

## BRIEF COMMUNICATION

 **$\alpha$ -Synuclein RT-QuIC assay in cerebrospinal fluid of patients with dementia with Lewy bodies**

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**Abstract**

We applied RT-QuIC assay to detect  $\alpha$ -synuclein aggregates in cerebrospinal fluid (CSF) of patients with suspected Creutzfeldt–Jakob disease who had a neuropathological diagnosis of dementia with Lewy bodies (DLB) ( $n = 7$ ), other neurodegenerative diseases with  $\alpha$ -synuclein mixed pathology ( $n = 20$ ), or without Lewy-related pathology ( $n = 49$ ). The test had a sensitivity of 92.9% and specificity of 95.9% in distinguishing  $\alpha$ -synucleinopathies from non- $\alpha$ -synucleinopathies. When performed in the CSF of patients with DLB ( $n = 36$ ), RT-QuIC was positive in 17/20 with probable DLB, 0/6 with possible DLB, and 0/10 with Alzheimer disease. These results indicate that RT-QuIC for  $\alpha$ -synuclein is an accurate test for DLB diagnosis.

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## Introduction

Dementia with Lewy bodies (DLB) is a progressive dementia characterized by fluctuating cognitive decline, visual hallucinations, parkinsonism, and REM sleep behavior disorder.<sup>1</sup> Although the diagnosis of DLB is supported by SPECT dopamine transporter imaging, meta-iodobenzyl-guanidine myocardial scintigraphy, and polysomnography,<sup>1</sup> the diagnostic accuracy of DLB and other  $\alpha$ -synucleinopathies, including the early diagnosis of Parkinson disease (PD) is still poor and a significant proportion of patients do not receive a correct diagnosis in life.<sup>2,3</sup>

Moreover, because clinical signs in DLB patients often overlap those observed in Alzheimer disease (AD), Creutzfeldt–Jakob disease (CJD), or other rapidly progressive dementias (RPD), neurologists need to consider alternative diagnoses.<sup>4,5</sup> There is a demand for specific and sensitive tests that should be based on the detection of  $\alpha$ -synuclein ( $\alpha$ -syn) aggregates in tissues, such as cerebrospinal fluid (CSF), commonly tested in the differential diagnosis of dementia. Misfolded forms of  $\alpha$ -syn are associated with DLB and other  $\alpha$ -synucleinopathies, that is PD and multisystem atrophy (MSA), similar to the pathological prion protein in CJD and other prion diseases.<sup>6</sup> Although the expectations would be promising, ELISA assays for the detection of  $\alpha$ -syn in the CSF finally resulted poorly specific and sensitive.<sup>7</sup> Recently, the Real-Time Quaking Induced Conversion (RT-QuIC) assay,<sup>8</sup> originally developed for detecting the pathological prion protein in the CSF of patients with CJD, was successfully tailored for the identification of aggregated  $\alpha$ -syn in patients with  $\alpha$ -synucleinopathies, particularly PD patients.<sup>9–12</sup>

The novelty of this study is that we use RT-QuIC assay for  $\alpha$ -syn on CSF samples from patients who were referred as suspect CJD to the Italian CJD surveillance network but with neuropathological diagnosis of DLB or other  $\alpha$ -synucleinopathies with the aim of validating a test for an early diagnosis of DLB in rapidly dementing patients.

## Patients and Methods

### Brain tissues

Brain tissue samples for the development of the RT-QuIC assay for  $\alpha$ -syn included seven cases of  $\alpha$ -synucleinopathies (DLB, parietal and frontal cortices,  $n = 3$ ; PD, substantia

nigra,  $n = 2$ ; MSA, putamen,  $n = 2$ ) and 12 frontal cortex specimens of other neurodegenerative diseases [CJD,  $n = 4$ ; AD,  $n = 2$ ; progressive supranuclear palsy,  $n = 3$ ; corticobasal degeneration,  $n = 1$ ; IgLON5 tauopathy,  $n = 1$ ; frontotemporal lobar degeneration with TDP-43, FTLD-TDP,  $n = 1$ ] obtained from the Dementia Laboratory of the Department of Pathology and Laboratory Medicine, at Indiana University School of Medicine and the Neuropathology laboratory of the University of Verona. For RT-QuIC assay, brain areas were selected based on extent of  $\alpha$ -syn pathology observed in the immunostained brain sections.

