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#### **ABOUT COVER**

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**Clinical Trials Study** 

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ORIGINAL ARTICLE

### Short arm cast is as effective as long arm cast in maintaining distal radius fracture reduction: Results of the SLA-VER noninferiority trial

Giovanni Dib, Tommaso Maluta, Matteo Cengarle, Alice Bernasconi, Giulia Marconato, Massimo Corain, Bruno Magnan

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#### Abstract

#### BACKGROUND

Distal radius fractures (DRFs) are a common challenge in orthopaedic trauma care, yet for those fractures that are treated nonoperatively, strong evidence to guide cast treatment is still lacking.

#### AIM

To compare the efficacy of below elbow cast (BEC) and above elbow cast (AEC) in maintaining reduction of manipulated DRFs.

#### **METHODS**

We conducted a prospective, monocentric, randomized, parallel-group, open label, blinded, noninferiority trial comparing the efficacy of BEC and AEC in the nonoperative treatment of DRFs. Two hundred and eighty patients > 18 years of age diagnosed with DRFs were successfully randomized and included for analysis over a 3-year period. Noninferiority thresholds were defined as a 2 mm difference for radial length (RL), a 3° difference for radial inclination (RI), and volar tilt (VT). The trial is registered at Clinicaltrials.gov (NCT03468023).

#### **RESULTS**

One hundred and forty-three patients were treated with BEC, and 137 were treated with AEC. The mean time of immobilization was 33 d. The mean loss of RL, RI, and VT was 1.59 mm, 2.83°, and 4.11° for BEC and 1.63 mm, 2.54°, and



3.52° for AEC, respectively. The end treatment differences between BEC and AEC in RL, RI, and VT loss were respectively 0.04 mm (95%CI: -0.36-0.44), -0.29° (95%CI: -1.03-0.45), and 0.59° (95%CI: -1.39-2.57), and they were all below the prefixed noninferiority thresholds. The rate of loss of reduction was similar.

#### CONCLUSION

BEC performs as well as AEC in maintaining the reduction of a manipulated DRF. Being more comfortable to patients, BEC may be preferable for nonoperative treatment of DRFs.

**Key Words:** Distal radius fracture; Immobilization; Below elbow cast; Above elbow cast; Short arm cast; Long arm cast

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**Core Tip:** Currently, there is no general agreement on how best to immobilize a distal radius fracture (DRF) although classic teaching was that immobilization of the elbow would ensure better control of fracture instability. This has been recently challenged by a number of new randomized controlled trials (RCTs) but no one was designed as a non-inferiority RCT, which is the most appropriate way to evaluate the hypothesis that blocking the elbow is unnecessary. We devised a large population noninferiority RCT to give statistical evidence that short arm cast is as effective as long arm cast to treat DRFs using predetermined noninferiority thresholds.

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#### INTRODUCTION

Distal radius fractures (DRFs) are a common clinical challenge in orthopaedic trauma care. Traditionally, it was thought that immobilization including the elbow would ensure better control of fracture instability, prevent loss of reduction, and result in better clinical outcomes. However, long arm casts are cumbersome and treatment with lighter short arm casts is generally considered a more comfortable option for patients. Currently, there is no general agreement on how best to immobilize a DRF. Various methods have been described, but no one approach has been identified as being more effective than another [1-4]. According to the latest clinical practice guidelines from the American Academy of Orthopaedic Surgeons, released in 2009, the evidence available for and against elbow immobilization in patients treated with a cast is "inconclusive" and the choice between them is down to the clinician's judgment[5]. The hypothesis that short arm casts might perform as well as long arm casts in maintaining the reduction of DRFs has been tested in a number of previous studies. These superiority randomized controlled trials (RCTs) have not found a significant difference in outcome and risk of loss of reduction between below cast (BEC) and above elbow cast (AEC)[6-11]. However, the absence of any significant difference in these studies does not necessarily indicate equivalence[12]. To compare the efficacy and tolerability of these two treatment approaches, we designed a noninferiority randomized trial using predefined minimal clinically important difference thresholds.

