vibrios. It allows the identification of *Vibrio* spp., including *V. cholerae*, *V. parahaemolyticus* and *V. vulnificus* from food, animal feeding stuffs and environmental samples in the area of food production and food handling. Sodium citrate serves to maintain an alkaline pH and along with sodium thiosulfate and ox bile are selective agents, inhibiting Gram-positive organisms and suppressing coliforms. Sodium thiosulfate serves also as a sulfur source and, in combination with ferric citrate, detects hydrogen sulfide production. Sodium chloride maintains the osmotic balance of the medium and stimulates vibrios growth. Sucrose is the fermentable carbohydrate whereas bromothymol blue and thymol blue are pH indicators.

#### TSB

TSB (Oxoid Ltd, Basingstoke, Hampshire, England), is a general purpose liquid enrichment medium used for the enrichment and cultivation of aerobic microorganisms that are not excessively fastidious. It supports the growth of a wide variety of microorganisms, especially common aerobic and facultatively anaerobic bacteria. The supplementation with agar forms TSA.

#### ChromID ESBL medium

ChromID<sup>®</sup> ESBL medium (Biomérieux, Marcy l'Étoile, France) is a selective chromogenic medium for the screening of Extended Spectrum  $\beta$ -Lactamase producing enterobacteria in chronic carrier patients or patients at risk. ChromID<sup>®</sup> ESBL agar consists of a rich nutritive base including a variety of peptones. It contains a mixture of antibiotics, including cefpodoxime, enabling the selective growth of ESBL-producing enterobacteria; two chromogenic substrates and one natural substrate enabling the direct identification of the most frequently encountered ESBL-producing enterobacteria.

- *Escherichia coli*: spontaneous coloration (pink to burgundy) of  $\beta$ -glucuronidase-producing strains ( $\beta$ -GUR).

- *Klebsiella*, *Enterobacter*, *Serratia*, *Citrobacter* (KESC): spontaneous green, brownish-green or blue colouration of strains expressing a  $\beta$ -glucosidase ( $\beta$ -GLU).

- *Proteaeae* (*Proteus*, *Providencia*, *Morganella*): spontaneous dark brown to light brown colouration of strains expressing a deaminase.

### *Mueller Hinton Agar*

Mueller-Hinton Agar (Bio-Rad, Cressier, Switzerland) is used for Antimicrobial Susceptibility Testing (AST). It has become the standard medium for the Kirby-Bauer method, and is specified by the Clinical & Laboratory Standards Institute (CLSI) and the European Committee on Antimicrobial Susceptibility Testing (EUCAST). Moreover, it has a few properties that make it excellent for antibiotic use. First of all, it is a nonselective, nondifferential medium. This means that almost all organisms plated on it will grow. Additionally, it contains starch. Starch is known to absorb toxins released from bacteria, so that they cannot interfere with the antibiotics. Second, it is a loose agar. This allows for better diffusion of the antibiotics than most other plates. A better diffusion leads to a truer zone of inhibition.

## 17. ANNEX II

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### *Genomic DNA extraction from bacterial cells (CTAB method)*

- Dissolve bacterial colonies in 1 ml TE (pH 8.0) 1X buffer and centrifuge the cells at 14000 rpm for 2 minutes.
- Discard the supernatant and resuspend the pellet in 567 µl of TE 1X buffer.
- Add 30 µl of SDS 10% and 3 µl of proteinase K (20 mg/ml). Mix and incubate at 37°C for 1 hour.
- Add 100 µl of NaCl 5M and 80 µl of CTAB/NaCl solution. Mix and incubate at 65°C for 10 minutes.
- Add 780 µl of SEVAG (24:1 chloroform: isoamyl alcohol), mix and centrifuge at 14000 rpm for 5 minutes.
- Transfer the supernatant in a new eppendorf and add an equal volume (~ 500 µl) of phenol/chloroform/isoamyl alcohol.
- Centrifuge at 14000 rpm for 5 minutes.
- Transfer the supernatant in a new eppendorf and add 300 µl of isopropanol. Invert the samples twice.
- Incubate the samples in -20°C for 1 hour and 30 minutes.
- Centrifuge at 14000 rpm for 2 minutes and keep the pellet.
- Wash the DNA with 900 µl of ethanol 70% and centrifuge at 14000 rpm for 5 minutes.
- Carefully remove all the supernatant and dry the pellet in a thermal block at 37°C.
- Resuspend the pellet in 100 µl of water or TE buffer.

### PCR product purification and cloning

For the cloning step, PCR products were purified using the Zymo DNA Clean and Concentrator kit (Zymo Research, Irvine, CA, USA) following the manufacturer's instruction.

The protocol is reported below:

1. In a 1.5 ml microcentrifuge tube, add 2-7 volumes of DNA Binding Buffer to each volume of DNA sample. Mix briefly by vortexing. For PCR fragments I used a volume ratio 5:1, DNA Binding Buffer : Sample.
2. Transfer mixture to a provided Zymo-Spin™ Column in a Collection Tube.
3. Centrifuge at 15.000 x g for 30 seconds. Discard the flow-through.
4. Add 200 µl DNA Wash Buffer to the column. Centrifuge for 30 seconds. Repeat the wash step.

Add  $\geq 6$  µl DNA Elution Buffer or water directly to the column matrix and incubate at room temperature for one minute. Transfer the column to a 1.5 ml microcentrifuge tube and centrifuge for 30 seconds to elute the DNA.

1. Add 2 µL of the TOPO® Cloning reaction into a vial of chemically competent *E. coli* TOP10 and mix gently. Do not mix by pipetting up and down.
2. Incubate on ice for 5–30 minutes.
3. Heat-shock the cells for 30 seconds at 42°C without shaking.
4. Immediately transfer the tubes on ice.
5. Add 250 µL of room temperature S.O.B. medium.
6. Cap the tube tightly and incubate at 37°C for 1 hour.
7. Spread 100 µL from each transformation on a prewarmed LB plate containing 30 µg/ml of kanamycin and incubate overnight at 37°C.
8. An efficient TOPO® Cloning reaction will produce several hundred colonies. Pick at least 10 colonies for analysis.