### Cerebrospinal fluid samples from neuropathologically confirmed and clinical cases

Cerebrospinal fluid samples were obtained from patients with an initial clinical suspect of CJD who finally underwent autopsy for neuropathological examination ( $n = 77$ ). These included cases of pure DLB ( $n = 7$ ) and MSA ( $n = 1$ ); Lewy body dementia (LBD) and AD mixed pathology (LBD/AD,  $n = 15$ ); LBD with tau mixed pathology (LBD/PART  $n = 2$ ) and CJD with incidental  $\alpha$ -syn pathology (CJD/LBD,  $n = 3$ ). Cerebrospinal fluid samples from other non- $\alpha$ -syn neurodegenerative ( $n = 30$ ) or nondegenerative neurological diseases ( $n = 19$ ) were used as controls (Table 1). Cerebrospinal fluid samples from patients with rapidly progressive cognitive decline mimicking CJD but with final clinical diagnosis of probable ( $n = 20$ ) or possible ( $n = 6$ ) DLB, and probable AD ( $n = 10$ ) were also recruited. Clinical and neuropathological diagnoses were according to established criteria.<sup>1,13–19</sup> The study was conducted according to the revised Declaration of Helsinki and Good Clinical Practice guidelines. Informed consent was obtained from all subjects.

### Expression and purification of recombinant human $\alpha$ -synuclein and prion protein

Recombinant human  $\alpha$ -syn and hamster prion protein were expressed in *E. coli* and purified as previously reported.<sup>20,21</sup>

### Alpha-synuclein RT-QuIC analysis in brain and CSF samples

RT-QuIC analyses of brain homogenates and CSF samples were performed as described.<sup>10</sup> One microliter of serially

**Table 1.**  $\alpha$ -Synuclein RT-QuIC assay in cerebrospinal fluid of patients with suspected CJD.

| Neuropathologically verified cases ( <i>n</i> = 77)                         |  |                                   |
|---|--|-----------------------------------|
| Definite Diagnosis ( <i>n</i> )   | Clinical diagnosis of Probable DLB   | $\alpha$ -syn RT-QuIC results +/- |
| Pure $\alpha$ -synucleinopathies (8)  |  |                                   |
| DLB (7)   | 6/7  | 7/0                               |
| MSA-C (1)   | 1/1  | 1/0                               |
| Other neurodegenerative diseases with $\alpha$ -synuclein co-pathology (20) |  |                                   |
| LBD/AD (15)   | 1/15   | 14/1                              |
| LBD/PART (2)  | 1/2  | 2/0                               |
| CJD/LBD (3)   | 0/3  | 2/1                               |
| Non- $\alpha$ -synucleinopathies (49)                                       |  |                                   |
| Sporadic CJD (19)   | 0/19   | 0/19                              |
| Other neurodegenerative diseases (11) <sup>1</sup>                          |  |                                   |
| Other neurological diseases (19) <sup>2</sup>                               | 0/19   | 1/18                              |
| $\alpha$ -Synucleinopathies vs. non- $\alpha$ -Synucleinopathies            | Sensitivity (95% CI) = 92.9% (76.5–99.1)<br>Specificity (95% CI) = 95.9% (86.0–99.5) |                                   |

Clinical cases (*n* = 36)

| Final clinical diagnosis                    | <i>n</i>  | $\alpha$ -syn RT-QuIC results +/- |
|---|---|-----------------------------------|
| Probable DLB                                | 20  | 17/3                              |
| Possible DLB                                | 6   | 0/6                               |
| Probable AD                                 | 10  | 0/10                              |
| Probable DLB vs Possible DLB + Probable AD  | Sensitivity (95% CI) = 85.0% (62.1–96.8) Specificity (95% CI) = 100% (79.4–100) |                                   |
| Probable DLB + Possible DLB vs. Probable AD | Sensitivity (95% CI) = 65.4% (44.3–82.8) Specificity (95% CI) = 100% (69.2–100) |                                   |

RT-QuIC, Real-Time Quaking induced Conversion; DLB, Dementia with Lewy bodies; MSA-C, Multiple system atrophy, cerebellar dysfunction subtype; LBD, Lewy body dementia; AD, Alzheimer disease; PART, primary age-related tauopathy; CJD, Creutzfeldt–Jakob disease; CI, Confidence interval.