In this paper, the terms short arm cast and BEC or long arm cast and AEC are used interchangeably.

#### MATERIALS AND METHODS

#### Design

The SLA-VER trial is a prospective, monocentric, randomized, parallel-group, open label, blinded, noninferiority trial (PROBE design), comparing the efficacy of BEC and AEC in maintaining reduction of manipulated DRFs. This study was approved by the local institutional review board (CE\1165CESC), conducted in accordance with the Declaration of Helsinki, and registered on ClinicalTrials.org (NCT03468023). All patients enrolled gave written informed consent.

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#### Outcomes

The primary outcome was fracture reduction maintenance, measured as variation in radial length (RL), radial inclination (RI), and volar tilt (VT). The secondary outcomes included disability of arm, shoulder and hand (DASH) scores and short form 12 (SF-12) scores as measures of cast tolerability.

#### Population

All patients admitted to the emergency room with a diagnosis of DRF were enrolled according to the following inclusion criteria: Age ≥ 18 years; candidates for nonoperative treatment; displaced fracture requiring manipulation. The exclusion criteria were: Skeletally immature patients (less than 18); undisplaced fracture; fracture requiring surgical treatment; open fracture; hand/wrist/forehand skin lesion on fractured limb; vascular or neurological deficit; bilateral fracture; association with homolateral upper limb fracture. Patients with any medical comorbidity were included, but pregnant patients or patients requiring urgent or life-saving procedures were excluded. Patients were excluded from the study (i.e. dropouts) if reduction could not be achieved after two attempts (after which surgical treatment was offered), the cast was damaged or removed during treatment, or consent was withdrawn [13].

#### Procedures

Randomization was carried out by a statistician with no involvement in the clinical care of patients. Software random allocation in blocks of 4 resulted in 353 sequentially numbered opaque sealed envelopes. When a patient was eligible for enrollment, an envelope was opened to assign the participant to a treatment group. Closed manipulation was performed under hematoma block, and the forearm was immobilized in an opposite-to-dislocation position. The arm cast was a radial gutter made of plaster of Paris (POP) that was left open on the volar side to allow for swelling and then circumferentially closed 5-7 d later by applying an extra layer of POP (Figure 1). BEC patients were treated with a BEC extending from the metacarpal heads to 2-4 cm from the elbow crease. AEC patients were treated with an AEC extending from the metacarpal heads to the middle third of the arm. Posteroanterior (PA) and lateral view X-rays were taken pre and post manipulation and at 7 and 35 d. The radial gutter was closed at the first office visit and removed at the final visit. If closed manipulation failed to achieve satisfactory reduction, patients were offered surgical treatment and excluded from the study. If reduction was lost at 7 d, patients were offered surgical treatment. These patients were still considered for analysis as subjects who did not maintain satisfactory reduction at the final follow-up. Radiographic parameters were determined at each X-ray examination. RL was measured on the PA view as the distance between two lines drawn perpendicularly to the radial shaft long axis: one at the tip of the radial styloid and one at the ulnar border of the radius articular surface at the central reference point, which is a point midway between the volar and dorsal ulnar corners to eliminate variation caused by dorsal angulation as described by Slutsky<sup>[14]</sup>. RI was measured on the PA view by determining the angle between a line passing through the tip of the radial styloid and the medial corner of the articular surface of the radius and a line perpendicular to the shaft of the radius. VT was measured on the lateral view by the angle between the line of the distal articular surface (passing through the two most distal points of the dorsal and volar lips of the radius) and the longitudinal axis of the radius[14,15]. Fracture stability was assessed according to Lafontaine (dorsal angulation > 20°, dorsal comminution, articular involvement, associated ulnar fracture, and age > 60 years): If three or more of these criteria were present, the fracture was defined unstable<sup>[16]</sup>. The casting technique was assessed by means of cast index and three-point index [17,18]. Reduction was considered to be maintained when the following criteria, described by Graham, were met [13]: Loss of radial length < 5 mm, radial inclination  $\ge 15^{\circ}$ , and volar tilt between  $+15^{\circ}$ and -20°. Given the variability of the criteria used to assess acceptability of reduction, we decided to further test the dataset against three other sets of criteria (combinations of different thresholds of RL, RI, and VT). All measurements were performed by three investigators, none of whom were involved in patient recruitment and all of whom were blinded to patient group assignment. Patients were stratified by age, sex, presence of osteoporosis (indirectly assessed by osteoporosis-specific drug consumption), fracture type (according to AO classification), and fracture stability (according to Lafontaine's criteria) [19]. At the final follow-up visit, patients were asked to complete DASH and SF-12 questionnaires and elbow range of movement (ROM) after cast removal was also recorded [20,21]. Protocol details have been published previously[22] and are available at https://clinicaltrials.gov/ct2/show/NCT03468023.