<sup>1</sup>AD (*n* = 6); FTLT-DTP 43 (*n* = 1); PSP (*n* = 2); CBD (*n* = 1); PART (*n* = 1).

<sup>2</sup>VD (*n* = 4); encephalitis (*n* = 7); autoimmune encephalitis (*n* = 2); brain tumor (*n* = 2); pontine myelinolysis (*n* = 1); Wernicke encephalopathy (*n* = 1); anoxic encephalopathy (*n* = 2).

diluted brain homogenate or 15  $\mu$ L of CSF were plated and sealed with a plate sealer film (Nalgene Nunc International) and then incubated at 30°C in a BMG FLUOstar Omega plate reader with cycles of 1 min shaking (200 rpm double orbital) and 14 min rest. ThioflavinT (ThT) fluorescence measurements were taken every 45 min. Four replicate reactions were tested for each sample. The test was considered positive when the reaction occurred in two of four wells. A positive response was

defined as a relative fluorescence unit (rfu) value of >3 SD above the mean value of all samples between 20 and 30 h. For sensitivity determinations, cutoff time was assessed at 75 h. The final fluorescence value was the mean fluorescence value at 75 h. The lag-phase was the time for a sample to reach 165,000 rfu.

### Statistical analysis

Statistical comparisons of mean relative ThT fluorescence responses in CSF samples from patients with pure DLB or with other  $\alpha$ -synucleinopathies and between groups with  $\alpha$ -syn co-pathologies were performed with the *t*-test. Sensitivity, specificity, and their relative 95% confidence intervals (C.I.) of the  $\alpha$ -syn RT-QuIC were calculated.

### Prion RT-QuIC analysis in CSF samples

RT-QuIC assay was performed using the improved conditions (IQ-QuIC) as described.<sup>20</sup>

## Results

### $\alpha$ -Syn RT-QuIC analysis of brain tissues from $\alpha$ -synucleinopathies

Brain samples from DLB, PD, and MSA cases had positive  $\alpha$ -syn RT-QuIC reactions as early as 20 h in 10<sup>-4</sup> brain dilutions and within 35 h in increasing brain dilutions up to 10<sup>-8</sup>.  $\alpha$ -Syn RT-QuIC seeding reactions were still positive at 10<sup>-8</sup> DLB brain dilutions while the end point dilution in PD and MSA samples was 10<sup>-5</sup>. Brain homogenates from other neurodegenerative diseases remained negative after 75 h of reaction (Figure S1) even at the 10<sup>-2</sup> dilution.

### $\alpha$ -Syn RT-QuIC assay of CSF samples from neuropathologically confirmed cases

The sensitivity and specificity of  $\alpha$ -syn RT-QuIC assay were assessed in blinded CSF samples obtained from 77 patients (Table 1). Twenty-six of 28 CSF samples from definite  $\alpha$ -synucleinopathies were positive by  $\alpha$ -syn RT-QuIC. Interestingly, 20 samples were from cases with  $\alpha$ -syn concurrent pathologies, including AD and CJD cases (Table 1). Positive reactions in serially diluted CSF samples of DLB cases were obtained in as little as 0.3  $\mu$ L of CSF (Figure S2) and seeding reactivity was observed as early as 40 h with a plateau at 75 h (Fig. 1A). The initial increase of RT-QuIC curves observed up to 10 h was caused by unbound ThT fluorescence (Fig. 1A).

Samples from pure  $\alpha$ -synucleinopathies and  $\alpha$ -syn concurrent pathologies had an average final ThT fluorescence (*P* = 0.809) and lag-time phase (*P* = 0.269) not

statistically different (Fig. 1A and Table 1). Moreover, we did not observe any significant differences between groups with different  $\alpha$ -syn co-pathologies (Fig. 1B and Table 1). As shown in Table 1, only 9 of 28 patients with definite  $\alpha$ -synucleinopathy had a clinical diagnosis of probable DLB or MSA with the majority of them in the group of pure  $\alpha$ -synucleinopathy. However, in one patient, who was clinically diagnosed as probable DLB (confirmed LBD/AD),  $\alpha$ -syn RT-QuIC was negative in the CSF. Only two of 49 CSF samples from patients with non- $\alpha$ -synucleinopathies were borderline positive for  $\alpha$ -syn RT-QuIC assay within 75 h of reaction. The false-positive sample in the group “other neurodegenerative diseases” belonged to a patient with AD, whereas that in the group “other neurological diseases” belonged to a patient with encephalitis. (Table 1). These data indicate that the  $\alpha$ -syn RT-QuIC assay is both highly specific (2/49, 95.9%) and sensitive (26/28, 92.9%). Finally, all CJD CSF samples were positive to the prion IQ-QuIC assay while all other samples were negative (data not shown).

### RT-QuIC testing of CSF in patients showing initial clinical features resembling DLB or CJD

Cerebrospinal fluid samples were obtained from 36 patients with rapidly progressive cognitive decline, visual disturbances, and extrapyramidal signs. The RT-QuIC assay for prions in the CSF was negative (IQ-QuIC) and brain MRI did not show the characteristic lesions of hyper-intense signals in the cortical ribbon or in the basal ganglia in FLAIR or DWI sequences (data not shown). Cerebrospinal fluid was tested by the  $\alpha$ -syn RT-QuIC assay and 17 samples were positive (Fig. 1C). Patients with positive  $\alpha$ -syn RT-QuIC assay had a final diagnosis in life (without considering the results of the  $\alpha$ -syn RT-QuIC assay) of probable DLB. Three patients with final clinical diagnosis of probable DLB and all patients with a final clinical diagnosis of possible DLB ( $n = 6$ ) or probable AD ( $n = 10$ ) were  $\alpha$ -syn RT-QuIC negative in the CSF (Table 2). The sensitivity of the test ranged between 85 and 65% and specificity between 65 and 100% depending on the exclusion or inclusion of possible DLB cases as affected by  $\alpha$ -synucleinopathies (Table 2).

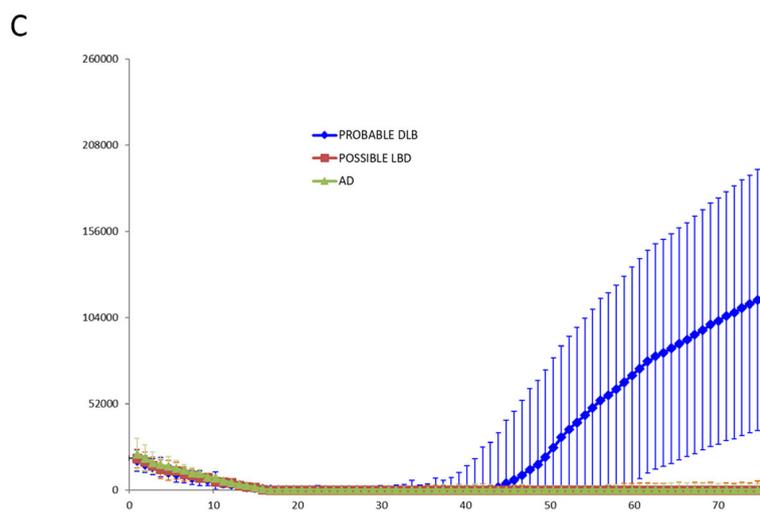
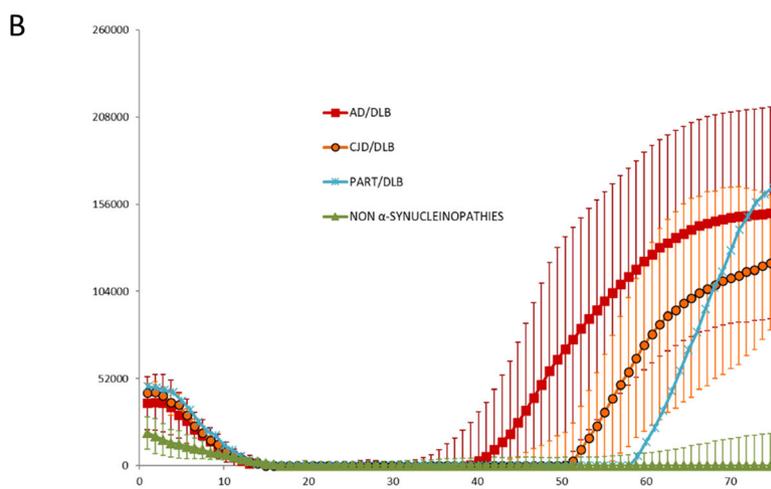
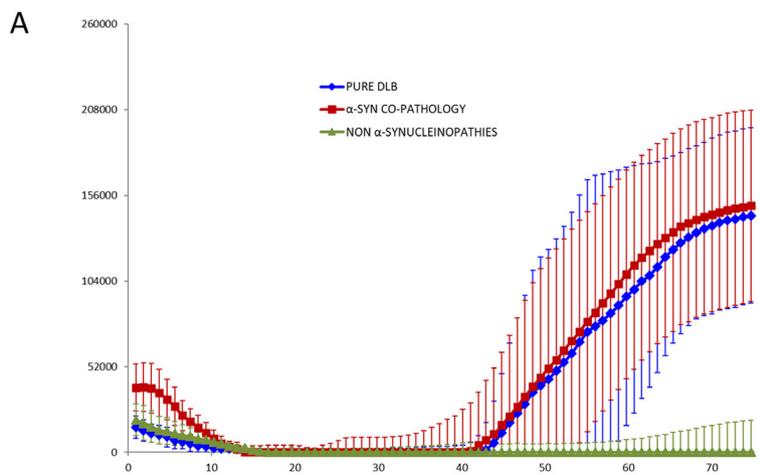
## Discussion