#### Statistical analysis

For the study to have 80% power to show a difference between the treatments with a two-sided type 1 error rate of 5%, we calculated that approximately 150 patients would be required for each group using a 2 mm difference in RL and a 3° difference in RI and VT as noninferiority thresholds. These estimates of minimal clinically important differences were based on previous reports of interobserver variability of up to 3° in radiographic parameter measurement and considerable deterioration of clinical outcome when shortening of RL was > 5 mm[15,23,24]. We included 53 additional patients to make up for a predicted 15% dropout rate. Since our aim was to identify the real treatment efficacy under optimal conditions, we conducted a per-protocol analysis. In noninferiority trials, both intention-to-treat and



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Figure 1 Above elbow cast (long arm cast) on the left side and below-elbow cast (short arm cast) on the right side. A and C: Long arm cast; B and D: Short arm cast.

> per-protocol analyses are recommended[25]. In this trial, we did not include dropouts in the final analysis, since doing so would have introduced a confounding effect of surgery. We did not use baseline differences to decide whether and which covariates should be used to adjust treatment effect because we assumed that, in RCTs, any baseline difference between the two groups is attributable to chance and thus negligible<sup>[26]</sup>. The 95% CI was calculated for continuous variables following a normal distribution. Noninferiority t-tests were used to compare radiological parameters, and chi-squared tests were used to compare percentages of loss of reduction between the two groups. DASH and SF-12 scores between the BEC and AEC groups were compared using superiority t-tests. All variables included in the analysis were complete, with no missing data. Analyses were performed using SAS 9.4.