This study shows that the  $\alpha$ -syn RT-QuIC assay performed on small amounts of CSF samples is a valuable tool for confirming the diagnosis of DLB and for the identification of other neurodegenerative diseases with  $\alpha$ -syn mixed pathology.<sup>22</sup> These and previously published data<sup>9</sup> indicate that RT-QuIC is not influenced by the concomitant presence of other protein aggregates confirming the high specificity of the assay. RT-QuIC assays performed on CSF or other easily obtainable relevant tissues, such as the olfactory mucosa,<sup>23</sup> are therefore a powerful technology for distinguishing different diseases presenting with rapidly evolving cognitive disturbances. Making a correct early diagnosis would improve the prognostic evaluation of patients with dementia.<sup>22</sup> Moreover,  $\alpha$ -syn RT-QuIC assay would be useful for recruiting patients for clinical trials with disease-specific target drugs<sup>24</sup> considering that the co-occurrence of multiple pathologies might interfere with the efficacy of treatments and a correct molecular diagnosis is likely to enhance the design and possibly the outcome of future trials.<sup>25</sup>

In the clinical contest, the  $\alpha$ -syn RT-QuIC assay was positive only in CSF samples from patients with final clinical diagnosis of probable DLB whereas all samples from possible DLB or AD patients were negative confirming the high specificity of the test. The three probable DLB with negative  $\alpha$ -syn RT-QuIC in the CSF deserves a precautionary interpretation because it might either show the failure of the test to recognize 15% of DLB patients or the inaccuracy of the diagnostic criteria of probable DLB as recently reported.<sup>2,26</sup> Follow-up studies on a larger group of patients with DLB or other  $\alpha$ -synucleinopathies who are finally neuropathologically confirmed are needed, but our results and those of previous studies<sup>9–12</sup> suggest that the  $\alpha$ -syn RT-QuIC assay is a much more reliable assay for the detection of  $\alpha$ -syn aggregates in the CSF than conventional ELISA tests.<sup>27</sup> It is of note that the negative LBD/AD sample (Table 1) resulted positive when re-tested after breaking the blinded codes while the two false-positive samples in the non- $\alpha$ -synucleinopathies group resulted negative. Although there are still weaknesses that need to be implemented as shown by