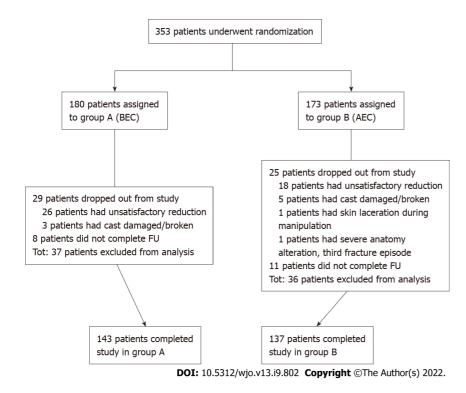
#### RESULTS

Between March 2017 and February 2020, 353 eligible patients were enrolled in the trial. Of these, 180 patients were randomly assigned to treatment group A (BEC) and 173 were randomly assigned to treatment group B (AEC). In group A, 29 patients dropped out of the study, and 8 did not complete the follow-up. In group B, 25 patients dropped out from the study, and 11 did not complete the follow-up (Figure 2). Dropouts (and dropout reasons) were similar between the groups. A total of 280 patients (143 in group A and 137 in group B) completed the study and were included in the analysis. The study groups were similar with respect to age, sex, osteoporosis, type of fracture (AO classification), and stability of fracture, as shown in Table 1. Cast index and three-point index were homogeneous between the groups ( $\chi^2 = 1.72$ , P = 0.19 and  $\chi^2 = 0.06$ , P = 0.79, respectively). Randomization resulted in two wellbalanced study groups. The mean time of immobilization was 33 d (95% CI: 31.88-34.10) for BEC patients and 32.6 d (95%CI: 31.5-33.63) for AEC patients. Nine patients treated with BEC and ten treated with AEC lost reduction at 7 d. Seven were treated surgically, and two continued nonoperative treatment in the BEC group; seven were treated surgically, and three continued nonoperative treatment in the AEC group. Upon removal of cast at the final follow-up, the mean loss of RL was -1.59 mm for BEC vs -1.63 mm for AEC (between-group difference: 0.04 mm; 95%CI: -0.36-0.44); the mean loss of RI was -2.83° in BEC vs -2.54° in AEC (between-group difference: -0.29°; 95% CI: -1.03-0.45); the mean loss of VT was 4.11° in BEC vs 3.52° in AEC (between-group difference: 0.59°; 95%CI: -1.39-2.57). Differences in loss of RL, RI, and VT during treatment between the groups reached statistical significance when tested for noninferiority (P < 0.0001 for RL, P < 0.0001 for RI, and P = 0.0087 for VT), and all differences were below the prefixed thresholds outlined above. Differences between the final and baseline radiographic parameters are reported in Table 2. According to Graham's criteria, 99 (69%) out of 143 patients treated with BEC maintained satisfactory reduction as opposed to 106 (77%) out of 137 patients treated with AEC. This difference was not significant (P = 0.12; Table 3). Considering that the percentage of fractures labelled as "maintained" varies according to the criteria of acceptability of reduction used, we tested a further three sets of criteria as described above. In all cases, no statistically significant difference was



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Table 1 Baseline patient demographics				
Characteristic	Group A (below-elbow cast)	Group B (above-elbow cast)	<i>t</i> -test ( <i>t</i> ) or Chi-squared test (χ <sup>2</sup> )	P value
Age (yr), mean ± SD	70.2 ± 13.7	$69.5 \pm 15.4$	<i>t</i> = 0.42	P = 0.68
Sex, n (%)			$\chi^2 = 0.02$	P = 0.89
Male	19 (13)	19 (14)		
Female	124 (87)	118 (86)		
Osteoporosis, n (%)			$\chi^2 = 1.53$	P = 0.46
Yes	44 (31)	78 (57)		
No	84 (59)	38 (28)		
Missing	15 (10)	21 (15)		
Type of fracture (AO classification), <i>n</i> (%)			$\chi^2 = 0.20$	<i>P</i> = 0.90
Type A	48 (34)	43 (31)		
Туре В	17 (12)	18 (13)		
Type C	78 (55)	76 (55)		
Stability of fracture (Lafontaine), $n$ (%)			$\chi^2 = 0.12$	P = 0.73
Stable	68 (48)	68 (50)		
Unstable	75 (52)	69 (50)		





observed (66% maintained in BEC vs 74% in AEC for type 2, 61% maintained in BEC vs 62% in AEC for type 3, and 62% maintained in BEC vs 61% in AEC for type 4; Table 3). DASH score, SF-12 [physical component summary (PCS) and mental component summary (MCS)] scores, and elbow ROM were collected for 122 out of 280 patients: 55 (38%) patients in group A and 67 (49%) patients in group B. DASH score for BEC patients was 59 (95%CI: 53.8-64.2) and 59.9 (95%CI: 55.6-64.3) for AEC patients; the mean PCS and MCS scores were 34.9 (95%CI: 32.9-36.9) and 43.6 (95%CI: 40.5-46.8), respectively, for BEC patients and 36.6 (95% CI: 34.9-38.2) and 41.8 (95% CI: 39.1-44.5) for AEC patients. No difference was

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Table 2 Radiographic parameter comparison between below-elbow cast and above-elbow cast at baseline (post reduction) and at final control				n) and at final	
Parameter	Group A (BEC), mean (95%Cl)	Group B (AEC), mean (95%CI)	t-test (t) comparing groups	P value	
Baseline (post red	uction)				
RL	11.31 mm (11.03; 11.60)	11.35 mm (11.05; 11.64)	<i>t</i> = -0.17	P = 0.86	
RI	20.90° (20.41; 21.39)	21.08° (20.58; 21.59)	t = -0.50	P = 0.62	
VT	-8.06° (-9.11; -7.01)	-6.55° (-7.56; -5.55)	t = -2.05	P = 0.04	
Final control (35 c	1)				
RL	9.73 mm (9.33; 10.12)	9.72 mm (9.35; 10.09)	t = 0.02	P = 0.99	
RI	18.07° (17.42; 18.72)	18.54° (17.88; 19.19)	<i>t</i> = -1.01	P = 0.31	
VT	-3.95° (-5.61; -2.29)	-3.03° (-4,35; -1,71)	<i>t</i> = -0.86	P = 0.39	
$\triangle$ final control-b	△ final control-baseline				
Parameter					
RL	-1.59 mm (-1.88; -1.29)	-1.63 mm (-1.89; -1.36)	<i>t</i> = 0.2	P = 0.84	
RI	-2.83° (-3.37; -2.29)	-2.54° (-3.05; -2.03)	t = -0.77	P = 0.44	
VT	4.11° (2.61; 5.61)	3.53° (2.22; 4.83)	t = 0.58	P = 0.56	
$\Delta$ of loss of radio	graphic parameters during treatment (BE	C-AEC)			
Parameter	Group A-B, mean (95%CI)				
RL	0.04 mm (-0.36; 0.44)				
RI	-0.29° (-1.03; 0.45)				
VT	0.59° (-1.39; 2.57)				

BEC: Below elbow cast; AEC: Above elbow cast; RL: Radial length; RI: Radial inclination; VT: Volar tilt.

Table 3 Radiographic criteria for acceptability of reduction and percentage of maintenance of reduction comparison between below	
elbow cast and above elbow cast	

	Type I (Graham)	Type II (Gliatis)	Type III (Aro and Koivunen)	Type IV (Fernandez)
Radiographic criterion/acceptable measurement				
RL shortening	< 5 mm	< 5 mm	< 3 mm	< 3 mm
RI	≥15°	≥ 15°	≥ 15°	≥15°
VT	Between 15° and 20°	Between 10° and 20°	Between 15° and 20°	Between 10° and 20°
Maintenance, n (%)				
Group A (BEC)	99 (69)	95 (66)	87 (61)	89 (62)
Group B (AEC)	106 (77)	101 (74)	87 (63)	83 (61)
Chi-squared test ( $\chi^2$ )	$\chi^2 = 2.36$	$\chi^2 = 1.77$	$\chi^2 = 0.21$	$\chi^2 = 0.09$
<i>P</i> value	P = 0.12	P = 0.18	P = 0.65	P = 0.75

BEC: Below elbow cast; AEC: Above elbow cast; RL: Radial length; RI: Radial inclination; VT: Volar tilt.

observed between patient groups. Subgroup analysis for dominant side fracture did not change the result. Regarding elbow ROM, BEC patients exhibited a mean flexion of 123.6° (95%CI: 117.1-130.1), mean extension of 6.7° (95%CI: 2.5-10.8), mean pronation of 69.5° (95%CI: 63.8-75.3), and mean supination of 52.5° (95%CI: 45.6-59.3). AEC patients had similar ROM, with a mean flexion of 123.9° (95%CI: 118.9-128.9), mean extension of 5.5° (95%CI: 14-9.5), mean pronation of 72.1° (95%CI: 66.4-77.9), and mean supination of 52.9° (95%CI: 45.5-60.3). Again, no difference was observed between the groups.