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**Figure 1.** Results of  $\alpha$ -synuclein Real-Time Quaking-Induced Conversion (RT-QuIC) assay of CSF samples from neuropathologically confirmed cases (A),  $\alpha$ -syn co-pathology (B) and clinical cases (C). Traces represent the average percentage of Thioflavin T (ThT) fluorescence from four replicate reactions (normalized as described in the Methods section) with the means (thick lines) of those average and SDs (thin lines) shown as a function of RT-QuIC reaction time; (Panel A) Curves representative of  $\alpha$ -syn RT-QuIC from seven patients with pure DLB (blue trace) and 20 patients with  $\alpha$ -syn co-pathology (LBD/AD; LBD/PART, and CJD/LBD) (burgundy trace), and from 49 control with other neurodegenerative and neurological diseases (green trace); (Panel B) Curves representative of RT-QuIC results in different groups with  $\alpha$ -syn co-pathology; (Panel C) Curve representative of CSF samples positive to  $\alpha$ -syn RT-QuIC from 17 patients with clinical diagnosis of probable DLB (blue trace) and 19 negative samples (green trace), which include three patients with probable DLB, six with possible DLB and 10 with AD.



**Table 2.** Positive  $\alpha$ -syn RT-QuIC assay, lag-phase, and final fluorescence values in CSF from subjects with pure  $\alpha$ -synucleinopathies (Pure DLB, MSA-C), other neurodegenerative diseases with  $\alpha$ -synuclein co-pathology (LBD/AD, CJD/LBD, LBD/PART), non- $\alpha$ -synucleinopathies, and patients with clinical diagnosis of probable DLB.

| Positive RT-QuIC in each subject group (n/total)     | Lag-phase in positive RT-QuIC (h) | Final fluorescence in positive RT-QuIC (rfu) |
|--|-----------------------------------|--|
| Pure DLB (7/7) <sup>1</sup>                          | 57 $\pm$ 10.6                     | 235,665 $\pm$ 31,985                         |
| LBD/AD (14/15) <sup>1</sup>                          | 56 $\pm$ 7.2                      | 219,985 $\pm$ 36,831                         |
| CJD/LBD (2/3)  | 59; 61                            | 203,233; 205,196                             |
| LBD/PART (2/2)                                       | 62; 65                            | 235,715; 251,844                             |
| MSA-C (1/1)  | 46                                | 238,320                                      |
| Non- $\alpha$ -synucleinopathies (2/49) <sup>1</sup> | 57; 42                            | 203,945; 202,690                             |
| Probable DLB (17/20) <sup>1</sup>                    | 56 $\pm$ 10.1                     | 236,045 $\pm$ 31,629                         |

rfu, relative fluorescence units

<sup>1</sup>reported values of lag-phase and rfu are referred to mean  $\pm$  standard deviations. Statistical analysis: Lag-phase:  $P = 0.066$  (Pure DLB vs. LBD/AD);  $P = 0.992$  (Pure DLB vs. Probable DLB). Final fluorescence value:  $P = 0.858$  (Pure DLB vs. LBD/AD);  $P = 0.823$  (Pure DLB vs. Probable DLB).

the initially wrong evaluation of the three samples in the blinded run, we strongly suggest to introduce  $\alpha$ -syn RT-QuIC test in the diagnostic criteria of DLB and other  $\alpha$ -synucleinopathies. More importantly, this test should be also routinely performed in patients with clinical signs of progressive dementia for the prompt identification of  $\alpha$ -syn co-pathologies.

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## Author Contributions

M.B., A.L., M.P., and G.Z. contributed to the conception and design of the study; M.B., D.P., M.F., and A.P. performed RT-QuIC experiment and contributed to acquisition and analysis of the data; S.K., S.B., A.C., T.C., F.J., M.T., B.G., S.M., G.B.K., and P.P. provided brain tissues and CSF samples from clinical definite cases; S.C. and G.L. provided recombinant  $\alpha$ -syn; all the authors contributed to drafting the text.

## Conflict of Interest

All authors declare no conflict of interests.

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## Supporting Information

Additional supporting information may be found online in the Supporting Information section at the end of the article.

**Figure S1.**  $\alpha$ -Syn RT-QuIC end-point dilution analysis of BH samples from DLB (Panel a), MSA-C (Panel B) and PD (Panel C) patients.

**Figure S2.**  $\alpha$ -Syn RT-QuIC end-point dilution analysis of CSF samples from patients with pure DLB.