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#### DISCUSSION

Noninferiority tests are the most appropriate way to evaluate the hypothesis that BEC and AEC have similar efficacy. They are based on minimal clinically important thresholds that are established a priori by drawing on empirical assumptions. When observed between-treatment differences fall below these thresholds, treatments can be considered equivalent. Statistical superiority tests, for example, the percentage of fractures that maintain reduction vs the percentage of fractures that lose reduction, can be misleading since they tell us nothing about equivalence[12]. Therefore, in the current study, we analysed both dichotomic variables (i.e., percentage of reduction maintenance) and continuous variables (*i.e.*, radiographic radial parameters) for which noninferiority thresholds could be predetermined. By employing a noninferiority design, the current study showed that the efficacy of BEC in maintaining the reduction of manipulated DRFs is similar to that of AEC. According to our model, when clinicians have to choose between using BEC or AEC to immobilize a DRF, the maximum predictable outcome difference between the two treatments does not exceed 2 mm in terms of RL loss and 3° in terms of RI and VT loss. Maintenance of reduction of DRFs is more likely to depend on factors other than length of cast used, for example, patient age and stability or type of fracture. SLA-VER has some limitations that warrant discussion. Quality of reduction was not assessed and could have potentially influenced the difference between BEC and AEC. Given that no computerized tomography was carried out, we may not have accurately measured every articular gap, and it is possible that its prevalence might be different between the study groups. However, our approach is consistent with general clinical practice. Furthermore, we limited our investigation to radiological outcomes only and did not include clinical outcome measures. SLA-VER aimed only at ascertaining whether the type of casting used affects the likelihood of fracture maintenance. A large amount of data about factors associated with loss of reduction risk and clinical outcome has already been published[16,27-39]. Only a small number of patients completed the DASH and SF-12 questionnaires and received elbow ROM measurements, even though this was a secondary study endpoint. Our data did not reveal a clear difference in patient comfort between BEC and AEC and this remained true even after subgroup analysis of dominant side fractures. Surprisingly, elbow range of motion was not affected by the type of cast as one would have expected. One explanation could be that the time of immobilization may have been too short to result in significant elbow stiffness or that the absence of elbow injury might have contributed to preserving joint mobility. This finding is also reported by Okamura et al[11]. Finally, it may be that DASH scores are not the most appropriate way to assess cast comfort. Bong et al[7] found better DASH scores in below-elbow splints, although to a lesser degree than expected, suggesting that DASH might not be able to specifically address the comfort level of the two constructs. Furthermore, Caruso et al[10] did not find any difference in DASH scores between BEC and AEC at the 4 wk follow-up but reported a significant difference in favour of BEC using the Mayo elbow score. Similarly, Park et al[8] did not find any difference in DASH score between BEC and AEC, although they found a correlation with the dominant side and a higher incidence of shoulder pain in the latter group. Nevertheless, BEC is broadly considered more comfortable and preferable than AEC[8].

#### CONCLUSION

Data from this trial lead us to conclude that BEC performs as well as AEC in maintaining reduction of a manipulated DRF. When clinicians have to choose between BEC and AEC, the maximum predictable difference does not exceed 2 mm in terms of RL loss and 3° in terms of RI and VT loss. We recommend BEC over AEC for its non-inferior performance and better tolerability.

#### **ARTICLE HIGHLIGHTS**

#### Research background

Distal radius fracture (DRF) treatment is a common challenge in orthopaedic trauma care. Uncertainty exists on how best to immobilize a DRF.

#### **Research motivation**

The necessity of blocking the elbow when immobilizing a DRF is still a matter of debate.

#### **Research objectives**

To test the hypothesis that blocking the elbow is not necessary and that a below arm cast (BEC) performs as well as an above elbow cast (AEC).

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#### Research methods

A noninferiority randomized clinical trial was conducted on 280 patients diagnosed with a DRF managed nonsurgically. Loss of reduction was evaluated considering variation in radiographic parameters [radial length (RL), radial inclination (RI), and volar tilt (VT)].

#### **Research results**

Rates of loss of reduction were similar between BEC and AEC. Variation of radiographic parameters (RL, RI, and VT) was similar between BEC and AEC and fell within the predetermined noninferiority thresholds.

#### **Research conclusions**

BEC performs as well as AEC in maintaining reduction of a manipulated DRF.

#### Research perspectives

Further large population randomized controlled trials and meta-analyses are required to confirm the hypothesis that BEC should become the option of choice for DRF treatment.

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#### FOOTNOTES

**Author contributions:** Dib G and Cengarle M equally conceptualized and designated the research work; Maluta T contributed to organizing and performing the research; Marconato G performed the research and was actively involved together with Dib G and Cengarle M in reviewing patients' X-ray; Bernasconi A analyzed the data; Dib G drafted the manuscript; Magnan B and Corain M revised the manuscript.

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